

ASIFMA China Capital Markets Week - April 19-23, 2021

Final Attendee List

| Organization | First Name | Last Name | Job Title | Type |
|---|-----------------|-----------------|--|----------|
| AllianceBernstein | Alex | Qian | Chief Executive Officer | Speaker |
| AllianceBernstein | Saskia | Kort-Chick | Vice President and Director of ESG Research and Engagement for Responsible Investing | Speaker |
| Amundi Asset Management | Xiaofeng | Zhong | Chief Executive Officer, North Asia | Speaker |
| ANZ | Owen | Gallimore | Global Head of ANZ Credit Trading Strategy | Speaker |
| ASIFMA | Eugenie | Shen | Managing Director - Head of Asset Management | Speaker |
| ASIFMA | Mark | Austen | CEO | Speaker |
| ASIFMA | Matthew | Chan | Executive Director – Head of Public Policy and Sustainable Finance | Speaker |
| ASIFMA | Lyndon | Chao | Managing Director – Head of Equities and Post Trade | Speaker |
| ASIFMA | Phillippe | Dirckx | Managing Director, Head of Fixed Income | Speaker |
| BEA Union Investment Management Limited | Rex | Lo | Managing Director, Business Development | Speaker |
| BlackRock | Simon | Williams | Managing Director, Head of APAC Equity Trading | Speaker |
| BlackRock | Patrick | Leung | Head of APAC Fixed Income and FX Trading | Speaker |
| BNP Paribas | Richard | Cohen | Head of Primary & Credit Markets, APAC – Global Markets | Speaker |
| BNP Paribas Asset Management | Gabriel | Wilson-Otto | Global Head of Sustainability Research | Speaker |
| BNP Paribas Securities Services | Kathy | Ong | Product Manager Asia Pacific, Clearing and Custody Solutions, Financial Intermediaries and Corporates | Speaker |
| BNY Mellon | Greig | Ramsay | APAC Collateral Product Strategy | Speaker |
| Bond Connect Company Limited | Julien | Martin | General Manager | Speaker |
| CECEP Environmental Consulting Group Limited | Joy | Song | Vice General Manager | Speaker |
| Center for China and Globalization | Mike | Liu | Vice President, Senior Fellow | Speaker |
| China Banking and Insurance Regulatory Commission (CBIRC) | Lixia | Li | Section Chief, Law and Regulation Department | Speaker |
| China Central Depository & Clearing | Wei | Fan | Lead Manager of Customer Service Department, China Bond Collateral Management Centre | Speaker |
| China Financial Futures Exchange (CFFEX) | Wei | Fan | Managing Director, International Business Development Department | Speaker |
| China Financial Futures Exchange (CFFEX) | Harry | You | Managing Director of Interest Rate Derivatives Department | Speaker |
| China Universal Asset Management (Hong Kong) | Muchun | Li | Deputy CEO | Speaker |
| CICC | David | Dong | Managing Director, Deputy Head of the Asset Management | Speaker |
| CICC | Ping | Feng | Head of QFI/WFOE Business and Managing Director of Equities Department | Speaker |
| CICC | Yiming (Wilson) | Zhang | Managing Director and Member of Managing Committee | Speaker |
| CICC | Hai Zhou | Huang | Managing Director, Head of Global Rates, Head of Global FX, Fixed Income Commodities and Currency | Speaker |
| Citadel Securities | Ke | Wang | Chief Operating Officer, Asia | Speaker |
| Citi | John | Buckley | Managing Director, Head, Asia Pacific Government Affairs | Speaker |
| Clifford Chance | Catherine | Simmons | Counsel | Speaker |
| Control Risks | Kimi | Liu | Principal | Speaker |
| Credit Agricole Corporate and Investment Bank | Jim | Fitzsimmons | Head of Linear FX and Emerging Markets Trading Asia | Speaker |
| Cyber Risk Institute | Patrick | Wu | Managing Director, Founder | Speaker |
| Deutsche Bank | Josh | Magri | Head of FIC Trading China | Speaker |
| Deutsche Bank | Stanley | Song | Global Emerging Markets, China Local Markets Trading | Speaker |
| DTC | Zhaoting | Xu | Country Manager, Greater China | Speaker |
