

Final Program

VENUE: Conrad Hong Kong (Grand Ballroom, Lower Lobby)

DAY 1 (Wednesday, 2 December 2015) - Global and Regulatory Topics

Morning Session - Closed-Door Regulator Meetings

(For regulators only, by invitation only, closed to the media)

*For each topic, it begins with a short presentation summarizing the industry's views, followed by a short panel discussion and in-depth interaction with the audience who are restricted to policymakers, central banks and public officials.

08:30-09:00 Breakfast with Regulators

09:00-09:45 Meeting 1: Impact of FinTech on Traditional Banking

- Catherine Simmons, MD and Head of Government Affairs, Asia Pacific, Citi
- Janos Barberis, Founder, FinTech Hong Kong
- Alokik Advani, ED, Head of Principal Strategic Investments (PSI), Asia Pacific,
 Goldman Sachs
- Mark Austen, CEO, ASIFMA (Moderator)

09:45-10:30 Meeting 2: Infrastructure Financing in Asia

- James Abbott, Senior Associate, Allen & Overy
- Eric Wu, Director, Infrastructure, BlackRock
- Marc Freydefont, Senior Director, Fixed Income Asia Solutions, Credit Suisse
- Jonathan Donne, MD, Co-Head of Structured Credit Trading, Asia Pacific, Goldman Sachs
- Mark Austen, CEO, ASIFMA (Moderator)

10:30-10:45 Coffee Break

Briefing on Cebu Action Plan Mandate for Capital Market Development

Julius Caesar Parrenas, Senior Advisor, Nomura

10:45-11:30 Meeting 3: SME Financing in Asia

- Giacomo Giannetto, Senior Financial Sector Specialist, East Asia Regional Department, Asian Development Bank (ADB)
- Chu Chong Lim, MD, Head of SME Banking, Institutional Banking Group, DBS
- Douglas Arner, Professor, Asian Institute of International Financial Law, University of Hong Kong (HKU)
- Mark Austen, CEO, ASIFMA (Moderator)



DAY 1 (Wednesday, 2 December 2015) - Global and Regulatory Topics

11:30-12:30 Delegate Ch	ieck-in &	Buffet	Lunch
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12:30-12:40 Welcome Remarks

Gene K. Kim, Chairman, **ASIFMA**; MD, Regional Head, Financial Markets, Greater China & North Asia, **Standard Chartered**

12:40-13:00 **Keynote 1 - Charles Li**, CEO, **Hong Kong Exchanges & Clearing Limited (HKEx)** (followed by short interview on stage by **Mark Austen**, CEO, **ASIFMA**)

Keynote 2 - Ashley Alder, CEO, Securities and Futures Commission (SFC)

(followed by short interview on stage by Wayne Arnold, Senior Columnist, Barron

(followed by short interview on stage by **Wayne Arnold**, Senior Columnist, **Barron's Asia**)

13:20-14:10 Face-Off: Developing a Global Regulatory Framework – Asia, Europe and the US

- Ashley Alder, CEO, Securities and Futures Commission (SFC)
- Maria Teresa Fabregas Fernandez, Head of Unit, Financial Markets Infrastructure, European Commission
- Eric Pan, Director, Office of International Affairs, U.S. Commodity Futures Trading Commission (CFTC)
- Wayne Arnold, Senior Columnist, Barron's Asia (Moderator)

14:10-14:40 Coffee Break

13:00-13:20

14:40-15:30 Panel 1: Asia Regulator Challenges: Developing Capital Markets in a Global Environment

- Cathie Armour, Commissioner, Australian Securities & Investments Commission (ASIC)
- Ir. Nurhaida, Head Executive for Capital Market Supervision / Member of Board Commissioners, Indonesian Financial Services Authority (OJK)
- Yao Loong Ng, ED, Markets Policy & Infrastructure Department, Monetary Authority
 of Singapore (MAS)
- Ephyro Amatong, Commissioner, Securities & Exchange Commission, Republic of the Philippines
- James Shipton, ED, Intermediaries Division, Securities and Futures Commission (SFC)
- Michelle Price, Asia Regulation Correspondent, Reuters (Moderator)

15:30-16:20 **Panel 2: Cost of Financial Market Compliance**

- Mark Militello, APAC Regional Head of Markets Group, BNY Mellon
- Neil Katkov, Senior VP, Asia, Celent
- Tony Wood, Partner, Deloitte
- Royce Miller, Partner, Head of Asia Financial Services Practice, Freshfields Bruckhaus Deringer
- Marie-Anne Kong, Partner, PwC
- Philippa Allen, CEO, ComplianceAsia Consulting Ltd (Moderator)

16:20-16:40 **Keynote 3 - Tae-Jong Seo,** First Senior Deputy Governor, **Financial Supervisory Service**, Korea (FSS)

(followed by short interview on stage by **Michelle Price**, Asia Regulation Correspondent, **Reuters**)

16:40-16:50 Wrap Up for Day 1



Morning Break-out Session – Stream A (for all conference participants)

09:00-09:45 Panel A1: RMB Internationalization - Challenging USD Dominance?

