

2016

ANNUAL CONFERENCE

17-18 November 2016 | Singapore



DEVELOPING ASIA'S CAPITAL MARKETS

ASIFMA Annual Conference 2016 Final Program

DAY 1: Thursday 17 November 2016 - Global and Regulatory Topics

Conference Location: Marina Mandarin Singapore, Marina Mandarin Ballroom – Level 1
(6 Raffles Boulevard, Marina Square, Singapore 039594)

Morning Session - Closed-Door Regulator Meetings
(For 30-50 regulators by invitation only, closed to the media)

**For each meeting, it begins with a short presentation summarizing the industry's views, followed by a short panel discussion and in-depth interaction with the audience who are restricted to policymakers, central banks and public officials.*

08:30-09:00 Breakfast

- 09:00-09:45 **Meeting 1: Asia's Response to European and North American Regulatory Measures Including in Light of Brexit**
- **Larry E. Thompson**, Vice Chairman and General Counsel, Depository Trust & Clearing Corporation (DTCC)
 - **Vanessa Le Leslé**, Head of Regulatory Affairs, Asia Pacific, J.P. Morgan
 - **Edward Lee**, Head of ASEAN Economic Research, Standard Chartered Bank
 - **Mark Austen**, CEO, ASIFMA (Moderator)

- 09:45-10:30 **Meeting 2: Developing Hedging Markets in Asia in Light of the G20 Reforms**
- **Trevor Vail**, Global Head of Credit Trading, ANZ
 - **Pradeep Kandasamy**, VP, Treasury & Markets, DBS Bank
 - **Rahul Advani**, Assistant Director, Public Policy, Asia Pacific, International Swaps and Derivatives Association (ISDA)
 - **Pierre-Henri Joly**, Global Markets COO, Head of Regulatory Transformation APAC, Societe Generale
 - **Mark Austen**, CEO, ASIFMA (Moderator)

10:30-10:45 Coffee Break

- 10:45-11:30 **Meeting 3: Sustainable Infrastructure Finance Including Project Bonds**
- **James Abbott**, Partner, Allen & Overy
 - **Boo Hock Khoo**, VP, Operations, Credit Guarantee and Investment Facility (CGIF)
 - **Marc Freydefont**, Senior Director, Fixed Income – Asia Solutions, Credit Suisse
 - **Abhishek Dangra**, Director, Corporate Ratings, S&P Global Ratings
 - **Mark Austen**, CEO, ASIFMA (Moderator)

DAY 1: Thursday 17 November 2016 (Con't)

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Morning Session - Closed-Door Asset Management Panels

(For 30-50 **asset management and investor representatives** by invitation only, closed to the media)

08:30-09:00 Breakfast

09:00-09:45 **Panel 1: Online Fund Distribution**

- **Damien Mooney**, MD, Head of Retail Business, Chief Marketing Officer, Asia Pacific, BlackRock
- **Lim Chung Chun**, Chairman and CEO, iFAST Corporation Ltd
- **Donna Chen**, Founder & President, Keystone Intelligence Inc
- **Xiaoming Zhou**, Deputy General Manager, Tianhong Asset Management Co. Ltd.
- **Stewart Aldcroft**, Chairman, Cititrust Limited, Hong Kong (*Moderator*)

09:45-10:30 **Panel 2: Pensions and Retirement**

- **Rachel Farrell**, MD, Head of Sovereign and Institutional for Asia Pacific ex-Japan, J.P. Morgan Asset Management
- **Hiro Kasai**, Senior VP and Head of Investment, Tokio Marine Asia Pte. Ltd.
- **Charles Lin**, Principal, Head of Greater China, Vanguard Investments Hong Kong Limited
- **Xavier Meyer**, Head of Product Strategy & Development, Eastspring Investments (*Moderator*)

10:30-10:45 Coffee Break

10:45-11:30 **Panel 3: Stewardship and Responsible Investment**

- **Alex Ng**, Chief Investment Officer, Asia Pacific, BNP Paribas Investment Partners
- **Kevin Gibson**, Chief Investment Officer, Eastspring Investments
- **Jenn-Hui Tan**, Director of Corporate Finance, Fidelity International
- **Harriet Steel**, Global Head of Business Development, Member of Board and Executive Committee, Hermes Investment Management
- **Lawrence Liu**, Board Director, Taiwan Stock Exchange; Chairman, CDIB Venture Capital Corporation
- **Eugenie Shen**, MD – Head of Asset Management Group, ASIFMA (*Moderator*)