| European Union Chamber of Commerce in China | George | Jia | Senior Policy and Communications Manager | Speaker |
| Fangda Partners | Jacob | Gunter | Partner | Speaker |
| Fidelity | Zhiyi | Ren | President, China | Speaker |
| Fitch Ratings | Daisy | Ho | China Corporate Research | Speaker |
| Fudan University | Jenny | Huang | Founding Co-Director of the Fintech Research Center, Fanhai International School of Finance | Speaker |
| Goldman Sachs | Michael | Sung | Head of Greater China Equities, Global Markets Division | Speaker |
| Goldman Sachs | Christina | Ma | APAC COO and Deputy Head of the North Asia Region, Private Wealth Management | Speaker |
| Goldman Sachs Gao Hua Securities Company Limited | Florence | Kui | Chairman | Speaker |
| Haitong Securities | Sean | Fan | General Manager of Institutional and International Business Department | Speaker |
| Han Kun Law Offices | Limin | Song | Partner | Speaker |
| Han Kun Law Offices | Tiecheng | Yang | Partner | Speaker |
| Hong Kong Exchanges and Clearing Ltd | Yin | Ge | Managing Director Markets Division | Speaker |
| Hong Kong Exchanges and Clearing Ltd | Tae | Yoo | Managing Director and Head of Markets | Speaker |
| Hong Kong Monetary Authority | Wilfred | Yiu | Head of Market Development | Speaker |
| Hong Kong Monetary Authority | Kenneth | Hui | Alan Au, Executive Director, Banking Conduct | Speaker |
| Hong Kong Monetary Authority | Alan | Au | Senior Advisor, External Department | Speaker |
| HSBC | Donald | Chen | Managing Director, Head of FX, EM Rates & Commodities, Debt Trading & Financing, Asia Pacific | Speaker |
| HSBC | William | Shek | Managing Director, ESG Solutions, Global Banking | Speaker |
| HSBC Bank (China) Company Limited | Jonathan | Drew | Managing Director, Deputy Head of Global Banking and Head of Financial Institutions Group | Speaker |
| Invesco | Sheng | Wang | Senior Portfolio Manager | Speaker |
| JP Morgan | Yifei | Ding | Head of Collateral Services APAC | Speaker |
| JP Morgan | O'Delle | Burke | Managing Director and Chief of Staff | Speaker |
| JP Morgan Asset Management | Stephen | Davison | China Country Head and Chairperson of China Management Meeting | Speaker |
| JPMorgan | Desiree | Wang | Vice President, Head of Technology and Cybersecurity Policy and Partnerships, Asia Pacific | Speaker |
| King & Wood Mallesons | Ying | Han | Partner | Speaker |
| KPMG | Richard | Mazzochi | Head of Sustainable Finance, Hong Kong | Speaker |
| Linklaters | Pat | Woo | Structured Finance and Derivatives Partner | Speaker |
| Links Law Offices | Karen | Lam | Partner, Head of Corporate Compliance Service Group | Speaker |
| Links Law Offices | David | Pan | Partner | Speaker |
| Links Law Offices | Xun | Yang | Partner | Speaker |
| Links Law Offices | Sandra | Lu | Partner | Speaker |
| London Stock Exchange Group | Jon | Edwards | Chief Representative, Beijing Representative Office | Speaker |
| London Stock Exchange Group | Helena | Fung | Head of Sustainable Investment, APAC | Speaker |
| Macquarie | Charles | Yonts | Head of Asian ESG Research | Speaker |
| Optiver | Daniel | Ku | Head of Business Development | Speaker |
| PwC Hong Kong | Patrick | Wong | Director | Speaker |
| Schroder Investment Management (Hong Kong) Limited | Amy | Cho | Chief Executive Officer, Hong Kong; Head of Intermediary, Asia Pacific | Speaker |
| Schroders | David | Guo | CEO | Speaker |
| Securities and Futures Commission | Derek | Shek | Director, Licensing | Speaker |
| Shenzhen Municipal Financial Regulatory Bureau | Jie | He | Director-General | Speaker |
| Shenzhen Stock Exchange | Fuzhong | Liu | Director of International Department | Speaker |
| Société Générale | Hugues | de la Marnierre | Group Country Head, Société Générale; Chief Executive Officer | Speaker |
