



ASIFMA Annual Conference 2013 Program Agenda (final version)

DAY 1 (Wednesday, 20 November 2013) – Ballroom (Lobby Level) Global and Regulatory Topics

08:30-09:30 **Registration and Reception**

09:30-09:45 **Introduction**

Mark Austen, CEO, Asia Securities Industry & Financial Markets Association (ASIFMA)
Simon Lewis, CEO, Global Financial Markets Association (GFMA)

09:45-10:20 **1st Keynote**

K C Chan, Secretary for Financial Services and the Treasury, The Hong Kong Special Administrative Region Government

10:20-10:55 **2nd Keynote**

Takehiko Nakao, President and Chairperson, Board of Directors, Asian Development Bank (ADB)

10:55-11:30 **3rd Keynote**

Charles Li, Chief Executive, Hong Kong Exchanges and Clearing Limited (HKEX)

(Interviews on stage with keynote speakers by John Dawson, News Presenter, Bloomberg)

11:30-12:30 **Lunch**

12:30-13:45 **Panel: *Looking Forward: Developing a Global Regulatory Architecture***

- Nicolas Gauthier, Policy Officer, Financial Markets Infrastructure, Internal Market and Services DG, European Commission
- Jun Mizuguchi, Assistant Commissioner for International Affairs, Financial Services Agency (FSA), Japan
- Jae Hoon Yoo, Commissioner of the Securities and Futures Commission, Financial Services Commission (FSC), Korea
- Shigesuke Kashiwagi, Executive Managing Director & Chief Financial Officer, Nomura
- Ashley Alder, CEO, Securities and Futures Commission (SFC), Hong Kong

Moderated by:

Kenneth E. Bentsen, President, Securities Industry & Financial Markets Association (SIFMA)

13:45-14:15 **Coffee Break**

14:15-15:15 **Panel: *TBTF and Cross-Border Resolution: A global solution without resort to the taxpayer?***

- Eddy Wymeersch, Independent Director, Association for Financial Markets Europe (AFME)
- Ilhyock Shim, Senior Economist, Representative Office for Asia and the Pacific, Bank for International Settlements
- Lauren Anderson, Senior Manager and Legal Advisor, Special Resolution Unit, Bank of England
- Michael Krimminger, Partner, Cleary Gottlieb Steen & Hamilton LLP
- Jeremiah Norton, Director, The Federal Deposit Insurance Corporation (FDIC)

Moderated by:

Norman Chan, Chief Executive, Hong Kong Monetary Authority (HKMA)

15:15-16:15 **Panel: *Asian Capital Markets: Towards a Regional Model***

- David Ratliff, Head of Investor Sales & Relationship Management, Asia Pacific, Citi (Chairman, ASIFMA)
- James Fielder, Director, Head of Local Currency Syndicate, Debt Syndicate, Asia-Pacific, Debt Capital Markets, Capital Financing, Global Banking and Markets, HSBC
- Lieven Debruyne, CEO Hong Kong, Head of Intermediary Asia Pacific, Schrodgers
- Kai Sotorp, Group MD, Head of Asia Pacific, UBS AG Global Asset Management

Moderated by:

Zeb Eckert, Reporter, Bloomberg

16:15-16:50 **4th Keynote**

Mitsuhiro Furusawa, Vice Minister of Finance for International Affairs, Ministry of Finance, Japan

16:50-17:00 **Wrap Up for Day 1**

Mark Austen, CEO, Asia Securities Industry & Financial Markets Association (ASIFMA)

DAY 2 (Thursday, 21 November 2013)

Capital Markets Topics – Equity Focused (Stream 1) – Salon Rooms (Mezzanine Level)

08:30-08:45 **Registration**

08:45-09:30 **Panel: Asian Equity Capital Markets: Is Investor Protection Consistent with Attracting Capital Investment?**

- James Lin, Partner, Davis Polk & Wardwell
- Anthony Bolton, President - Investments, Fidelity Worldwide Investment
- David Graham, Executive VP, Chief Regulatory Officer, Head of Listing (Designate), Hong Kong Exchanges and Clearing Limited (HKEX)
- V R Narasimhan, Chief – Regulations, National Stock Exchange of India Limited (NSE)

Moderated by:

Russell Julius, MD, Head of Banking, Asia-Pacific, HSBC

09:30-10:15 **Panel: Equity Trading Asia: Attracting the Global Investor**

- Devansh Patel, MD, Head of EFS Structuring, Asia Pacific, Barclays
- Ian Cohen, MD, Chief Operating Officer Equities Asia, Chief Strategy Officer Global Equities, HSBC
- Hans Sicat, President & CEO, The Philippine Stock Exchange, Inc
- Zach Tuckwell, MD, Head of Electronic Trading (MSET), Portfolio Trading, and Listed Derivatives, Asia, Morgan Stanley