DAY 1: Thursday 17 November 2016 (Con't)

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Morning Session - Closed-Door Women Session

(Hosted by Thomson Reuters, RSVP to ambili.nair@tr.com)

(Supported by ASIFMA and Women In Finance Asia)

*(For 30-50 **conference registrants** by invitation only, closed to the media)*

09:00-11:30 Panel: Diversity and Innovation

- *How investing in diversity improves results*
- *Women in FinTech*
- *The diversity and inclusion challenges and solutions the industry faces*
- *How we drive the next wave of change*
- *Increasing the number of women on boards*
- **Jessica Tilton**, Head of Markets, Asia, National Australia Bank (NAB)
- **Mrinalini Venkatachalam**, Head- Public Awareness and Youthatives, Singapore Committee for UN Women
- **Mouna Aouri Langendrof**, Founder & Chief Momentum Officer, Woomentum
- **Leesa Soulodre**, Chief Reputation Risk Officer and Managing Partner, RL Expert Group
- **Julia Walker**, Head of Market Development, Risk, Asia, Thomson Reuters
- **Widade Dequerre**, Project Manager, Asia Pacific, Thomson Reuters (*Moderator*)

DAY 1: Thursday 17 November 2016 (Con't)

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Afternoon Session

(For all conference registrants, open to the media)

- | | |
|-------------|--|
| 11:30-12:30 | Delegate Check-in & Buffet Lunch |
| 12:30-12:45 | Welcome Remarks |
| 12:45-13:05 | Keynote 1
Jacqueline Loh , Deputy Managing Director, Monetary Authority of Singapore |
| 13:05-13:25 | Keynote 2
Greg Medcraft , Chairman, Australian Securities & Investments Commission |
| 13:25-14:15 | Panel 1: Global Regulators <ul style="list-style-type: none"> • Greg Medcraft, Chairman, Australian Securities & Investments Commission • Antonio de Lecea, Principal Advisor to the Director General, Directorate General for Economic and Financial Affairs, European Commission • Nick Miller, Head of EU & Global Department, Financial Conduct Authority • Jingdong Hua, VP, Treasury and Syndications, International Finance Corporation • Carter McDowell, MD and Associate General Counsel, SIFMA • Stephen Lackey, Chairman, Asia Pacific, BNY Mellon (<i>Moderator</i>) |
| 14:15-14:45 | Coffee Break |
| 14:45-15:30 | Panel 2: Asian Regulators and Exchanges <ul style="list-style-type: none"> • Rapee Sucharitakul, Secretary-General, Chairman of Capital Market Supervisory Board, Securities and Exchange Commission, Thailand • Takuo Komori, Deputy Commissioner for International Affairs, Financial Services Agency, Japan • Jae-Ryong Jeong, Head of Life Insurance Team, Financial Supervisory Service, Korea • Nagendra Kumar Srvs, Chief Business Officer, Currency Derivatives & Debt Market, National Stock Exchange of India Ltd. • Lawrence Liu, Board Director, Taiwan Stock Exchange; Chairman, CDIB Venture Capital Corporation • Damien Ryan, Founder and MD, Ryan Communication (<i>Moderator</i>) |
| 15:30-16:15 | Panel 3: Asian CEOs <ul style="list-style-type: none"> • Ajai Kaul, CEO & Head of Asia Pacific ex-Japan Client Group, AB • Mark Talbot, MD, Asia Pacific, Fidelity International • Michael Falcon, CEO, Global Investment Management, Asia Pacific, J.P. Morgan Asset Management • Lieven Debruyne, CEO, Asia Pacific, Schroders • Oriel Morrison, Co-anchor, CNBC (<i>Moderator</i>) |
| 16:15-16:45 | Interview On Stage
David Rutter , Founder and CEO, R3
By Mark Austen , CEO, ASIFMA |
| 16:45-17:00 | Wrap Up for Day 1 |

DAY 1: Thursday 17 November 2016 (Con't)

Evening Session – ASIFMA 10th Anniversary Dinner Reception

(For 100-120 dinner sponsors and special guests who receive a separate dinner confirmation email by invitation only, closed to the media)

Dinner Venue: Aura Sky Lounge, Level 6, National Gallery Singapore

- Address: 1 St. Andrews Road, 06-02 National Gallery Singapore, Singapore 178957
- Entrance is on Coleman Street. Please access from Supreme Court Lane.

