



# Making Better Markets for a Better World

Citadel Securities is an award-winning global market maker that helps meet the liquidity needs of asset managers, banks, broker-dealers, hedge funds, government agencies, and public pension programs. We offer a broad array of fixed income and equity products, utilizing a unique set of capabilities and tools designed to drive down the cost of transactions. We strive to provide the most efficient execution and highest caliber of client services, making markets more fair and accessible for all. For more information, visit [CitadelSecurities.com](http://CitadelSecurities.com).

## Key Facts

Launched in  
**2002**

Makes markets in more than

**8,000**

U.S.-listed securities  
and more than

**17,000**

OTC securities

**30+ countries**

Trading presence across the  
Americas, EMEA and APAC

More than

**500**

technologists and trading specialists

More than 400 Team members in  
Chicago, New York, London, Hong Kong,  
Toronto, Shanghai, Sydney and Dublin



Registered with the  
**SEC, FINRA, CFTC, UK FCA + HK SFC**

Equities &  
Options

Trades 1  
in 5 shares

that change hands  
in the U.S.<sup>1</sup>

Executes  
nearly 40%

of U.S. retail equities volume<sup>2</sup>

#1 footprint of any  
DMM on NYSE

covering approximately  
1,400 stocks<sup>3</sup>

Largest U.S.  
options specialist

executing approximately  
20% of all daily U.S. listed  
equity options volume<sup>4</sup>

<sup>1</sup> Measured based on publicly  
reported total transaction volume  
during April 2017

<sup>2</sup> Based on executed shares for  
market orders reported by direct  
competitors per SEC Rule 605

<sup>3</sup> Source: NYSE, measured based  
on number of DMM symbols

<sup>4</sup> Measured based on the sum of  
contracts executed in an agency  
or principal capacity divided by the  
publicly reported total OCC cleared  
volume during the 12 months  
ending Q4 2016

## Awards



## 2017

- *Risk* Award: Best Flow Equities Market Maker; Best Flow Rate Market Maker
- Global Capital Americas Derivatives Award Winner
- *Profit & Loss* Readers Choice Award: Best Market Maker in Major Currencies
- e-FX Award: Best FX Flow Market Maker

## 2016

- *Risk* Award: Interest Rate Derivatives House of the Year
- *Futures & Options World* International Award: Market Maker of the Year
- Markets Media Markets Choice Award: Best Company

## 2015

- IFR Award: Interest Rate Derivatives House of the Year
- Markets Media Markets Choice Award: Best Electronic Liquidity Provider

## Fixed Income & FX

#1

in response time to IRS and UST RFQs on Bloomberg<sup>1</sup>

### Only dealer

to offer a 100% firm executable stream for both active and off-the-run U.S. Treasuries on Bloomberg and Tradeweb

1st

non-bank clearing member of LCH. Clearnet's SwapClear, the largest clearing house for interest rate swaps

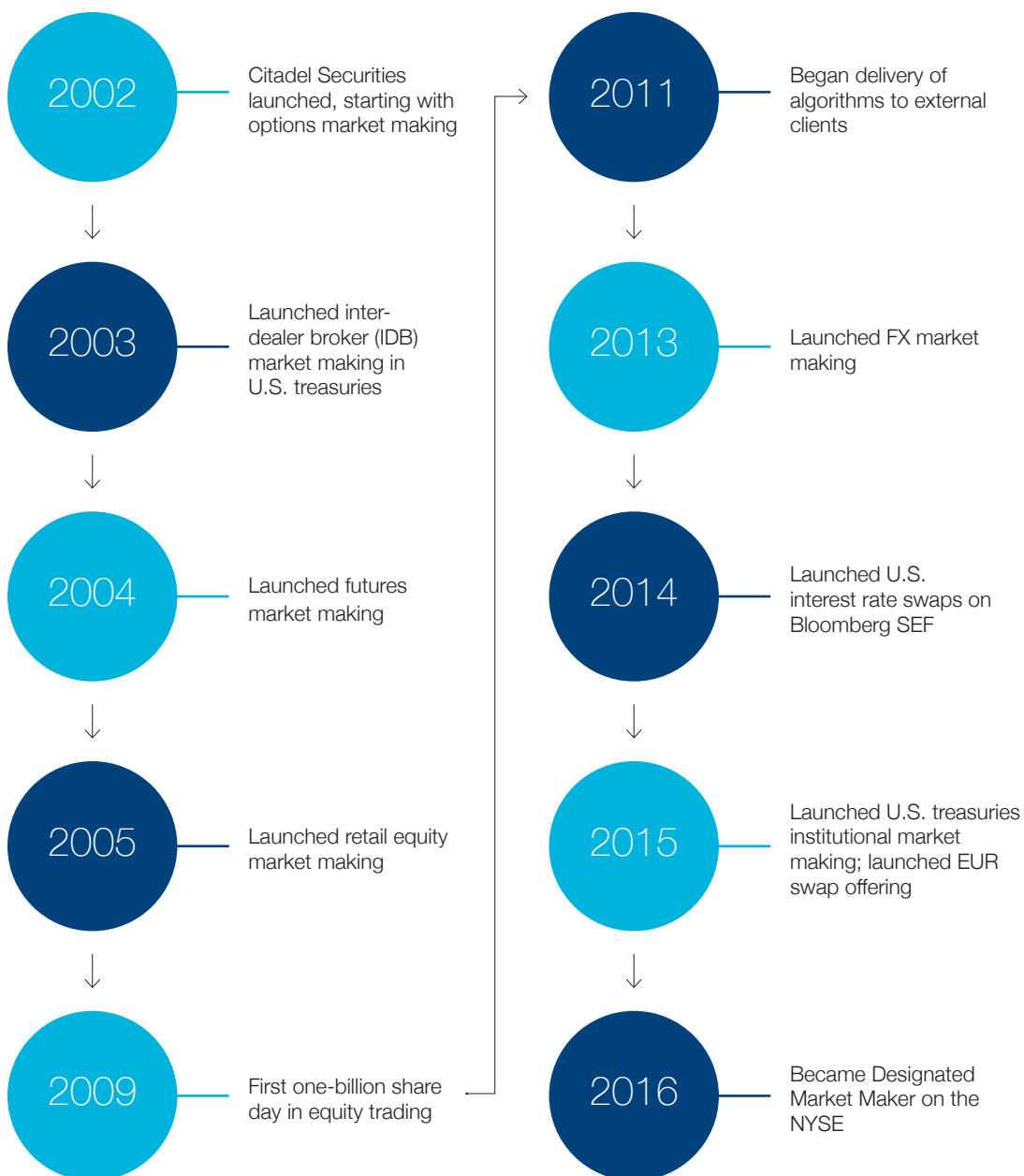
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## Key Milestones



<sup>1</sup> Bloomberg SEF ranking reports for Q1 2017