- Agnes Tsang, Consultant, Allen & Overy
- Stephen Schwartz, Senior VP, Credit Policy, Moody's Investors Service
- Yang Zhao, China Chief Economist, Nomura
- Carmen Ling, MD & Head of RMB Solutions, Standard Chartered
- Douglas Arner, Professor, Asian Institute of International Financial Law, University of Hong Kong (HKU)
- Lisa Jucca, Editor in Charge, Asia Financial Services, Reuters (Moderator)

09:45-10:30 Panel A2: Accessing China's Markets - Lessons Learned

- Emma Quinn, Senior VP, Global Co-Head of Equity Trading, AB
- TF Cheng, MD, Head of Greater China Business, BNP Paribas Investment Partners
- Cindy Chen, Head of Securities Services, Hong Kong, Citi
- Kenneth Kok, ED, Head of Equity One Delta Product Marketing, Goldman Sachs
- Chris Ryan, MD, Head of Asia Pacific, MSCI
- Nick Ronalds, MD Head of Equities, ASIFMA (Moderator)

10:30-11:00 Coffee Break

11:00-11:45 Panel A3: Opening Up India – What Are the Remaining Hurdles?

- Ashishkumar Chauhan, MD & CEO, Bombay Stock Exchange (BSE)
- Rajiv Gupta, Partner, Head of India Practice, Singapore, Latham & Watkins
- Ravi Varanasi, Chief Business Development, National Stock Exchange of India Ltd (NSE)
- Neeraj Gambhir, MD and Head of Fixed Income, India, Nomura
- H Jayesh, Senior Partner, Juris Corp (Moderator)

11:45-12:30 **Panel A4:** *ASEAN:* 2015 and Beyond

- Ephyro Amatong, Commissioner, Securities & Exchange Commission, Republic of the Philippines
- Kuldeep Singh, MD, ASEAN Head of Markets & Securities Services, Citi
- Kenneth Tang, Senior Portfolio Manager, ASEAN Equity, Nikko Asset Management
- Julius Caesar Parrenas, Senior Advisor, Nomura
- Mark Austen, CEO, ASIFMA (Moderator)

12:30-13:15 Panel A5: Infrastructure Financing: How Can the Private Sector Help?

- Eric Wu, Director, Infrastructure, BlackRock
- Leng-Fong Lai, Partner, Clifford Chance
- Tony Adams, CIO, Infrastructure, Eastspring Investments
- Jonathan Donne, MD, Co-Head of Structured Credit Trading, Asia Pacific, Goldman Sachs
- Thomas Jacquot, Senior Director, Corporate & Infrastructure Ratings, Standard & Poor's Ratings Services
- **Bryant Edwards**, Partner, **Latham & Watkins** (*Moderator*)

13:15–14:15 Buffet Lunch



Morning Break-out Session – Stream B (for all conference participants)

09:00-09:45 Panel B1: FinTech: Who's Going to Eat Whose Lunch?

- Michael Schmidt, Chairman, Algomi
- Zennon Kapron, Founder and Director, Kapronasia
- James Mirfin, MD, Financial & Risk for North Asia, Senior Site Leader for Hong Kong, Thomson Reuters
- Aki Ranin, Commercial Director, Tigerspike
- Janos Barberis, Founder, FinTech Hong Kong (Moderator)

09:45-10:30 Panel B2: Infrastructure Connectivity: Do the Plugs Fit?

- Stephanie Marelle, Head of Hong Kong, BNP Paribas Securities Services
- Andrew Douglas, MD of Government Relations for Europe & Asia, The Depository Trust & Clearing Corporation (DTCC); CEO, DTCC's European Trade Repository
- Frederic Hannequart, Chairman, Euroclear
- Jaehoon Yoo, Chairman and CEO, Korea Securities Depository (KSD)
- Julian Chesser, MD, Head of Processing, APAC, Markit
- Paul Day, MD, Head of Asia Operations, Morgan Stanley (Moderator)

10:30-11:00 Coffee Break

11:00-11:45 Panel B3: FICC Market Liquidity: Much Ado About Nothing?

- Andrew Ng, Group Executive, Head of Treasury & Markets, DBS
- Bryan Collins. Portfolio Manager. Fidelity International
- Filippo Gori, Head of Sales & Marketing, Markets and Investors Services, APAC, J.P. Morgan
- Simon Maisey, MD, Finance and Business Department, Tradeweb
- Naveen Khanna, Director, COO, Rates & Currencies Trading, Global Markets, Bank of America Merrill Lynch (BAML) (Moderator)

11:45-12:30 Panel B4: Cybersecurity: The Next Big Threat?