18:00-18:45 Guest Check-in and Cocktail

18:45 Dinner Starts and Welcome Remarks

19:30-20:30 Panel: Global CEOs

- **Gang Chu**, COO, China International Capital Corporation (CICC)
- **Piyush Gupta**, CEO and Director, DBS Group
- **Sridhar Chandrasekharan**, Global CEO, HSBC Global Asset Management
- **Henrik Raber**, Global Head of Capital Markets, Standard Chartered Bank
- **Mark Austen**, CEO, ASIFMA (*Moderator*)

21:30 Dinner Concludes

Sponsorship Details for Dinner Reception

Tables: HKD22,000 nett (per table of 10 persons)

- Half Table available but subject to ASIFMA's discretion on partnering
- Individual seats will only be considered if Tables are available in November on a first-come-first-served basis

Regulators: At least 2 allocated per table on first-come-first-served basis

Investors: At least 1 allocated per table on first-come-first-served basis

Timeline: Tables will be available based on following schedule:

- Confirmed Conference Sponsors, from July
- ASIFMA Board, from August
- ASIFMA Members, from September
- Non-Members, from October

DAY 2: Friday, 18 November 2016 - Global Markets Topics

Conference Location: Marina Mandarin Singapore, Marina Mandarin Ballroom – Level 1

Morning Break-out Session – Stream A
(For all conference registrants, open to the media)

08:30-09:00 Delegate Check-in

09:00-09:45 **Panel A1: Brexit: Impact on Economic Growth in Asia**

- **Sherry Madera**, Minister-Counsellor & Director for Financial Professional Services and ICT, British Embassy
- **Philip McNicholas**, Senior Economist, Asia ex-Japan, Global Markets, BNP Paribas
- **David James Brown**, VP, Business Development, BNY Mellon
- **Edward Lee**, Head of ASEAN Economic Research, Standard Chartered Bank
- **Sanjeev Chatrath**, MD, Region Head, Asia, Financial & Risk, Thomson Reuters
- **Marie Diron**, Associate MD, Sovereign Risk Group, Moody's Investors Service (*Moderator*)

09:45-10:30 **Panel A2: Accessing China's Markets in the Current Economic Climate: Stock Connect, CIBM and Other Channels**

- **Arnaud Delestienne**, Executive VP, Head of Core Product Management, Clearstream
- **Ivan Nicora**, CEO Euroclear Hong Kong Branch and Regional Head of Asia-Pacific, Euroclear
- **Ryan Wuebbels**, ED, Principal Strategic Investments, Goldman Sachs
- **Chris Ryan**, MD, Head of Asia Pacific, MSCI
- **Ashley Perrott**, MD, Head of Pan Asia Fixed Income, UBS Asset Management
- **Brad Maclean**, ED and Research Principle, Regulation Asia and RMB Week (*Moderator*)

10:30-11:00 Coffee Break

11:00-11:45 **Panel A3: India: One Step Forward, One Step Back?**

- **Neeraj Kulshrestha**, Chief Business Operations, Bombay Stock Exchange (BSE)
- **Sanjay Awasthi**, Director, Central Dealing Desk, Eastspring Investments
- **Jayen Shah**, Head of Debt Capital Markets – Financial Markets Group, IDFC Bank
- **Jayesh H**, Founder Partner, Juris Corp
- **Alexandre Kech**, Head of Securities Markets & Standards, APAC, SWIFT
- **Radhika Rao**, VP, Economic and Currency Research, Group Research, DBS Bank (*Moderator*)

11:45-12:30 **Panel A4: ASEAN: Onwards to Further Integration?**

- **Philip McNicholas**, Senior Economist, Asia ex-Japan, Global Markets, BNP Paribas
- **Nellie C. Dagdag**, MD - Industry Relations, Sales & Solution Delivery, Depository Trust & Clearing Corporation (DTCC)
- **Kenneth Tang**, Senior Portfolio Manager, Asian Equity, Nikko Asset Management Asia
- **Christian De Guzman**, VP – Senior Credit Officer, Sovereign Risk Group, Moody's Investors Service
- **Xavier Jean**, Senior Director, Corporate Ratings, S&P Global Ratings
- **Christopher Langner**, Gadfly Columnist, Bloomberg (*Moderator*)

