Event Factsheet

Dates: Wednesday-Thursday, 3-4 June 2020
Location: Ballroom, JW Marriott Hong Kong
(Pacific Place, 88 Queensway, Hong Kong)

Objective:
This flagship annual event in Asia for compliance and legal is “designed by the industry for the industry” where the industry (sell-side, buy-side, regulators, law firms, consultants and media) come together once a year to meet and discuss important issues impacting this area.

Program Format:
Two-day conference with keynote speeches, panels, two streams, roundtables and learning labs with ample time to network. The conference will be conducted in English.

Speaker Profile:
Global and Asian policy makers, regulators, central banks, industry experts and senior representatives from sell-side and buy-side communities.
At ASIFMA, we are committed to ensuring diversity and inclusion in our workplace and this policy is applied in all areas of our business including speaker roles at our events and conferences. We wish to work with members and partners who share this same commitment and are willing to support this policy.

Sponsorship Opportunities:
Expecting event sponsors to come from legal firms, consultants and technology utilities or platforms.
To effectively engage the esteemed audience and connect with the global and regional community, various sponsorship packages with speaking slot inclusion and advertising opportunities are open to ASIFMA members and non-members for more brand and marketing exposure as well as increasing lead generation.
This will be supplemented by learning labs at the breaks. Limited exhibit opportunities would be available as well.
Delegate Profile:
Expecting 300-400 high-level regulators, policy makers, industry experts, sell-side and buy-side representatives, initially by invitation and then marketed to a wider audience.

Delegate Fees:

<table>
<thead>
<tr>
<th></th>
<th>Early-bird Rates</th>
<th>Standard Rates</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(On or before 23 April 2020)</td>
<td>(On or after 24 April 2020)</td>
</tr>
<tr>
<td>Non-transferrable</td>
<td>Transferrable</td>
<td>Non-transferrable</td>
</tr>
<tr>
<td>Members of ASIFMA and</td>
<td>USD 300</td>
<td>USD 350</td>
</tr>
<tr>
<td>Conference Endorsers</td>
<td>USD 400</td>
<td>USD 450</td>
</tr>
<tr>
<td>Non-members</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- Each transferrable pass allows a maximum of four (4) delegates to participate in the conference at different times under the same admission pass (only one (1) delegate at a time).
- Only selected senior regulators, media and confirmed speakers will be offered complimentary passes. Institutional investors and corporate treasurers will have to pay a delegate fee as this is not a trading event.
- Sponsorship packages include a fixed number of delegate passes.

Marketing:
The conference is promoted through engagement of ASIFMA members in Asia and internationally, and by leveraging the contacts and connections of the conference Endorsers, Media Partners, AFME and SIFMA. The conference website is at [www.asifma.org/compliance-legal2020](http://www.asifma.org/compliance-legal2020).

Media Contact:
The event is open to the press (except for the Roundtables). For all media inquiry, please contact Corliss Ruggles (cruggles@asifma.org).

ASIFMA Contact:
Millie Hung, Senior Manager - Head of Events and Conferences (mhung@asifma.org)

Past Edition and Other Related Events:

**ASIFMA Compliance and Legal Conference 2019**: 29-30 May 2019, Hong Kong
- 400 delegates
- 9 sponsors (Compliance Asia, Exiger, Han Kun Law Offices, Herbert Smith Freehills, Latham & Watkins, Leap Xpert, Llinks Law, Mizuho, Refinitiv)
- 11 endorsing associations (Asia Cloud Computing Association, CFA Institute, CAIA, EuroCham Hong Kong, The Hong Kong Association of Banks, HKSI, ICMA, International Compliance Association, KOFIA, PIMFA, WiFA)
- 6 media partners (Asia First, Corporate Treasurer, Global Legal Group, Practice Insight, PaRR, Regulation Asia)
AFME 3rd Annual Compliance and Legal Conference, 2-4 October 2019, Paris, France
- 250+ delegates (Compliance, Legal, Regulation and Risk Officers, Regulators, ISV, Media)
- 3 days with 3 streams at certain points in the program
- 16 sponsors (Lead Partners: Herbert Smith Freehills, Hogan Lovells, KPMG; Premium Partners: Clifford Chance, Latham & Watkins, Société Générale; Partners: Linklaters, Natixis; Associate Partners: Simmons & Simmons, Allen & Overy, Capital Forensics; Exhibitors: Global Relay, K2 Intelligence, BEHAVOX, Thomson Reuters, PageFreezer)
- 4 endorsers (Amafi, Associazione Intermediari Mercati Finanziari – ASSOSIM, Financial News, IRTA)

SIFMA C&L Annual Seminar 2019, 24-27 March 2019, Phoenix, United States
- 2000+ delegates (Law firms, consultants, Banks, Buy-side, Regulators, ISV, Media)
- 4 days with up to 10 streams simultaneously; ends each day at 1pm for networking; a ‘destination conference’
- 5 media partners (Asia First, IFLR, NexChange, The Asian Banker, Reuters Breaking Views)
- Supported by Compliance and Legal Society