| Standard Chartered | Wesley | Yang | Managing Director, Head of Financial Markets, China | Speaker |
| Standard Chartered Bank | Rose | Kay | Managing Director, Global Head of China Opening and RMB Internationalisation for Corporate, Commercial and Institutional Banking | Speaker |
| SWIFT | Whikie | Liu | Head of Capital Markets and Standards, China | Speaker |
| SynTao | Peiyuan | Guo | General Manager, SynTao and Chairman of SynTao Green Finance | Speaker |
| The Chamber of Hong Kong Listed Companies | Mike | Wong | CEO | Speaker |
| Tradeweb | Eugene | Cheung | Managing Director and Head of China | Speaker |
| Tradeweb | James | Sun | Managing Director, Head of Asia | Speaker |
| UBS | Kitty | Li | Head of APAC Equity Electronic Trading | Speaker |
| UBS | Graham | Cox | Co-Global Head of Bond Port | Speaker |
| Aberdeen Standard Investments | | | Product Manager | Delegate |
| ABN AMRO Clearing | | | Global Head of Securities Finance | Delegate |
| Alliance Bernstein | | | | Delegate |
| Alliance Bernstein | | | | Delegate |
| Alliance Bernstein | | | | Delegate |
| Alliance Bernstein | | | | Delegate |
| Alliance Bernstein | | | Risk Manager | Delegate |
| Alliance Bernstein | | | | Delegate |
| AllianceBernstein (AB) Investment Management (Shanghai) | | | | Delegate |
| AllianceBernstein (Singapore) | | | AVP Compliance | Delegate |
| AllianceBernstein (Singapore) | | | CEO Asia Pacific | Delegate |
| Amazon Web Services | | | Head of Public Policy, Hong Kong | Delegate |
| Amundi | | | Head of Marketing & Product | Delegate |
| Anonymous | | | Trading Department | Delegate |
| Anonymous | | | Trading Department | Delegate |
| Anonymous | | | Assistant director | Delegate |

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| Organization | First Name | Last Name | Job Title | Type |
|--|------------|-----------|---|----------|
| ANZ | | | Strategist | Delegate |
| ANZ | | | Head of China Offshore Sales, HK | Delegate |
| ANZ | | | Head of Markets -North Asia | Delegate |
| APG Asset Management | | | Senior Manager, Global Responsible Investment and Governance | Delegate |
| Apix | | | APAC Business Lead | Delegate |
| Asia-Pacific Structured Finance Association | | | Secretary-General | Delegate |
| ASIFMA | | | Senior Executive - Event Marketing | Delegate |
| ASIFMA | | | Executive Director, Technology and Operations | Delegate |
| ASIFMA | | | Executive Assistant | Delegate |
| ASIFMA | | | Associate, Fixed Income | Delegate |
| ASIFMA | | | Managing Director - Head of Compliance and Tax, ASIFMA | Delegate |
| ASIFMA | | | Managing Director – Head of Communications and Human Resources | Delegate |
| ASIFMA | | | Senior Manager – Head of Events & Conferences | Delegate |
| ASIFMA | | | Associate – Asset Management Group | Delegate |
| ASIFMA | | | Junior Analyst, Asset Management Group | Delegate |
| ASIFMA | | | Associate, Policy & Regulatory Affairs Division | Delegate |
| ASIFMA | | | Manager, Policy and Regulatory Affairs | Delegate |
| ASIFMA | | | Senior Associate, Equities Division | Delegate |
| ASIFMA | | | Associate – Technology & Operations | Delegate |
| ASIFMA | | | Intern | Delegate |
| ASIFMA | | | Intern | Delegate |
| ASIFMA | | | Executive Adviser, Asset Management Group | Delegate |
| ASIFMA | | | Senior Executive – Event Operations | Delegate |
| Association of national exchanges (Anmi) | | | Director | Delegate |
| Atlantis | | | Director | Delegate |
| Aviva Investors | | | Compliance | Delegate |
| Bank of America | | | Managing Director | Delegate |
| Bank of Communication HK Branch | | | chief dealer of fx | Delegate |
| Bank of East Asia Ltd | | | Section Head, Financial Institutions and Transaction Banking | Delegate |
| Barings Investment Management (Shanghai) Limited | | | Head of China Investments | Delegate |
| BEA | | | GM for our WFOE subsidiary | Delegate |