12:30-13:15 **Panel A5: Asian FICC Market Liquidity: Negative Interest Rates VS. Shrinking Bank Balance Sheets**

- **Andrew Ng**, Chairman, ASIFMA; Group Executive, Head of Treasury & Markets, DBS Bank
- **David Lynne**, Head of Fixed Income and Currencies, Asia Pacific, Deutsche Bank
- **Shantanu Shete**, Head of Macro Sales, Singapore, Goldman Sachs
- **Klaus Baader**, Head of Research for Asia and Chief Economist for Asia Pacific, Societe Generale
- **Sam Vilo**, MD, Head of Financial Markets & ALM Singapore, Standard Chartered Bank
- **Vijay Chander**, ED – Fixed Income, ASIFMA (*Moderator*)

13:15-14:15 Buffet Lunch

DAY 2: Friday, 18 November 2016 - Global Markets Topics (Con't)

Conference Location: Marina Mandarin Singapore, Marina Mandarin Ballroom – Level 1

Morning Break-out Session – Stream B
(For all conference registrants, open to the media)

- 08:30-09:00 Delegate Check-in
- 09:00-09:45 **Panel B1: Big Data: Artificial Intelligence, Machine Learning and Robo Advisors**
- **Adrian Gostick**, Chief Revenue Officer, BondIT
 - **Joanne Hon**, Head of Asia Pacific, Dealogic
 - **Craig Davis**, Partner, Head of Financial Risk Management, ASPAC, KPMG
 - **Joe Rothermich**, Director, Data Science, Thomson Reuters
 - **Isabella Zhong**, Reporter & Writer, Barron's Asia (*Moderator*)
- 09:45-10:30 **Panel B2: Cybersecurity, the Cloud and Privacy: the Way Forward**
- **Kevin Fedigan**, Head of Asset Servicing and Broker Dealer Services, BNY Mellon
 - **Greg Rattray**, MD, Global Cyber Partnerships & Government Strategy, JPMorgan Chase & Co.
 - **Andrew Cooke**, Regional Director - Legal Affairs, Microsoft Asia Pacific & Japan
 - **David Gurle**, Founder & CEO, Symphony
 - **Jeremy Pizzala**, Partner, EY (*Moderator*)
- 10:30-11:00 Coffee Break
- 11:00-11:45 **Panel B3: PFMI Standards and Recognition by EU and US of Asian CCPs: Resilience and Recovery and Resolution**
- **Larry E. Thompson**, Vice Chairman and General Counsel, Depository Trust & Clearing Corporation (DTCC)
 - **Jenny Cosco**, Co-Head of Government Affairs, Asia-Pacific, Goldman Sachs
 - **Ketan Patel**, MD, Deputy Group Risk Officer, Hong Kong Exchanges & Clearing Limited (HKEX)
 - **Rogier van Kempen**, ED, Clearinghouse Risk, Strategy & Advisory, J.P. Morgan
 - **Agnes Koh**, Executive VP, Chief Risk Officer, Singapore Exchange Limited (SGX)
 - **Kishore Ramakrishnan**, Director, Consulting, PwC (*Moderator*)
- 11:45-12:30 **Panel B4: Infrastructure Financing: What is the Role for the Private Sector in Asia?**
- **Jim Barry**, MD, Global Head of Real Assets, BlackRock
 - **Boo Hock Khoo**, VP, Operations, Credit Guarantee and Investment Facility (CGIF)
 - **Abhishek Dangra**, Director, Corporate Ratings, S&P Global Ratings
 - **Hon Cheung**, Head of Official Institutions Group, APAC, State Street Global Advisors
 - **James Abbott**, Partner, Allen & Overy (*Moderator*)
- 12:30-13:15 **Panel B5: Green Finance and Sustainable Investing: Flavor of the Month or a Game Changer?**
- **Ray Tay**, VP - Senior Analyst, Project and Infrastructure Finance, Moody's Investors Service
 - **Laurent Morel**, MD, Head of Debt Capital Markets & Advisory, Asia Pacific, Societe Generale
 - **Rahul Sheth**, ED, Capital Solutions, Standard Chartered Bank
 - **John Barry**, Head of Capital Financing, Asia, Products & Markets, National Australia Bank
 - **Ray Chan**, Senior Investment Banking Reporter, FinanceAsia (*Moderator*)
- 13:15-14:15 Buffet Lunch

