Final Program

VENUE: Conrad Hong Kong (Grand Ballroom, Lower Lobby)

DAY 1 (Wednesday, 2 December 2015) - Global and Regulatory Topics

Morning Session - Closed-Door Regulator Meetings
(For regulators only, by invitation only, closed to the media)

*For each topic, it begins with a short presentation summarizing the industry’s views, followed by a short panel discussion and in-depth interaction with the audience who are restricted to policymakers, central banks and public officials.

08:30-09:00 Breakfast with Regulators

09:00-09:45 Meeting 1: Impact of FinTech on Traditional Banking
- Catherine Simmons, MD and Head of Government Affairs, Asia Pacific, Citi
- Janos Barberis, Founder, FinTech Hong Kong
- Alokik Advani, ED, Head of Principal Strategic Investments (PSI), Asia Pacific, Goldman Sachs
- Mark Austen, CEO, ASIFMA (Moderator)

09:45-10:30 Meeting 2: Infrastructure Financing in Asia
- James Abbott, Senior Associate, Allen & Overy
- Eric Wu, Director, Infrastructure, BlackRock
- Marc Freydefont, Senior Director, Fixed Income – Asia Solutions, Credit Suisse
- Jonathan Donne, MD, Co-Head of Structured Credit Trading, Asia Pacific, Goldman Sachs
- Mark Austen, CEO, ASIFMA (Moderator)

10:30-10:45 Coffee Break

Briefing on Cebu Action Plan Mandate for Capital Market Development
Julius Caesar Parrenas, Senior Advisor, Nomura

10:45-11:30 Meeting 3: SME Financing in Asia
- Giacomo Giannetto, Senior Financial Sector Specialist, East Asia Regional Department, Asian Development Bank (ADB)
- Chu Chong Lim, MD, Head of SME Banking, Institutional Banking Group, DBS
- Douglas Arner, Professor, Asian Institute of International Financial Law, University of Hong Kong (HKU)
- Mark Austen, CEO, ASIFMA (Moderator)
DAY 1 (Wednesday, 2 December 2015) - Global and Regulatory Topics

Afternoon Session (For all conference participants)

11:30-12:30 Delegate Check-in & Buffet Lunch

12:30-12:40 Welcome Remarks
   Gene K. Kim, Chairman, ASIFMA; MD, Regional Head, Financial Markets, Greater China & North Asia, Standard Chartered

12:40-13:00 Keynote 1 - Charles Li, CEO, Hong Kong Exchanges & Clearing Limited (HKEx)
   (followed by short interview on stage by Mark Austen, CEO, ASIFMA)

13:00-13:20 Keynote 2 - Ashley Alder, CEO, Securities and Futures Commission (SFC)
   (followed by short interview on stage by Wayne Arnold, Senior Columnist, Barron’s Asia)

13:20-14:10 Face-Off: Developing a Global Regulatory Framework – Asia, Europe and the US
   • Ashley Alder, CEO, Securities and Futures Commission (SFC)
   • Maria Teresa Fabregas Fernandez, Head of Unit, Financial Markets Infrastructure, European Commission
   • Eric Pan, Director, Office of International Affairs, U.S. Commodity Futures Trading Commission (CFTC)
   • Wayne Arnold, Senior Columnist, Barron’s Asia (Moderator)

14:10-14:40 Coffee Break

14:40-15:30 Panel 1: Asia Regulator Challenges: Developing Capital Markets in a Global Environment
   • Cathie Armour, Commissioner, Australian Securities & Investments Commission (ASIC)
   • Ir. Nurhaida, Head Executive for Capital Market Supervision / Member of Board Commissioners, Indonesian Financial Services Authority (OJK)
   • Yao Loong Ng, ED, Markets Policy & Infrastructure Department, Monetary Authority of Singapore (MAS)
   • Ephyro Amatong, Commissioner, Securities & Exchange Commission, Republic of the Philippines
   • James Shipton, ED, Intermediaries Division, Securities and Futures Commission (SFC)
   • Michelle Price, Asia Regulation Correspondent, Reuters (Moderator)

15:30-16:20 Panel 2: Cost of Financial Market Compliance
   • Mark Militello, APAC Regional Head of Markets Group, BNY Mellon
   • Neil Katkov, Senior VP, Asia, Celent
   • Tony Wood, Partner, Deloitte
   • Royce Miller, Partner, Head of Asia Financial Services Practice, Freshfields Bruckhaus Deringer
   • Marie-Anne Kong, Partner, PwC
   • Philippa Allen, CEO, ComplianceAsia Consulting Ltd (Moderator)

16:20-16:40 Keynote 3 - Tae-Jong Seo, First Senior Deputy Governor, Financial Supervisory Service, Korea (FSS)
   (followed by short interview on stage by Michelle Price, Asia Regulation Correspondent, Reuters)