| BEA Union Investment | | | Chief Operating Officer | Delegate |
| Beijing Gao Hua Securities Company Limited | | | Managing Director, Head of Asia Local Markets Operations, Chairman, QianKun Futures Company Limited | Delegate |
| BGA | | | CEO | Delegate |
| BlackRock | | | Director, APAC ex-Japan Global Product Group | Delegate |
| BlackRock | | | VP | Delegate |
| Blackrock | | | Director | Delegate |
| BlackRock | | | Director | Delegate |
| BlackRock North Asia | | | Vice President | Delegate |
| Bloomberg | | | Senior enterprise sales | Delegate |
| Bloomberg | | | Reporter | Delegate |
| Bloomberg | | | Finance Reporter | Delegate |
| Bloomberg | | | | Delegate |
| Bloomberg | | | Reporter | Delegate |
| Bloomberg | | | Sales | Delegate |
| Bloomberg | | | Asia Investing & Real Estate Team Leader | Delegate |
| Bloomberg News | | | Hedge fund reporter | Delegate |
| Bloomberg News | | | Reporter | Delegate |
| BNP Paribas | | | Communications Manager | Delegate |
| BNP Paribas | | | Regional Marketing & Communications Manager, APAC | Delegate |
| BNP Paribas | | | Head of Broker Segment Strategy | Delegate |
| BNP Paribas | | | Regional Head of Local Custody and Clearing Product, APAC | Delegate |
| BNP Paribas | | | Regional Head of Asset Owners & Asset Managers, APAC | Delegate |
| BNP Paribas Asset Management | | | Digital Marketing | Delegate |
| BNP Paribas Asset Management Asia Limited | | | Head of Product Development APAC | Delegate |
| BNP Paribas Asset Management Asia Limited | | | CEO Hong Kong and Deputy CEO APAC | Delegate |
| BNP PARIBAS(CHINA) | | | DIRECTOR | Delegate |
| BNY Mellon | | | VP | Delegate |
| BNY Mellon | | | Program Manager | Delegate |
| BNY Mellon | | | PPGA, China | Delegate |
| BNY Mellon | | | Product Manager | Delegate |
| BNY Mellon | | | FX COO | Delegate |
| BNY Mellon | | | FX sales | Delegate |
| Bombay Stock Exchange | | | Head - Foreign Institution Group | Delegate |
| Bond Connect | | | Officer, Branding & Event | Delegate |
| Bond Connect | | | Business Manager | Delegate |
| Bond Connect | | | Assistant Vice President, Sales & Marketing | Delegate |
| Bond Connect | | | Officer, Project Team | Delegate |
| Bond Connect | | | Senior Vice President, Head of Sales & Marketing | Delegate |
| Bond Connect | | | | Delegate |
| bridgewater | | | Head of technology | Delegate |
| Bursa Malaysia Berhad | | | Director, Market Operations | Delegate |
| CACIB | | | Dealer | Delegate |
| CACIB HK | | | Executive Director | Delegate |
| CAIA Association | | | Managing Director | Delegate |
| Caitong International Asset Management Co Ltd | | | Executive Director | Delegate |
| Capital Group | | | General manager | Delegate |
| Capital Group | | | Head of Legal, Asia Pacific | Delegate |
| Capital International | | | Research Associate | Delegate |
| CBIRC | | | Director of foreign affairs division | Delegate |
| ccdc | | | RM | Delegate |
| CCDC | | | senior relationship manager | Delegate |
| CFETS | | | Deputy General Manager, RMB Market Dept., China Foreign Exchange Trade System & National Interbank Funding Center | Delegate |
| CFEX | | | Assistant manager | Delegate |
| CFEX | | | AS | Delegate |
| China Bond | | | Senior Manager of Customer Service Department, Collateral Management Center, CCDC | Delegate |
| China Financial Futures Exchange (CFEX) | | | Assistant manager | Delegate |
| China Financial Futures Exchange (CFEX) | | | Senior Manager | Delegate |
| China Financial Futures Exchange (CFEX) | | | senior researcher | Delegate |
| China Financial Futures Exchange (CFEX) | | | vice president | Delegate |
| China Financial Futures Exchange (CFEX) | | | Assistant Manager | Delegate |
| China Financial Futures Exchange (CFEX) | | | Assistant Manager | Delegate |
| China Guangfa Bank Hong Kong Branch | | | Senior Manager, Treasury & Financial Institutions Department | Delegate |