DAY 2: Friday, 18 November 2016 - Global Markets Topics (Con't)

Conference Location: Marina Mandarin Singapore, Marina Mandarin Ballroom – Level 1

Afternoon Concurrent Roundtables

*(For **all conference registrants**, closed to the media)*

14:15-14:20 **Welcome and Introduction to Concurrent Roundtables**

**There are two consecutive sessions of 4 simultaneous roundtables, each representing a designated topic with at least two moderators leading the discussion. Delegates are able to join any table of their choosing.*

14:20-15:00 **Concurrent Roundtables (For **all conference registrants**, closed to the media)**

Roundtable 1: Digital Currency: from Frontier of Bitcoin to Central Bank Endorsement

- **Scott Likens**, Partner, Consulting, PwC
- **Derek DiPerna**, Global Head of Sales, Partners, Support, Symphony

Roundtable 2: Tax Policy Impact on Capital Markets Development

- **Gaetan Gosset**, Head of Product Management Asia-Pacific, Euroclear
- **Simon Jenner**, Partner, Taxation, EY
- **Greg Elliott**, Global Head of Tax, CIB and Client Businesses, Standard Chartered Bank

Roundtable 3: Culture: Industry Codes of Conduct VS. Tougher Regulation and Tougher Regulators

- **Cindy Mok**, Director, Head of Department, Monetary and Domestic Markets Management, Monetary Authority of Singapore (MAS)
- **Tom Lodder**, MD, Head of Regulatory Policy and Macro and Credit Legal, Asia Pacific, Barclays
- **Peter Douglas**, Director, Asia Pacific, CAIA Association

Roundtable 4: Bank Booking Models: Headed Towards Regionalization?

- **Kishore Ramakrishnan**, Director, Consulting, PwC
- **Joel Grant**, Director, Advisory, Financial Services Risk Management, EY

15:00-15:30 **Coffee Break**

DAY 2: Friday, 18 November 2016 - Global Markets Topics (Con't)

Conference Location: Marina Mandarin Singapore, Marina Mandarin Ballroom – Level 1

15:30-16:10 **Concurrent Roundtables** (For **all conference registrants**, closed to the media)

Roundtable 5: *Weighting Risk: Will the Standardized Approach Unfairly Punish Emerging Market Credit?*

- **Andrea Schnoz**, Director, Finance Regulatory Policy, Barclays
- **Matt Holmes**, MD, Head of Group Regulatory Policy, Deutsche Bank
- **Vanessa Le Leslé**, Head of Regulatory Affairs, Asia Pacific, J.P. Morgan

Roundtable 6: *KYC: Regulators Are Now Endorsing Utilities but Is That the End to a Globalized Approach?*

- **Hasan Rauf**, ED, Head of Business Development – Asia Pacific, Depository Trust and Clearing Corporation (DTCC)
- **Brie Lam**, Director, Regulatory & Compliance Services, IHS Markit
- **Julia Walker**, Head of Market Development, Risk and Regulatory Technology, Asia, Thomson Reuters
- **Andrew Burlison**, Head of Compliance Services, Asia Pacific, SWIFT

Roundtable 7: *Exchanges: To Suspend or Not Suspend, Is This Even a Question in a Volatile Market?*

- **Katherine Abrat**, Chair, Alternative Investment Management Association (AIMA); MD, Goldman Sachs
- **Nico Torchetti**, Senior VP, Head of Market Services, Singapore Exchange (SGX)

Roundtable 8: *OTC Derivatives: Will Bilateral OTC Disappear and Clearing Be King?*

- **Rahul Advani**, Assistant Director, Public Policy, Asia Pacific, International Swaps and Derivatives Association (ISDA)
- **Pierre-Henri Joly**, Global Markets COO, Head of Regulatory Transformation APAC, Societe Generale
- **Luke Brereton**, MD, Co-Head of Execution, Clearing, Liquidity and Portfolio Services, Standard Chartered Bank

16:10-16:20 **Closing Remarks**

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