16:40-16:50 Wrap Up for Day 1
DAY 2 (Thursday, 3 December 2015) - Global Markets Topics

Morning Break-out Session – Stream A (for all conference participants)

09:00-09:45 Panel A1: RMB Internationalization - Challenging USD Dominance?
- Agnes Tsang, Consultant, Allen & Overy
- Stephen Schwartz, Senior VP, Credit Policy, Moody's Investors Service
- Yang Zhao, China Chief Economist, Nomura
- Carmen Ling, MD & Head of RMB Solutions, Standard Chartered
- Douglas Arner, Professor, Asian Institute of International Financial Law, University of Hong Kong (HKU)
- Lisa Jucca, Editor in Charge, Asia Financial Services, Reuters (Moderator)

09:45-10:30 Panel A2: Accessing China's Markets - Lessons Learned
- Emma Quinn, Senior VP, Global Co-Head of Equity Trading, AB
- TF Cheng, MD, Head of Greater China Business, BNP Paribas Investment Partners
- Cindy Chen, Head of Securities Services, Hong Kong, Citi
- Kenneth Kok, ED, Head of Equity One Delta Product Marketing, Goldman Sachs
- Chris Ryan, MD, Head of Asia Pacific, MSCI
- Nick Ronalds, MD – Head of Equities, ASIFMA (Moderator)

10:30-11:00 Coffee Break

11:00-11:45 Panel A3: Opening Up India – What Are the Remaining Hurdles?
- Ashishkumar Chauhan, MD & CEO, Bombay Stock Exchange (BSE)
- Rajiv Gupta, Partner, Head of India Practice, Singapore, Latham & Watkins
- Ravi Varanasi, Chief Business Development, National Stock Exchange of India Ltd (NSE)
- Neeraj Gambhir, MD and Head of Fixed Income, India, Nomura
- H Jayesh, Senior Partner, Juris Corp (Moderator)

11:45-12:30 Panel A4: ASEAN: 2015 and Beyond
- Ephyro Amatong, Commissioner, Securities & Exchange Commission, Republic of the Philippines
- Kuldeep Singh, MD, ASEAN Head of Markets & Securities Services, Citi
- Kenneth Tang, Senior Portfolio Manager, ASEAN Equity, Nikko Asset Management
- Julius Caesar Parrenas, Senior Advisor, Nomura
- Mark Austen, CEO, ASIFMA (Moderator)

- Eric Wu, Director, Infrastructure, BlackRock
- Leng-Fong Lai, Partner, Clifford Chance
- Tony Adams, CIO, Infrastructure, Eastspring Investments
- Jonathan Donne, MD, Co-Head of Structured Credit Trading, Asia Pacific, Goldman Sachs
- Thomas Jacquot, Senior Director, Corporate & Infrastructure Ratings, Standard & Poor's Ratings Services
- Bryant Edwards, Partner, Latham & Watkins (Moderator)

13:15–14:15 Buffet Lunch
DAY 2 (Thursday, 3 December 2015) - Global Markets Topics

Morning Break-out Session – Stream B (for all conference participants)

09:00-09:45 Panel B1: FinTech: Who’s Going to Eat Whose Lunch?
- Michael Schmidt, Chairman, Algomi
- Zennon Kapron, Founder and Director, Kapronasia
- James Mirfin, MD, Financial & Risk for North Asia, Senior Site Leader for Hong Kong, Thomson Reuters
- Aki Ranin, Commercial Director, Tigerspike
- Janos Barberis, Founder, FinTech Hong Kong (Moderator)

09:45-10:30 Panel B2: Infrastructure Connectivity: Do the Plugs Fit?
- Stephanie Marelle, Head of Hong Kong, BNP Paribas Securities Services
- Andrew Douglas, MD of Government Relations for Europe & Asia, The Depository Trust & Clearing Corporation (DTCC); CEO, DTCC’s European Trade Repository
- Frederic Hannequart, Chairman, Euroclear
- Jaehoon Yoo, Chairman and CEO, Korea Securities Depository (KSD)
- Julian Chesser, MD, Head of Processing, APAC, Markit
- Paul Day, MD, Head of Asia Operations, Morgan Stanley (Moderator)

10:30-11:00 Coffee Break

11:00-11:45 Panel B3: FICC Market Liquidity: Much Ado About Nothing?
- Andrew Ng, Group Executive, Head of Treasury & Markets, DBS
- Bryan Collins, Portfolio Manager, Fidelity International
- Filippo Gori, Head of Sales & Marketing, Markets and Investors Services, APAC, J.P. Morgan
- Simon Maisey, MD, Finance and Business Department, Tradeweb
- Naveen Khanna, Director, COO, Rates & Currencies Trading, Global Markets, Bank of America Merrill Lynch (BAML) (Moderator)