Moderated by:

Jeremy Grant, Asia Regional Corporate Correspondent, Financial Times

10:15-10:45 **Coffee Break**

10:45-11:30 **Panel: Developing Financial Market Infrastructure: Reducing the Cost of Clearing and Settlement**

- Jean-Michel Godeffroy, DG, Chairman of the T2S Board, European Central Bank (ECB)
- Michael Drumgoole, MD, Direct Custody and Clearing, Investor Services, Regional APAC Head, J.P. Morgan
- Nico Torchetti, Senior VP, Head of Post Trade Services, Singapore Exchange (SGX)
- Frank Versmessen, Global Head of Post-Trade, Securities Markets, SWIFT

Moderated by:

Olivier Grimonpont, General Manager and Regional Head, Asia-Pacific, Euroclear

11:30-12:15 **Panel: Commodities: the Challenges of shifting Trading to Asia where the Consumption is Increasingly Based**

- Gunnar Hoest, Head of Commodities Derivatives, Global Head of Equities and Commodity, BNP Paribas
- Romnesh Lamba, Executive VP and Co-Head of Global Markets, Hong Kong Exchanges and Clearing Limited (HKEX)
- Tim Wilson, MD, Commodities, Financial Markets, Standard Chartered
- Mitchel Ingham-Barrow, Global Head of Metals and Asia Commodities, Thomson Reuters

Moderated by:

Will Shropshire, Head of Structuring & Sales Asia Pacific, Commodities Group, J.P. Morgan

12:15-13:15 **Lunch with Presentation: Developing Bond Markets in Asia - Progress & Challenges (12:45)**
Thierry de Longuemar, Vice President (Finance and Risk Management), Asian Development Bank (ADB)

13:15-14:00 **Panel: Corporate Governance in Asia: Why capital market development is dependent on reform?**

- Charles Yonts, Head of Sustainable Research, CLSA
- Jenn-Hui Tan, Director of Corporate Finance, Fidelity Worldwide Investment
- Linda Csellak, Head of Asia Pacific, Equities, Manulife Asset Management

Moderated by:

Jamie Allen, Secretary General, Asian Corporate Governance Association (ACGA)

14:00-14:45 **Panel: Infrastructure: Matching Investors with Long-Term Funding Needs**

- David Gardner, MD, Global Head of Project and Export Finance, HSBC
- Patrick Mispagel, Associate MD, Project Infrastructure Finance Group, Moody's
- Ian Greer, MD, Corporate & Government Ratings, Standard & Poor's
- Steve Payne, Partner, White & Case

Moderated by:

Mark Austen, CEO, Asia Securities Industry & Financial Markets Association (ASIFMA)

14:45-15:15 **Coffee Break**

15:15-16:00 **Panel: Taxation Policy for Capital Markets Development: What Needs to Done?**

- David Weisner, US Tax Counsel for Asia Pacific, Citigroup
- Archie Parnell, MD, Regional Head Tax, Goldman Sachs
- James Badenach, Partner, Financial Services Tax, Ernst & Young
- Wendy Ng, MD, Head of Tax – Asia Pacific, Morgan Stanley

Moderated by:

Michael Olesnick, Partner, Baker & McKenzie

16:00-16:10 **Wrap Up and Transition to Keynote Session**

David Ratliff, Head of Investor Sales & Relationship Management, Asia Pacific, Citi (Chairman, ASIFMA)

16:10-16:55 **Keynote – Regulatory Reform in the United States**

Mary Schapiro, MD, Chairman of Governance & Markets Practice, Promontory Financial Group

16:55-17:00 **Closing**

Mark Austen, CEO, Asia Securities Industry & Financial Markets Association (ASIFMA)

DAY 2 (Thursday, 21 November 2013)

Capital Markets Topics – Fixed Income Focused (Stream 2) – Ballroom (Lobby Level)

08:30-08:45 **Registration**

08:45-09:30 **Panel: *Government Bond Market Development: Improving Liquidity and Innovation across the region***

- Cecilia Chan, Chief Investment Officer, Fixed Income, Asia-Pacific, HSBC Global Asset Management
- David Lynne, MD, Head of Fixed Income & Currencies and Commodities, Asia, Deutsche Bank
- B Prasanna, MD & CEO, ICICI Securities Primary Dealership Ltd
- Ranee Itarat, Director of Public Debt Policy Research Division, Ministry of Finance, Thailand

