

Date: 30 September 2015
Job title: Executive Director, Head of Policy and Regulatory Affairs
Employer: Asia Securities Industry & Financial Markets Association (ASIFMA)
Location: Hong Kong

ASIFMA¹, which represents the interests of the region's leading financial institutions to regulators, policymakers, and other stakeholders throughout Asia, seeks an Executive Director, Head of Policy and Regulatory Affairs to lead the association's activities related to global regulation and policy and who reports directly to the CEO. The successful candidate will join a growing team of 24 in Hong Kong.

ASIFMA Objectives Include:

- Advocate the views of ASIFMA's members at national, regional and global levels;
- Develop relationships and build constructive dialogue with policymakers, regulators and other relevant stakeholders on capital market and regulatory policies;
- Apply technical expertise to promote the growth and development of Asian financial markets;
- Advocate for transparent regulations that are applied fairly and consistently;
- Promote the harmonization of Asian financial market regulations with global standards and best practices;
- Identify new members and enhance services to and relationships with existing members.

Role:

The Executive Director's main responsibilities are to set, execute and manage the team strategy for policy and regulatory affairs throughout the Asia Pacific. The Executive Director will need to collaborate extensively with a wide stakeholder group to achieve consensus and results for the organization, our members and global alliance partners (AFME, GFMA and SIFMA).

The scope of the role would cover, but not be limited to, the following:

- Provide the strategic policy and regulatory direction for ASIFMA in consultation with the product-specific heads;
- Develop strong relationships, collaborate and liaise with global and regional regulators, supervisors, central banks, multilateral organizations, think tanks and academics;
- Arrange and lead meetings with global and regional policy makers to advance the priorities of the financial services industry;
- Regularly brief the CEO and ASIFMA Committees on the global regulatory initiatives;
- Lead ASIFMA member committee meetings by setting the agenda and providing updates on regulatory/ASIFMA initiatives with internal and external stakeholders;
- Organise working groups on relevant aspects of the global regulatory reform agenda;
- Participate in conference calls with global alliance partner committees and external working groups on priority issues that may have impact in Asia;
- Use and develop highly effective communication tools to share information with all relevant stakeholders;
- Review and analyse open consultation papers, regulatory speeches, policy research reports, and other documents to stay apprised of developments in core policy areas that impact ASIFMA's members;

DEVELOPING ASIAN CAPITAL MARKETS

- Identify and track issues, publications and research articles that support ASIFMA policy initiatives and build significant expertise in priority policy areas;
- Actively participate as a member of the senior management team of ASIFMA;
- Manage, mentor and develop junior staff to enhance their knowledge, skills and abilities; and
- Act as a primary press contact for on-the-record and background stories on regulation and policy.

Key Competencies:

- Educated to advanced degree level (Masters, MBA, JD or above), preferably in finance, economics, public policy, international relations, law or a related field.
- Knowledge of (and preferably 8-10 years' experience in) regulation, capital markets or relevant research, legal or public policy areas.
- Strategic acumen and proven ability to drive consensus decision making in a complex and global environment.
- Successful track record of building alliances, partnerships and business opportunities across sectors and geographies.
- Excellent analytic skills and understanding to effectively and simply communicate the legal and regulatory issues affecting the industry and the development of the capital markets.
- A strong understanding of and interest in capital markets regulation, global financial markets and related public policy issues, as well as the development of standardized market practices.
- Excellent organizational and project management skills, including the ability to prioritize multiple tasks.
- Strong verbal and written communications skills suitable for business and advocacy purposes.
- Fluency in English is required; business proficiency in an Asian language would be a strong advantage.

Key Personal Attributes:

- Proven leadership and ability to work across cultures.
- Credible and mature demeanour.
- Excellent management skills and an effective team player.
- Inclusive, a good listener, consensus driver and persuasive.
- Excellent public speaking and networking skills.
- Self-starter with a strong work ethic and organizational skills.
- Ability to prioritize, work independently, and exercise good judgment.
- High degree of personal integrity.
- Proactive and solutions oriented, able to think outside the box and make connections through lateral thinking.
- Willingness to participate in global conferences, calls and events outside normal business hours.
- Willingness to engage in extensive regional travel.

To Apply:

This position is based in Hong Kong and a work permit would be required (for non- permanent residents). The position is open with immediate effect. ASIFMA offers an attractive remuneration package commensurate with experience, including the possibility of an annual discretionary bonus based on performance.

To apply, please submit your CV and cover letter to Ms. Wyman Wong at wwong@asifma.org. The closing date for application is 23 October 2015.

ⁱ ASIFMA is an independent, regional trade association with over 90 member firms comprising a diverse range of leading financial institutions from both the buy and sell side, including banks, asset managers, law firms and market infrastructure service providers. Together, we harness the shared interests of the financial industry to promote the development of liquid, deep and broad capital markets in Asia. ASIFMA advocates stable, innovative, competitive and efficient Asian capital markets that are necessary to support the region's economic growth. We drive consensus, advocate solutions and effect change around key issues through the collective strength and clarity of one industry voice. Our many initiatives include consultations with regulators and exchanges, development of uniform industry standards, advocacy for enhanced markets through policy papers, and lowering the cost of doing business in the region. Through the GFMA alliance with SIFMA in the United States and AFME in Europe, ASIFMA also provides insights on global best practices and standards to benefit the region.