



## ASIFMA MEMBERSHIP APPLICATION

Firm Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State/province \_\_\_\_\_

Zip/postal code \_\_\_\_\_ country \_\_\_\_\_

Web site address \_\_\_\_\_

### NOMINATED REPRESENTATIVE\*

Name \_\_\_\_\_

Title \_\_\_\_\_

Department and Floor \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

E-mail Address \_\_\_\_\_

### DUES CONTACT

Name \_\_\_\_\_

Title \_\_\_\_\_

Department and Floor \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

E-mail Address \_\_\_\_\_

### PLEASE SELECT THE CATEGORY THAT BEST DESCRIBES YOUR FIRM/COMPANY:

- |  |   |
|--|---|
| <input type="checkbox"/> Global Bank/Securities Dealer   | <input type="checkbox"/> Issuer/Originator                                  |
| <input type="checkbox"/> Regional Bank/Securities Dealer | <input type="checkbox"/> International Broker Intermediary/Trading Platform |
| <input type="checkbox"/> Local Bank/Securities Dealer    | <input type="checkbox"/> Local Intermediary Broker/Trading Platform         |
| <input type="checkbox"/> International Law Firm          | <input type="checkbox"/> Trustee  |
| <input type="checkbox"/> Local Law Firm                  | <input type="checkbox"/> Mortgage Insurer                                   |
| <input type="checkbox"/> International Accounting Firm   | <input type="checkbox"/> Quasi - Government                                 |
| <input type="checkbox"/> Local Accounting Firm           | <input type="checkbox"/> Information/Technology/Vendor                      |
| <input type="checkbox"/> International Rating Agency     | <input type="checkbox"/> International Asset Manager/Hedge fund             |
| <input type="checkbox"/> Local Rating Agency             | <input type="checkbox"/> Local Asset Manager/Hedge fund                     |
|  | <input type="checkbox"/> Other _____  |

PLEASE SPECIFY

*\*Nominated Representative is the person authorized by your firm to receive proxy materials for the Annual General Meeting of the Association.*

**RELATED ORGANIZATIONS:**

Please list parent company, if any:

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\*Membership includes any and all affiliates of a member firm at no-additional cost

Other related associated companies, (i.e. JVs), if any

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**DUES**

Dues are based on nature and type of activity your firm conducts in the fixed-income securities markets.

**MEMBERSHIP AGREEMENT**

We hereby apply for membership in the Asia Securities Industry and Financial Markets Association (ASIFMA), and if admitted, agree: To comply with the By-Laws of the Association and to advance its purposes; and To pay such initial dues and assessments that are agreed to by the applicant and other dues, assessments and charges in the manner and amount as shall from time to time be fixed by the Association's Board of Directors pursuant to the By-Laws.

We hereby certify that the statements made herein are true and complete. I/We understand that in the event false information is given in this application or there are omissions of material facts, admission to membership in ASIFMA will automatically be denied; or if admission has previously been granted, such false information will be grounds for expulsion.

We acknowledge that information provided in this application form as part of the application process will be used by ASIFMA to process the application and to enhance ASIFMA's understanding of the local currency and regional fixed income markets of Asia. We hereby authorize and allow ASIFMA to indicate our membership on its website and in its various marketing materials and we consent to the release of our membership status and the information provided herein to ASIFMA, its employees and agents and other trade associations that ASIFMA works with

By \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

**PLEASE DIRECT ALL INQUIRIES AND COMPLETED APPLICATION FORMS TO:**

The Asia Securities Industry and Financial Markets Association Limited  
30<sup>th</sup> Floor, Jardine House  
Hong Kong, SAR  
Attn : Application Department  
E-mail: [membership@asifma.com](mailto:membership@asifma.com)

## STATEMENT PERSONAL INFORMATION COLLECTION

This Personal Information Collection Statement is made by the Asia Securities Industry and Financial Markets Association Limited in accordance with the Personal Data (Privacy) Ordinance of the Hong Kong Special Administrative Region (the **\*Ordinance\***) and contains details of how we may collect, hold, process and use the personal data collected from you. \*Personal data\* means any personally identifying information as more particularly defined in the Ordinance.

### WHAT WE GATHER

We collect and keep information about how to reach you (such as names, titles, firms, mailing and e-mail addresses, phone and fax numbers) and information about which specific markets, functional areas, and types of information are pertinent to you. We use this to help get you information that's useful to you, and to avoid bothering you with information that isn't.

### WHAT WE DO WITH IT

- Data gathered with Order Forms/Registration Forms: We'll keep track of your address so that it's easier for you to reregister or reorder, Other information is gathered so that we can bill you or ship the products to you.
- When you ask for a Password. If you're asked for some information when you apply for a password to members-only pages, it's only used to generate a password and communicate it to you.
- If you're interested in Web page Subscriptions: When you subscribe to a page, we use your email address only to send you a notice when the page is updated
- If you respond to our Member Survey. This is how we keep our member database and address list up to date, and to keep information about what you're interested in, so that we can tailor our Web and printed material to you.
- Information collected by IP address and Cookies: This information is used by us for broad demographic data, to produce aggregated information about the number and types of visitors to our site and to ensure that we're delivering the information you want.
- In a few carefully considered cases we will provide your personal data to reputable organizations (including other trade associations in Hong Kong or overseas) that we think may be of interest to people in the industry, or in very rare circumstances where we are judicially compelled to produce information. From the [survey page (see below)] you can choose to "opt out" of any of these outside mailings.

### HOW YOU CAN CONTROL YOUR INFORMATION

Should you wish to be removed from our member database at any time, or if you feel we have inaccurate or outdated information about you, please contact us at [info@asifma.org](mailto:info@asifma.org).

### PRIVACY POLICY STATEMENT

Please refer to our [Privacy Policy Statement](#) for details of our privacy policies.