

## *Growing Asia's Markets*

### *Asia Securities Industry & Financial Markets Association*

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ASIFMA is an independent regional industry association established in 2006 to focus on the development of capital markets in Asia. Our over 100 members are all from the financial industry or service it.

As part of the **Global Financial Markets Association (GFMA)** alliance with the **Securities Industry & Financial Markets Association (SIFMA)** in New York and Washington, D.C. and **Association for Financial Markets in Europe (AFME)** in London and Brussels, ASIFMA is able to provide insights on global best practices and standards to benefit the region as well as share perspectives on Asia with other parts of the world.

#### *Our Mission*

ASIFMA's mission is to harness the shared interests of the financial industry to promote the development of deep, liquid and broad capital markets in Asia, which is fundamental to the region's development and economic growth.

ASIFMA seeks to drive consensus, advocate solutions and effect change around key issues through the collective strength and clarity of one industry voice. Our many initiatives include consultations with regulators and exchanges, development of uniform industry standards, advocacy for enhanced markets through policy papers, and finding ways to lower the costs of doing business in the region.

#### *Our Members*

Our members comprise a diverse range of leading institutions from banks and asset managers to professional firms and market infrastructure service providers. As of January 2017, ASIFMA's membership comprises 37 banks, 21 asset managers and 42 non-bank non-asset manager members.

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#### **Asia Securities Industry & Financial Markets Association**

Unit 3603, Tower 2, Lippo Centre  
89 Queensway, Admiralty  
Hong Kong

#### *Why Join ASIFMA?*

ASIFMA membership is open to participants in the Asian capital markets, subject to conditions set and approved by the Board.

**Being a member of ASIFMA enables an organization to:**

- **Champion** industry issues through one collective voice
- **Influence** regulations and regulatory reform
- **Shape** policy focus and development
- **Develop** industry best practices and market standards
- **Advocate** stable and efficient capital markets
- **Drive** regional business growth and expansion
- **Lower** costs and improve efficiencies
- **Access** a professional community and network
- **Benefit** from ASIFMA sponsored events, conferences and papers
- **Receive** the latest regulatory news

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#### **Contact us**

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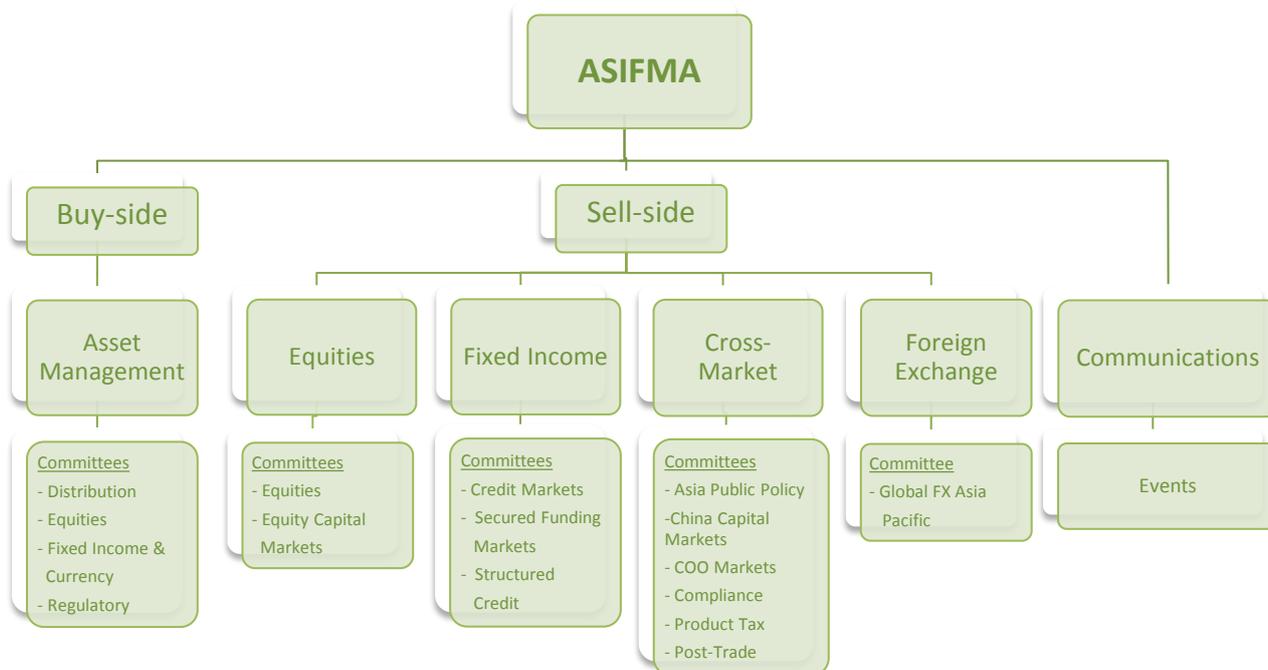
## Our Governance

ASIFMA's governing body is its **Board of Directors** (the Board) which comprises 28 senior representatives from member firms, including three representatives from the ASIFMA Asset Management Group (AAMG) which has its own separate and independent Steering Committee. The Board has a Chair, a Vice-Chair and a Treasurer who are each elected for a two-year term. They, together with the CEO of ASIFMA and nine other representatives of the Board, form the Executive Committee which has the authority to act for the Board in between Board meetings.

AAMG has its own Steering Committee made up of representatives from each of its member firms. AAMG's Steering Committee has a Chair, two Vice Chairs and a Treasurer who are each elected for a two-year term. They, together with two other representatives of the Steering Committee, form AAMG's Executive Committee which acts for the Steering Committee in between Steering Committee meetings.

## Our Organisation

ASIFMA is organised by divisions with committees and working groups thereunder to serve the interests and needs of our members. Each of the divisions is headed by one of the ASIFMA staff who provides support to the committees and working groups set up from time to time under the leadership of one or more representative(s) from member firms. Below is a chart of the ASIFMA divisions and committees.



## Our Events and Publications

ASIFMA organises conferences and workshops, both in the region and in the U.S. and Europe, to provide thought leadership, focus attention on issues and facilitate communication among stakeholders in the capital markets. We also publish, on our own and with our partners, white papers on various topics of interest. Through these events and publications ASIFMA provides valuable insights and a platform for discourse not only for our members but also the wider community, including global and regional policy makers and regulators, on issues affecting the development of Asia's capital markets. Sponsorship of events and partnership for white papers provide members with significant profile-raising opportunities. For a list of our events and papers, please go to [www.asifma.org/events](http://www.asifma.org/events) and [www.asifma.org/research](http://www.asifma.org/research).