About ASIFMA Annual Conference 2016

ASIFMA Annual Conference themed ‘Developing Asia’s Capital Markets’ has been established for four successful years as the pre-eminent industry-wide, regional event for the financial community providing a unique opportunity for global and regional policy makers and high-caliber industry representatives from both sell side and buy side to meet and discuss important issues impacting the development of Asia’s capital markets.

This flagship conference of ASIFMA, as a cornerstone industry event for the region, celebrated ASIFMA’s 10th anniversary in November 2016 in Singapore. The event got 400 attendees from over 200 organizations, including 10% policy maker/public official, 7% issuer/treasurer, 22% buy side and 8% media. It also received support from more than 100 speakers including senior representatives of Australian Securities & Investments Commission (ASIC), British Embassy, Credit Guarantee and Investment Facility (CGIF), European Commission, Financial Conduct Authority (FCA), Financial Services Agency of Japan (FSA), Financial Supervisory Service of Korea (FSS), International Finance Corporation (IFC), Monetary Authority of Singapore (MAS), Securities and Exchange Commission of Thailand (SEC) and many other leading financial institutions.
We highly appreciated the highest-ever levels of support from 26 sponsoring firms including ANZ, Bank of America Merrill Lynch, BNP Paribas, BNY Mellon, CAIA, CICC, CIMB, Clearstream, Credit Agricole CIB, DBS Bank, Deutsche Bank, DTCC, Euroclear, Goldman Sachs, ING, J.P. Morgan, Lexel Partners, Mizuho Securities, Moody's Investors Service, National Australia Bank, Nomura, PwC, Societe Generale, S&P Global Ratings, Standard Chartered Bank and Thomson Reuters, and 18 endorsers as well as 14 media partners.
We have received very positive feedback from the various stakeholders including speakers, delegates, sponsors, endorsers and media partners. Most of them found the event had a good diversity of participants and a comprehensive program that provided significant and different views of the markets. They commented that the discussions were highly informative, the concurrent roundtables were interactive, and the conference was thought provoking and enjoyable with the opportunity to meet the various senior representatives with similar interests in financial regulation.
Additionally, the ASIFMA’s 10th Anniversary Dinner Reception was very well received with a full house of 120 attendees featuring the Global CEOs panel, where the industry leaders shared their macro-views on the very topical global issues in the finance industry as well as the various key developments in the capital markets. The participants, including global and regional policy makers as well as leading industry experts, enjoyed the lively discussion and networking during the evening.

Event Website:
For more about ASIFMA Annual Conference 2016: [www.asifma.org/annual2016](http://www.asifma.org/annual2016)
For more information about ASIFMA events: [www.asifma.org/events](http://www.asifma.org/events)
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ACBL India (Power) Ltd
Aegis Capital
Algomi
Allen & Overy
Allianz Global Investors
ANZ
Asian Development Bank (ADB)
Asia-Pacific Economic Cooperation (APEC)
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Global Trading
Goldman Sachs
Government of Canada
Great Eastern Life Assurance
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iFAST Corporation Ltd
Ignites Asia, a Financial Times Service
IHS Markit
inAsiaMedia
Infrastructure Journal / Project Finance Magazine
ING
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International Capital Market Association (ICMA)
International Finance Corporation (IFC)
International Swaps and Derivatives Association (ISDA)
IPP Financial Advisers Pte Ltd
Isuzu Motors Asia Ltd.
J. Safra Sarasin Group
J.P. Morgan
J.P. Morgan Asset Management
Jones Day
Juris Corp
KCA Capital Partners
Keppel Corporation Ltd
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National Australia Bank
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National Development Council
National Stock Exchange of India (NSE)
NDE Capital
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UBS Asset Management
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ASIFMA Annual Conference 2016
Final Program

DAY 1: Thursday 17 November 2016 - Global and Regulatory Topics

Conference Location: Marina Mandarin Singapore, Marina Mandarin Ballroom – Level 1
(6 Raffles Boulevard, Marina Square, Singapore 039594)

Morning Session - Closed-Door Regulator Meetings
(For 30-50 regulators by invitation only, closed to the media)

*For each meeting, it begins with a short presentation summarizing the industry’s views, followed by a short panel discussion and in-depth interaction with the audience who are restricted to policymakers, central banks and public officials.