| China Institute of Finance and Capital Markets | | | Associate Researcher | Delegate |
| China Minsheng Bank Corp., Ltd. Hong Kong Branch | | | Managing Director, Head of Capital Markets Business Department | Delegate |
| China Minsheng Bank Corp., Ltd. Hong Kong Branch | | | AVP | Delegate |
| China Minsheng Bank Corp., Ltd. Hong Kong Branch | | | ASSISTANT MANAGER | Delegate |
| China Securities Regulatory Commission | | | Associate | Delegate |
| China Universal Asset Management | | | Assistant Manager | Delegate |
| China Vered Asset Mgt | | | Director | Delegate |
| ChinaFICC | | | CEO | Delegate |
| ChinaFICC | | | CTO | Delegate |
| CICC | | | Vice President | Delegate |
| CICC | | | Equity Sales | Delegate |

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|--|------------|-----------|--|----------|
| CICC | | | Senior Associate | Delegate |
| CICC | | | Executive Director | Delegate |
| CICC | | | Executive Director | Delegate |
| CICC | | | Executive Director | Delegate |
| CICC | | | | Delegate |
| CICC | | | | Delegate |
| CICC | | | Vice President | Delegate |
| CICC | | | | Delegate |
| CICC | | | Executive Director | Delegate |
| CICC | | | Managing Director | Delegate |
| Citadel | | | Head of Market Analytics & Regulatory Structure, AsiaR | Delegate |
| Citadel | | | Head of Government & Regulatory Policy | Delegate |
| Citi | | | Product Manager | Delegate |
| Citi | | | Director, Global Government Affairs, APAC | Delegate |
| Citi | | | Analyst | Delegate |
| Citi | | | | Delegate |
| Citibank | | | Trader | Delegate |
| CITICS | | | Prime Services Senior Associate | Delegate |
| Citigroup | | | Head of Market Surveillance (APAC & Japan) | Delegate |
| Citigroup | | | Relationship Manager | Delegate |
| Clifford Chance | | | Senior Associate | Delegate |
| CMBC HONG KONG BRANCH | | | Associate Director | Delegate |
| Commerzbank AG | | | Head Treasury Asia | Delegate |
| Consulate General of Ireland | | | Public Outreach Officer | Delegate |
| Continuum Partners | | | PR | Delegate |
| CPPIB | | | Compliance Advisor | Delegate |
| Credit Agricole CIB | | | MANAGING DIRECTOR | Delegate |
| Credit Agricole CIB | | | EXECUTIVE DIRECTOR, CAPITAL MARKETS LEGAL | Delegate |
| Credit Agricole CIB | | | Structuring | Delegate |
| Crédit Agricole CIB | | | Communications officer | Delegate |
| DBS Bank Ltd | | | VP | Delegate |
| DBS Bank Ltd | | | MD | Delegate |
| Deloitte | | | Director | Delegate |
| Deloitte | | | Partner | Delegate |
| Deloitte China | | | Senior Manager | Delegate |
| Deutsche Bank | | | VP, Securities Services | Delegate |
| Deutsche Bank | | | VP, Regulatory Affairs, Debt, Asia | Delegate |
| Deutsche Bank | | | Regional Head of Regulatory Affairs and Regulatory Advocacy | Delegate |
| Deutsche Bank | | | Vice President | Delegate |
| Deutsche Bank | | | Global Head, Securities Market and Technology Advocacy | Delegate |
| Deutsche Bank China | | | Account Manager | Delegate |
| DTCC | | | Managing Director | Delegate |
| DTCC | | | Sr Marketing Analyst | Delegate |
| DTCC | | | Regional Product Manager – Asia Pacific | Delegate |
| DTCC | | | RM | Delegate |
| DTCC | | | Technical Account Manager | Delegate |
| DTCC | | | Marketing Manager, APAC | Delegate |
| DTCC | | | Relationship Manager | Delegate |
| DTCC | | | Head of Relationship Management, APAC | Delegate |
| DTCC | | | APAC Events | Delegate |
| DTCC | | | Director, Strategic Alliances | Delegate |
| DTCC | | | RM | Delegate |
| DTCC | | | Associate Director for Business Development – North Asia/Australia and New Zealand | Delegate |
| DTCC | | | APAC Director, Government Relations | Delegate |
| DTCC Manila | | | Associate | Delegate |
| Eastspring Investments | | | Director, Product Strategy and Development | Delegate |