11:45-12:30 Panel B4: Cybersecurity: The Next Big Threat?
- Ben Wootliff, MD - Hong Kong, Control Risks Group Holdings Ltd
- Todd Stewart, ED, Financial Services Risk Advisory – Cyber Security, EY
- Angelina Kwan, MD - Head of Regulatory Compliance, Hong Kong Exchanges & Clearing Limited (HKEx)
- Andrew Cooke, Regional Director - Legal Affairs, Microsoft Asia Pacific & Japan
- Karl Schimmeck, MD, Financial Services Operations, SIFMA (Moderator)

12:30-13:15 Panel B5: Asset Management: Successes and Challenges
- Stewart Aldcroft, CEO, CitiTrust Limited, Hong Kong
- Michele Bang, Deputy CEO, Eastspring Investments
- Jungho Rhee, CEO, Mirae Asset Global Investments (Hong Kong) Limited
- Frederick Laydon, COO - Asia, Principal Global Investors
- Lieven Debruyne, CEO, Hong Kong & China, Head of Intermediary Business, Asia Pacific, Schroders
- Eugenie Shen, MD – Head of Asset Management Group, ASIFMA (Moderator)

13:15–14:15 Buffet Lunch
DAY 2 (Thursday, 3 December 2015) - Global Markets Topics

Afternoon Concurrent Roundtables (Closed to the media)

14:15-14:20 Welcome and Introduction to Concurrent Roundtables

*There are two consecutive sessions of 4 simultaneous roundtables, each representing a designated topic with at least two moderators leading the discussion. Delegates are able to join any table of their choosing.

14:20-15:00 Concurrent Roundtables (closed to the media)

Roundtable 1: Commodities: What Are the Opportunities in China? Will Asia Become a Global Commodities Trading Powerhouse?
- Rebecca Brosnan, MD, Head of Asia Commodities Department, Global Markets Division, Hong Kong Exchanges & Clearing Limited (HKEx)
- Madhav Shankar, MD, Head, Commodities Sales and Structuring, Standard Chartered

Roundtable 2: Local Currency Markets: Almost 20 Years After the Asian Financial Crisis, Have Markets Sufficiently Developed?
- Lawrence Low, MD, Office of Regulatory Affairs, J.P. Morgan
- Sherry Madera, Minister Counsellor and Director, Financial Professional Services and ICT, UK Trade and Investment (UKTI)

Roundtable 3: Culture: Have Banks Done Enough to Change Their Work Environments? Are Market Codes of Conduct Part of the Answer?
- James Lockyer, Development Director, Association of Corporate Treasurers (ACT)
- John Baker, MD, Chief Administrative Officer and Head of Conduct & Culture Office, Asia ex-Japan, Nomura

Roundtable 4: Trust and Confidence: Cross-Border Supervision
- Michael Karbouris, Head of Business Development, Asia Pacific, NASDAQ
- Kishore Ramakrishnan, Director, Financial Services Risk & Regulatory Consulting, PwC

15:00-15:30 Coffee Break
DAY 2 (Thursday, 3 December 2015) - Global Markets Topics

Afternoon Concurrent Roundtables (Closed to the media)

15:30-16:10  Concurrent Roundtables (closed to the media)

Roundtable 5: TBTF: Have We Cracked It? Does It Make Sense in an Asian Context?
- Vanessa Le Leslé, Head of Office of Regulatory Affairs, APAC, J.P. Morgan
- Carter McDowell, MD, Associate General Counsel, SIFMA

Roundtable 6: KYC: Will Asian Regulators Support the Creation of Global Utilities? Will This Help with Financial Inclusion?
- Jean-Remi Lopez, Manager, Asia Pacific, Strategic Initiatives, The Depository Trust & Clearing Corporation (DTCC)
- David Williams, Director, Head of APAC Product: MCPM & KYC Services, Markit

Roundtable 7: Exchange Corporate Governance: Is It Too Easy for Companies to Suspend Themselves from Trading? Should Dual Share Structure Schemes Be Allowed?
- Matthias Feldmann, Partner, Hong Kong, Clifford Chance
- Vasundhara Pradeep, MD, Head of Prime Consulting, Asia Pacific, Credit Suisse

Roundtable 8: OTC Derivatives: The Next 12 Months in Derivatives Regulation
- Jacky Mak, Director, Regulatory Reform Asia Pacific – Global Markets, Bank of America Merrill Lynch (BAML)
- Filippo Santilli, MD, APAC Head of Sales and Relationship Management, Markets Group, Hong Kong, BNY Mellon
- Maria Tsani, Senior Manager, Group Public Affairs, Standard Chartered

16:10-16:20  Closing Remarks
- Mark Austen, CEO, ASIFMA