08:30-09:00 Breakfast

09:00-09:45 Meeting 1: Asia’s Response to European and North American Regulatory Measures Including in Light of Brexit
- Larry E. Thompson, Vice Chairman and General Counsel, Depository Trust & Clearing Corporation (DTCC)
- Vanessa Le Leslé, Head of Regulatory Affairs, Asia Pacific, J.P. Morgan
- Edward Lee, Head of ASEAN Economic Research, Standard Chartered Bank
- Mark Austen, CEO, ASIFMA (Moderator)

09:45-10:30 Meeting 2: Developing Hedging Markets in Asia in Light of the G20 Reforms
- Trevor Vail, Global Head of Credit Trading, ANZ
- Pradeep Kandasamy, VP, Treasury & Markets, DBS Bank
- Rahul Advani, Assistant Director, Public Policy, Asia Pacific, International Swaps and Derivatives Association (ISDA)
- Pierre-Henri Joly, Global Markets COO, Head of Regulatory Transformation APAC, Societe Generale
- Mark Austen, CEO, ASIFMA (Moderator)

10:30-10:45 Coffee Break

10:45-11:30 Meeting 3: Sustainable Infrastructure Finance Including Project Bonds
- James Abbott, Partner, Allen & Overy
- Boo Hock Khoo, VP, Operations, Credit Guarantee and Investment Facility (CGIF)
- Marc Freydefont, Senior Director, Fixed Income – Asia Solutions, Credit Suisse
- Abhishek Dangra, Director, Corporate Ratings, S&P Global Ratings
- Mark Austen, CEO, ASIFMA (Moderator)
Morning Session - Closed-Door Asset Management Panels
(For 30-50 asset management and investor representatives by invitation only, closed to the media)

08:30-09:00  Breakfast

09:00-09:45  Panel 1: Online Fund Distribution
- Damien Mooney, MD, Head of Retail Business, Chief Marketing Officer, Asia Pacific, BlackRock
- Lim Chung Chun, Chairman and CEO, iFAST Corporation Ltd
- Donna Chen, Founder & President, Keystone Intelligence Inc
- Xiaoming Zhou, Deputy General Manager, Tianhong Asset Management Co. Ltd.
- Stewart Aldcroft, Chairman, Cititrust Limited, Hong Kong (Moderator)

09:45-10:30  Panel 2: Pensions and Retirement
- Rachel Farrell, MD, Head of Sovereign and Institutional for Asia Pacific ex-Japan, J.P. Morgan Asset Management
- Hiro Kasai, Senior VP and Head of Investment, Tokio Marine Asia Pte. Ltd.
- Charles Lin, Principal, Head of Greater China, Vanguard Investments Hong Kong Limited
- Xavier Meyer, Head of Product Strategy & Development, Eastspring Investments (Moderator)

10:30-10:45  Coffee Break

10:45-11:30  Panel 3: Stewardship and Responsible Investment
- Alex Ng, Chief Investment Offer, Asia Pacific, BNP Paribas Investment Partners
- Kevin Gibson, Chief Investment Officer, Eastspring Investments
- Jenn-Hui Tan, Director of Corporate Finance, Fidelity International
- Harriet Steel, Global Head of Business Development, Member of Board and Executive Committee, Hermes Investment Management
- Lawrence Liu, Board Director, Taiwan Stock Exchange; Chairman, CDIB Venture Capital Corporation
- Eugenie Shen, MD – Head of Asset Management Group, ASIFMA (Moderator)
Morning Session - Closed-Door Women Session
(Hosted by Thomson Reuters and Supported by ASIFMA and Women In Finance Asia)
(For 30-50 conference registrants by invitation only, closed to the media)

09:00-11:30 Panel: Diversity and Innovation
• How investing in diversity improves results
• Women in FinTech
• The diversity and inclusion challenges and solutions the industry faces
• How we drive the next wave of change
• Increasing the number of women on boards
  • Jessica Tilton, Head of Markets, Asia, National Australia Bank (NAB)
  • Mrinalini Venkatachalam, Head- Public Awareness and Youthatives, Singapore Committee for UN Women
  • Mouna Aouri Langendrof, Founder & Chief Momentum Officer, Woomentum
  • Leesa Soulodre, Chief Reputation Risk Officer and Managing Partner, RL Expert Group
  • Julia Walker, Head of Market Development, Risk, Asia, Thomson Reuters
  • Widade Dequerre, Project Manager, Asia Pacific, Thomson Reuters (Moderator)
Afternoon Session
(For all conference registrants, open to the media)

11:30-12:30 Delegate Check-in & Buffet Lunch

12:30-12:45 Welcome Remarks

12:45-13:05 Keynote 1
Jacqueline Loh, Deputy Managing Director, Monetary Authority of Singapore