- Ben Wootliff, MD Hong Kong, Control Risks Group Holdings Ltd
- Todd Stewart, ED, Financial Services Risk Advisory Cyber Security, EY
- Angelina Kwan, MD Head of Regulatory Compliance, Hong Kong Exchanges & Clearing Limited (HKEx)
- Andrew Cooke, Regional Director Legal Affairs, Microsoft Asia Pacific & Japan
- Karl Schimmeck, MD, Financial Services Operations, SIFMA (Moderator)

12:30-13:15 Panel B5: Asset Management: Successes and Challenges

- Stewart Aldcroft, CEO, CitiTrust Limited, Hong Kong
- Michele Bang, Deputy CEO, Eastspring Investments
- Jungho Rhee, CEO, Mirae Asset Global Investments (Hong Kong) Limited
- Frederick Laydon, COO Asia, Principal Global Investors
- Lieven Debruyne, CEO, Hong Kong & China, Head of Intermediary Business, Asia Pacific. Schroders
- Eugenie Shen, MD Head of Asset Management Group, ASIFMA (Moderator)

13:15–14:15 Buffet Lunch



Afternoon Concurrent Roundtables (Closed to the media)

14:15-14:20 Welcome and Introduction to Concurrent Roundtables

*There are two consecutive sessions of 4 simultaneous roundtables, each representing a designated topic with at least two moderators leading the discussion. Delegates are able to join any table of their choosing.

14:20-15:00 **Concurrent Roundtables** (closed to the media)

Roundtable 1: Commodities: What Are the Opportunities in China? Will Asia Become a Global Commodities Trading Powerhouse?

- Rebecca Brosnan, MD, Head of Asia Commodities Department, Global Markets Division, Hong Kong Exchanges & Clearing Limited (HKEx)
- Madhav Shankar, MD, Head, Commodities Sales and Structuring, Standard
 Chartered

Roundtable 2: Local Currency Markets: Almost 20 Years After the Asian Financial Crisis, Have Markets Sufficiently Developed?

- Lawrence Low, MD, Office of Regulatory Affairs, J.P. Morgan
- Sherry Madera, Minister Counsellor and Director, Financial Professional Services and ICT, UK Trade and Investment (UKTI)

Roundtable 3: Culture: Have Banks Done Enough to Change Their Work Environments? Are Market Codes of Conduct Part of the Answer?

- James Lockyer, Development Director, Association of Corporate Treasurers (ACT)
- John Baker, MD, Chief Administrative Officer and Head of Conduct & Culture Office, Asia ex-Japan, Nomura

Roundtable 4: Trust and Confidence: Cross-Border Supervision

- Michael Karbouris, Head of Business Development, Asia Pacific, NASDAQ
- Kishore Ramakrishnan, Director, Financial Services Risk & Regulatory Consulting,
 PwC

15:00-15:30 Coffee Break



Afternoon Concurrent Roundtables (Closed to the media)

15:30-16:10 **Concurrent Roundtables** (closed to the media)

Roundtable 5: TBTF: Have We Cracked It? Does It Make Sense in an Asian Context?

- Vanessa Le Leslé, Head of Office of Regulatory Affairs, APAC, J.P. Morgan
- Carter McDowell, MD, Associate General Counsel, SIFMA

Roundtable 6: KYC: Will Asian Regulators Support the Creation of Global Utilities? Will This Help with Financial Inclusion?

- Jean-Remi Lopez, Manager, Asia Pacific, Strategic Initiatives, The Depository Trust
 & Clearing Corporation (DTCC)
- David Williams, Director, Head of APAC Product: MCPM & KYC Services, Markit

Roundtable 7: Exchange Corporate Governance: Is It Too Easy for Companies to Suspend Themselves from Trading? Should Dual Share Structure Schemes Be Allowed?

- Matthias Feldmann, Partner, Hong Kong, Clifford Chance
- Vasundhara Pradeep, MD, Head of Prime Consulting, Asia Pacific, Credit Suisse

Roundtable 8: OTC Derivatives: The Next 12 Months in Derivatives Regulation

- Jacky Mak, Director, Regulatory Reform Asia Pacific Global Markets, Bank of America Merrill Lynch (BAML)
- Filippo Santilli, MD, APAC Head of Sales and Relationship Management, Markets Group, Hong Kong, BNY Mellon
- Maria Tsani, Senior Manager, Group Public Affairs, Standard Chartered

16:10-16:20 Closing Remarks

Mark Austen, CEO, ASIFMA



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