| Economic Service DG Tresor French French Consulate | | | Economic Counsellor | Delegate |
| ELL Environmental Holdings Limited | | | Operations Manager | Delegate |
| Engineering | | | Data Engineer | Delegate |
| Ernst & Young | | | Senior | Delegate |
| Ernst & Young | | | Consulting Manager | Delegate |
| EU Delegation to China | | | Counselor | Delegate |
| EU Delegation to China | | | Counselor | Delegate |
| Euronomy Legal Media Group | | | Asia journalist | Delegate |
| Fidelity | | | Corporate Communications Director | Delegate |
| Fidelity International | | | Associate Director, Business Management | Delegate |
| FIL | | | PMO | Delegate |
| FIL Investment Management HK | | | Chief Compliance Officer, Asia Pacific | Delegate |
| Finance Canada | | | Financial officer, Embassy of Canada (Beijing) | Delegate |
| Financial Supervisory Service | | | Deputy Director General | Delegate |
| Fitch Ratings | | | Associate Director, Events | Delegate |
| Fitch Ratings | | | Head of Asia Pacific Business Management | Delegate |
| Fitch Ratings | | | Associate Director, Business & Relationship Management | Delegate |
| Fitch Ratings | | | Head of Asia-Pacific Business Origination | Delegate |
| Fitch Ratings | | | Head of Asia Pacific Investor Development | Delegate |
| Fleishmanhillard | | | | Delegate |
| Focus Ventures | | | Senior Advisor | Delegate |
| Franklin Templeton Sealand Fund Management Co., Ltd. | | | Admin specialist | Delegate |
| French Consulate General in Hong Kong | | | Economic Attaché | Delegate |
| French Trade Commission - Consulate General of France in Hong Kong | | | Senior Trade Advisor | Delegate |
| French Treasury/Banque de France | | | Financial counsellor | Delegate |
| FTSE Russell | | | Director, Index Policy, APAC | Delegate |
| Fullerton Investment Management | | | Head of China Compliance and Risk | Delegate |
| Fund Selector Asia (Last Word Media) | | | Editor, Fund Selector Asia | Delegate |
| Generali China AMC | | | AGM | Delegate |
| GIC Pte Ltd | | | Associate | Delegate |
| GIC Pte Ltd | | | Associate | Delegate |
| Global Investor Group | | | Asia Representative | Delegate |
| Global Prime Partners (Asia) Limited | | | Relationship Manager | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Analyst, Office of Government Affairs | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Head of APEJ PWM Compliance/Chief Representative, Goldman Sachs International Bank Beijing Representative Office | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Head of China Futures, Executive Director | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Executive Director, Office of Government Affairs | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Managing Director, Co-Head of Office of Government Affairs, Asia Pacific | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Analyst, Goldman Sachs International Bank Beijing Representative office | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Managing Director, Head of China for Goldman Sachs Asset Management | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Executive Director and Senior Legal Director | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Executive Director | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Managing Director, Head of Asia Prime Services Hedge Fund Consulting | Delegate |
| Goldman Sachs (Asia) L.L.C | | | | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Executive Director | Delegate |
| Goldman Sachs (Asia) L.L.C | | | ED | Delegate |
| Goldman Sachs (Asia) L.L.C | | | Managing Director, Head of China FICC Sales, Global Markets Division | Delegate |
| Goldman Sachs Asset Management | | | Executive Director | Delegate |
| Goldman Sachs Asset Management Hong Kong | | | Executive Director | Delegate |