13:05-13:25 Keynote 2
Greg Medcraft, Chairman, Australian Securities & Investments Commission

13:25-14:15 Panel 1: Global Regulators
- Greg Medcraft, Chairman, Australian Securities & Investments Commission
- Antonio de Lecea, Principal Advisor to the Director General, Directorate General for Economic and Financial Affairs, European Commission
- Nick Miller, Head of EU & Global Department, Financial Conduct Authority
- Jingdong Hua, VP, Treasury and Syndications, International Finance Corporation
- Carter McDowell, MD and Associate General Counsel, SIFMA
- Stephen Lackey, Chairman, Asia Pacific, BNY Mellon (Moderator)

14:15-14:45 Coffee Break

14:45-15:30 Panel 2: Asian Regulators and Exchanges
- Rapee Sucharitkul, Secretary-General, Chairman of Capital Market Supervisory Board, Securities and Exchange Commission, Thailand
- Takuo Komori, Deputy Commissioner for International Affairs, Financial Services Agency, Japan
- Jae-Ryong Jeong, Head of Life Insurance Team, Financial Supervisory Service, Korea
- Nagendra Kumar Sriv, Chief Business Officer, Currency Derivatives & Debt Market, National Stock Exchange of India Ltd.
- Lawrence Liu, Board Director, Taiwan Stock Exchange; Chairman, CDIB Venture Capital Corporation
- Damien Ryan, Founder and MD, Ryan Communication (Moderator)
15:30-16:15  Panel 3: Asian CEOs
- Ajai Kaul, CEO & Head of Asia Pacific ex-Japan Client Group, AB
- Mark Talbot, MD, Asia Pacific, Fidelity International
- Michael Falcon, CEO, Global Investment Management, Asia Pacific, J.P. Morgan Asset Management
- Lieven Debruyne, CEO, Asia Pacific, Schroders
- Oriel Morrison, Co-anchor, CNBC (Moderator)

16:15-16:45  Interview On Stage
David Rutter, Founder and CEO, R3
By Mark Austen, CEO, ASIFMA

16:45-17:00  Wrap Up for Day 1

Evening Session – ASIFMA 10th Anniversary Dinner Reception
(For 100-120 dinner sponsors and special guests who receive a separate dinner confirmation email by invitation only, closed to the media)

Dinner Venue:  Aura Sky Lounge, Level 6, National Gallery Singapore
- Address: 1 St. Andrews Road, 06-02 National Gallery Singapore, Singapore 178957
- Entrance is on Coleman Street. Please access from Supreme Court Lane.

18:00-18:45  Guest Check-in and Cocktail
18:45  Dinner Starts and Welcome Remarks
19:30-20:30  Panel: Global CEOs
- Gang Chu, COO, China International Capital Corporation (CICC)
- Piyush Gupta, CEO and Director, DBS Group
- Sridhar Chandrasekharan, Global CEO, HSBC Global Asset Management
- Henrik Raber, Global Head of Capital Markets, Standard Chartered Bank
- Mark Austen, CEO, ASIFMA (Moderator)

21:30  Dinner Concludes
DAY 2: Friday, 18 November 2016 - Global Markets Topics

Conference Location: Marina Mandarin Singapore, Marina Mandarin Ballroom – Level 1
(6 Raffles Boulevard, Marina Square, Singapore 039594)

Morning Break-out Session – Stream A
(For all conference registrants, open to the media)

08:30-09:00 Delegate Check-in

09:00-09:45 Panel A1: Brexit: Impact on Economic Growth in Asia
- Sherry Madera, Minister-Counsellor & Director for Financial Professional Services and ICT, British Embassy
- Philip McNicholas, Senior Economist, Asia ex-Japan, Global Markets, BNP Paribas
- David James Brown, VP, Business Development, BNY Mellon
- Edward Lee, Head of ASEAN Economic Research, Standard Chartered Bank
- Sanjeev Chatrath, MD, Region Head, Asia, Financial & Risk, Thomson Reuters
- Marie Diron, Associate MD, Sovereign Risk Group, Moody’s Investors Service (Moderator)

09:45-10:30 Panel A2: Accessing China’s Markets in the Current Economic Climate: Stock Connect, CIBM and Other Channels
- Arnaud Delestienne, Executive VP, Head of Core Product Management, Clearstream
- Ivan Nicora, CEO Euroclear Hong Kong Branch and Regional Head of Asia-Pacific, Euroclear
- Ryan Wuebbels, ED, Principal Strategic Investments, Goldman Sachs
- Chris Ryan, MD, Head of Asia Pacific, MSCI
- Ashley Perrott, MD, Head of Pan Asia Fixed Income, UBS Asset Management
- Brad Maclean, ED and Research Principle, Regulation Asia and RMB Week (Moderator)