Moderated by:

Mark Austen, CEO, Asia Securities Industry & Financial Market Association (ASIFMA)

09:30-10:15 **Panel: *Repo Market Development: Towards a Classic Model?***

- Dominick Falco, MD, Asia Pacific Head of Global Collateral Services, BNY Mellon
- Michael Manna, MD, Head of Fixed Income Financing and Money Market Distribution, EMEA, Barclays
- Paget Dare Bryan, Partner, Clifford Chance
- Bernard Chin, Head of Global Securities Financing Sales and Co-Head of Credit Sales, ING
- Michael Garrett, ED, Head of Asia FI Financing, Investment Bank, J.P. Morgan

Moderated by:

Edward Gildar, MD, Global Finance Trading, Citi

10:15-10:45 **Coffee Break**

10:45-11:30 **Panel: *Cross-Border Mobility of Collateral: Unlocking High Quality Collateral Pools and Connecting the Recipients***

- Kishore Ramakrishnan, ED, Financial Services Advisory Group, Ernst & Young
- Oliver Stuart, MD, Global Head of ISG Derivative Operations, Morgan Stanley
- Stefan Lepp, Head of Global Securities Financing and Member of the Executive Board, Clearstream
- Mark Jennis, MD, Strategy & Business Development, DTCC
- Harry Newman, Head of EMEA Market Initiatives, SWIFT

Moderated by:

James Malgieri, Executive VP, Head of Service Delivery and Regions, Global Collateral Services, BNY Mellon

11:30-12:15 **Panel: *Standardisation of the Credit Markets: The Next Phase of Growth in Asia***

- Ji Liu, Partner, Latham & Watkins
- George Long, Founder, Chairman and Chief Investment Officer, LIM Advisors
- Hideyuki Kawashima, President and CEO, Mizuho Securities Asia
- Michael Petit, MD, Standard & Poor's

Moderated by:

Peter Thal Larsen, Asia Editor, Reuters Breakingviews, Thomson Reuters

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Thierry de Longuemar, Vice President (Finance and Risk Management), Asian Development Bank (ADB)

13:15-14:00 **Panel: *Securitisation and Covered Bonds: Coming to Asia soon?***

- Bruce Cairnduff, MD, Head of Debt Capital Markets for Asia, Credit Agricole CIB
- Ben McCarthy, MD, Head of Structured Finance and Covered Bond Ratings, APAC, Fitch Ratings
- Ian Bell, Head of the PCS Secretariat, Prime Collateralised Securities (PCS) UK Limited
- Franz Rudolf, MD, Head of Financials Credit Research, UniCredit Bank AG
- David Goodman, Global Head of Global Capital Markets Strategy, Westpac

Moderated by:

Colin Chen, MD, Head of Structured Debt Solutions, Treasury and Markets, DBS

14:00-14:45 **Panel: *RMB Markets: Development and Reform of the Onshore Credit Markets and the Impact on the Offshore Market***

- Jane Jiang, Partner, Allen & Overy
- Kwong Li, MD, Head of China, Fitch Ratings
- Timothy Yip, Associate Director, Head of Cross Border Renminbi, Debt Capital Markets, Asia-Pacific, HSBC
- Raymond Gui, MD, Senior Portfolio Manager, Income Partners Asset Management
- Gary Lau, MD, Corporate Finance Group, Moody's

Moderated by:

Stephen Green, Head of Greater China Research, Standard Chartered

14:45-15:15 **Coffee Break**

15:15-16:00 **Panel: *The FX business model in Asia: How are regulatory developments changing the future direction?***

- Tom Gillie, MD, Co-Head Global FX Trading, Bank of America Merrill Lynch (BAML)
- Alex Wong, Senior Assistant General Manager, Head of Trading Group, Global Markets Division for the East Asia Region, Bank of Tokyo-Mitsubishi UFJ (BTMU)
- Rachael Hoey, Head of Asia, CLS
- Kang Jianzhuo, Executive Manager of Business Operations Department, Shanghai Clearing House

Moderated by:

David Ngai, MD, Global FX Division, Asia Pacific, Global Financial Markets Association (GFMA)

16:00-16:10 **Wrap Up and Transition to Keynote Session**

Christopher Jackson, MD, COO Fixed Income Division, Asia Pacific, Morgan Stanley (Vice-Chairman, ASIFMA)

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16:55-17:00 **Closing**

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