| GPB Financial Services Hong Kong Limited | | | CEO & Head of Capital Markets, Asia-Pacific | Delegate |
| Great Eastern Life | | | PM | Delegate |

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| Organization | First Name | Last Name | Job Title | Type |
|--|------------|-----------|---|----------|
| GSAM | | | Vice President | Delegate |
| Guangdong Financial Supervisory Authority | | | Principle Staff Member | Delegate |
| Guangdong Financial Supervisory Authority | | | Deputy Director | Delegate |
| Guosen Securities | | | Sales director | Delegate |
| Guosen Securities | | | Trading head | Delegate |
| Haitong International | | | SVP | Delegate |
| Han Kun Law Offices | | | Associate | Delegate |
| Han Kun Law Offices | | | Associate | Delegate |
| Han Kun Law Offices | | | Associate | Delegate |
| Han Kun Law Offices | | | Associate | Delegate |
| Hang Seng Bank Limited | | | PRINCIPAL STRAT AND CORP DEV | Delegate |
| Hang Seng Bank Limited | | | SR STRAT AND SR CORP DEV MGR | Delegate |
| Haymarket/AsianInvestor | | | Reporter | Delegate |
| HKEX | | | Intern | Delegate |
| HKEX | | | Managing Director | Delegate |
| HKEX | | | Vice President | Delegate |
| HKEX | | | Vice President | Delegate |
| HKMA | | | Senior Advisor, Market Outreach Division | Delegate |
| Hong Kong Arts Investment Company Limited | | | CEO | Delegate |
| Hong Kong Monetary Authority | | | Assistant Manager | Delegate |
| Hong Kong Monetary Authority | | | Senior Manager (Banking Conduct) | Delegate |
| Hong Kong Monetary Authority | | | Manager | Delegate |
| Hong Kong Monetary Authority | | | Manager, Market Outreach | Delegate |
| Hong Kong Monetary Authority | | | Senior Manager, Market Development | Delegate |
| HSBC | | | Managing Director | Delegate |
| HSBC | | | Associate Director, Securities Services at HSBC | Delegate |
| HSBC | | | Senior Legal Counsel | Delegate |
| HSBC | | | Head of China Business Development, Securities Services at HSBC | Delegate |
| HSBC | | | Business Manager | Delegate |
| HSBC | | | Head of China Business Development, Securities Services at HSBC | Delegate |
| HSBC | | | Director, Head of China Sales, Asia, Securities Services | Delegate |
| HSBC | | | ASSOCIATE DIRECTOR | Delegate |
| HSBC | | | Product Manager, Securities Services at HSBC | Delegate |
| HSBC | | | Head of China Execution Strategy | Delegate |
| HSBC | | | Director | Delegate |
| HSBC | | | Compliance | Delegate |
| HSBC Global Asset Management (HK) Limited | | | Head of Product Asia Pac | Delegate |
| HSBC Global Asset Management (HK) Limited | | | Head of Product, HK | Delegate |
| HSBC Qianhai | | | Director, Head of Global Investor Access | Delegate |
| Hudson River Trading | | | Bizdev | Delegate |
| ICBC Asia | | | Fixed income sales | Delegate |
| ICE Fixed Income & Data Services | | | Head of derivatives and regulation | Delegate |
| IFR Asia | | | senior reporter | Delegate |
| Ignites Asia, Financial Times | | | Reporter | Delegate |
| IHS Markit | | | Associate Director, Equity Product. | Delegate |
| IMC Financial Markets | | | Corporate Development Manager | Delegate |
| IMC Pacific | | | Corporate Development Manager | Delegate |
| Infopro | | | reporter | Delegate |
| Infopro Digital | | | Reporter | Delegate |
| ING | | | APAC Head of Institutional Sales | Delegate |
| ING Bank N.V., Hong Kong Branch | | | MD | Delegate |
| ING Bank NV, Shanghai Branch | | | VP | Delegate |
| International Association of CFOs and Corporate Treasurers (China) | | | Head of Membership Development | Delegate |
| International Financial Services Centres Authority | | | Deputy General Manager | Delegate |
| Invesco | | | Head of Legal, Greater China | Delegate |
| Invesco | | | Managing Director | Delegate |
| IPPF-Independent Power Producers Forum | | | Secretary General/ Co-Chair of IPPF Capital Formation Committee | Delegate |