10:30-11:00 Coffee Break

11:00-11:45 Panel A3: India: One Step Forward, One Step Back?
- Neeraj Kulshrestha, Chief Business Operations, Bombay Stock Exchange (BSE)
- Sanjay Awasthi, Director, Central Dealing Desk, Eastspring Investments
- Jayen Shah, Head of Debt Capital Markets – Financial Markets Group, IDFC Bank
- Jayesh H, Founder Partner, Juris Corp
- Alexandre Kech, Head of Securities Markets & Standards, APAC, SWIFT
- Radhika Rao, VP, Economic and Currency Research, Group Research, DBS Bank (Moderator)
11:45-12:30  Panel A4: ASEAN: Onwards to Further Integration?

- Philip McNicholas, Senior Economist, Asia ex-Japan, Global Markets, BNP Paribas
- Nellie C. Dagdag, MD - Industry Relations, Sales & Solution Delivery, Depository Trust & Clearing Corporation (DTCC)
- Kenneth Tang, Senior Portfolio Manager, Asian Equity, Nikko Asset Management Asia
- Christian De Guzman, VP – Senior Credit Officer, Sovereign Risk Group, Moody’s Investors Service
- Xavier Jean, Senior Director, Corporate Ratings, S&P Global Ratings
- Christopher Langner, Gadfly Columnist, Bloomberg (Moderator)


- Andrew Ng, Chairman, ASIFMA; Group Executive, Head of Treasury & Markets, DBS Bank
- David Lynne, Head of Fixed Income and Currencies, Asia Pacific, Deutsche Bank
- Shantanu Shete, Head of Macro Sales, Singapore, Goldman Sachs
- Klaus Baader, Head of Research for Asia and Chief Economist for Asia Pacific, Societe Generale
- Sam Vilo, MD, Head of Financial Markets & ALM Singapore, Standard Chartered Bank
- Vijay Chander, ED – Fixed Income, ASIFMA (Moderator)

13:15–14:15  Buffet Lunch
Morning Break-out Session – Stream B
(For all conference registrants, open to the media)

08:30-09:00 Delegate Check-in

09:00-09:45 Panel B1: Big Data: Artificial Intelligence, Machine Learning and Robo Advisors
- Adrian Gostick, Chief Revenue Officer, BondIT
- Joanne Hon, Head of Asia Pacific, Dealogic
- Craig Davis, Partner, Head of Financial Risk Management, ASPAC, KPMG
- Joe Rothermich, Director, Data Science, Thomson Reuters
- Isabella Zhong, Reporter & Writer, Barron’s Asia (Moderator)

09:45-10:30 Panel B2: Cybersecurity, the Cloud and Privacy: the Way Forward
- Kevin Fedigan, Head of Asset Servicing and Broker Dealer Services, BNY Mellon
- Greg Rattray, MD, Global Cyber Partnerships & Government Strategy, JPMorgan Chase & Co.
- Andrew Cooke, Regional Director - Legal Affairs, Microsoft Asia Pacific & Japan
- David Gurle, Founder & CEO, Symphony
- Jeremy Pizzala, Partner, EY (Moderator)

10:30-11:00 Coffee Break

11:00-11:45 Panel B3: PFMI Standards and Recognition by EU and US of Asian CCPs: Resilience and Recovery and Resolution
- Larry E. Thompson, Vice Chairman and General Counsel, Depository Trust & Clearing Corporation (DTCC)
- Jenny Cosco, Co-Head of Government Affairs, Asia-Pacific, Goldman Sachs
- Ketan Patel, MD, Deputy Group Risk Officer, Hong Kong Exchanges & Clearing Limited (HKEX)
- Rogier van Kempen, ED, Clearinghouse Risk, Strategy & Advisory, J.P. Morgan
- Agnes Koh, Executive VP, Chief Risk Officer, Singapore Exchange Limited (SGX)
- Kishore Ramakrishnan, Director, Consulting, PwC (Moderator)
11:45-12:30  Panel B4: *Infrastructure Financing: What is the Role for the Private Sector in Asia?*
- Jim Barry, MD, Global Head of Real Assets, BlackRock
- Boo Hock Khoo, VP, Operations, Credit Guarantee and Investment Facility (CGIF)
- Abhishek Dangra, Director, Corporate Ratings, S&P Global Ratings
- Hon Cheung, Head of Official Institutions Group, APAC, State Street Global Advisors
- James Abbott, Partner, Allen & Overy (*Moderator*)

12:30-13:15  Panel B5: *Green Finance and Sustainable Investing: Flavor of the Month or a Game Changer?*
- Ray Tay, VP - Senior Analyst, Project and Infrastructure Finance, Moody's Investors Service
- Laurent Morel, MD, Head of Debt Capital Markets & Advisory, Asia Pacific, Societe Generale
- Rahul Sheth, ED, Capital Solutions, Standard Chartered Bank
- John Barry, Head of Capital Financing, Asia, Products & Markets, National Australia Bank
- Ray Chan, Senior Investment Banking Reporter, FinanceAsia (*Moderator*)

13:15–14:15  Buffet Lunch
**Afternoon Concurrent Roundtables**
*(For all conference registrants, closed to the media)*

14:15-14:20 **Welcome and Introduction to Concurrent Roundtables**

*There are two consecutive sessions of 4 simultaneous roundtables, each representing a designated topic with at least two moderators leading the discussion. Delegates are able to join any table of their choosing.*

14:20-15:00 **Concurrent Roundtables (For all conference registrants, closed to the media)**

**Roundtable 1: Digital Currency: from Frontier of Bitcoin to Central Bank Endorsement**
- Scott Likens, Partner, Consulting, PwC
- Derek DiPerna, Global Head of Sales, Partners, Support, Symphony

**Roundtable 2: Tax Policy Impact on Capital Markets Development**
- Gaetan Gosset, Head of Product Management Asia-Pacific, Euroclear
- Simon Jenner, Partner, Taxation, EY
- Greg Elliott, Global Head of Tax, CIB and Client Businesses, Standard Chartered Bank

**Roundtable 3: Culture: Industry Codes of Conduct VS. Tougher Regulation and Tougher Regulators**
- Cindy Mok, Director, Head of Department, Monetary and Domestic Markets Management, Monetary Authority of Singapore (MAS)
- Tom Lodder, MD, Head of Regulatory Policy and Macro and Credit Legal, Asia Pacific, Barclays
- Peter Douglas, Director, Asia Pacific, CAIA Association

**Roundtable 4: Bank Booking Models: Headed Towards Regionalization?**
- Kishore Ramakrishnan, Director, Consulting, PwC
- Joel Grant, Director, Advisory, Financial Services Risk Management, EY

15:00-15:30 **Coffee Break**
Concurrent Roundtables (For all conference registrants, closed to the media)

Roundtable 5: Weighting Risk: Will the Standardized Approach Unfairly Punish Emerging Market Credit?
- Andrea Schnoz, Director, Finance Regulatory Policy, Barclays
- Matt Holmes, MD, Head of Group Regulatory Policy, Deutsche Bank
- Vanessa Le Leslé, Head of Regulatory Affairs, Asia Pacific, J.P. Morgan

Roundtable 6: KYC: Regulators Are Now Endorsing Utilities but Is That the End to a Globalized Approach?
- Hasan Rauf, ED, Head of Business Development – Asia Pacific, Depository Trust and Clearing Corporation (DTCC)
- Brie Lam, Director, Regulatory & Compliance Services, IHS Markit
- Julia Walker, Head of Market Development, Risk and Regulatory Technology, Asia, Thomson Reuters
- Andrew Burlison, Head of Compliance Services, Asia Pacific, SWIFT

Roundtable 7: Exchanges: To Suspend or Not Suspend, Is This Even a Question in a Volatile Market?
- Katherine Abrat, Chair, Alternative Investment Management Association (AIMA); MD, Goldman Sachs
- Nico Torchetti, Senior VP, Head of Market Services, Singapore Exchange (SGX)

Roundtable 8: OTC Derivatives: Will Bilateral OTC Disappear and Clearing Be King?
- Rahul Advani, Assistant Director, Public Policy, Asia Pacific, International Swaps and Derivatives Association (ISDA)
- Pierre-Henri Joly, Global Markets COO, Head of Regulatory Transformation APAC, Societe Generale
- Luke Brereton, MD, Co-Head of Execution, Clearing, Liquidity and Portfolio Services, Standard Chartered Bank

Closing Remarks
# 2016 ANNUAL CONFERENCE
17-18 November 2016 | Singapore

## DEVELOPING ASIA’S CAPITAL MARKETS

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