| ISDA | | | head of legal, APAC | Delegate |
| J.P. MORGAN | | | NETWORK MANAGER | Delegate |
| Janus Henderson Investors | | | Manager, Client Account Management | Delegate |
| Janus Henderson Investors Hong Kong Limited | | | Head of Compliance, Greater China | Delegate |
| Jefferies | | | Managing Director | Delegate |
| Jifu Investments | | | Swiss Representative | Delegate |
| JP Morgan | | | Vice President | Delegate |
| JPMorgan | | | Compliance | Delegate |
| JPMorgan | | | Compliance officer | Delegate |
| JPMorgan | | | Compliance Manager | Delegate |
| JPMorgan Chase Bank | | | Vice President, APAC Strategy | Delegate |
| JPMorgan Chase Bank | | | Executive Director | Delegate |
| King & Wood Mallesons | | | | Delegate |
| KPMG | | | Partner | Delegate |
| KPMG | | | Director | Delegate |
| Law Debenture | | | Head of Corporate Trust - Asia | Delegate |
| Linklaters | | | Partner | Delegate |
| Linklaters | | | Registered Foreign Lawyer | Delegate |
| Linklaters | | | | Delegate |
| London Stock Exchange Group | | | Head of North Asia, Data & Analytics | Delegate |
| LSE PLC Beijing Rep Office | | | Representative | Delegate |
| LSEG | | | Senior Director, Government and Industry Affairs | Delegate |
| LSEG | | | Senior Marketing Manager | Delegate |
| LSEG | | | Access Consulting Lead | Delegate |
| LSEG | | | Head of China, Government Relations and Regulatory Strategy | Delegate |
| LSEG | | | Account Director | Delegate |
| LSEG | | | Proposition Sales Director | Delegate |
| LSEG - Refinitiv | | | Director, Markets Practice | Delegate |
| M&T Global Partners | | | Managing Partner | Delegate |
| Macquarie | | | Trader - Associate Director | Delegate |
| Macquarie | | | Compliance Manager | Delegate |
| Macquarie | | | Director, Equity Finance Trading | Delegate |
| Macquarie | | | Manager | Delegate |
| Macquarie Group Services | | | Compliance | Delegate |
| Macquarie Group Services | | | Compliance | Delegate |
| Manulife | | | Director | Delegate |
| Manulife Investment Management | | | Portfolio Manager | Delegate |
| Marshall Wace Asia Limited | | | Chief Operating Officer | Delegate |
| Matthews Global Investors (Hong Kong) Limited | | | Compliance Director | Delegate |
| Mirae Asset Global Management (HK) | | | ETF | Delegate |
| Morgan Stanley | | | Executive Director | Delegate |
| Morgan Stanley | | | Executive Director | Delegate |
| Morgan Stanley | | | Managing Director | Delegate |
| Morgan Stanley | | | Executive Director, Legal & Compliance | Delegate |
| Morgan Stanley | | | Executive Director | Delegate |
| Morgan Stanley | | | Executive Director | Delegate |
| NA | | | NA attending in personal capacity | Delegate |
| NAFMII | | | Associate Director | Delegate |
| Natixis | | | Global Market | Delegate |
| NBC Financial Markets Asia | | | Sales and Trading | Delegate |

ASIFMA China Capital Markets Week - April 19-23, 2021

Final Attendee List

| Organization | First Name | Last Name | Job Title | Type |
|---|------------|-----------|---|----------|
| NBC Financial Markets Asia | | | Managing Director | Delegate |
| Nikko Asset Management | | | Senior Vice President | Delegate |
| Nikko Asset Management | | | Investment Director | Delegate |
| Ninety One | | | Sales Manager | Delegate |
| Nomura | | | executive director | Delegate |
| Nomura | | | Delta One/Prime | Delegate |
| Nomura Asset Management | | | Managing Director | Delegate |
| Northern Trust Group | | | Senior Compliance Consultant | Delegate |
| Nuveen Hong Kong Limited | | | Regional Head of Compliance, APAC ex Japan | Delegate |
| OCBC Bank | | | Head of GT Trading | Delegate |
| OMFIF | | | Programmes Manager | Delegate |
| Ontario Teachers' Pension Plan | | | Senior Manager, Compliance, Asia | Delegate |
| Optiver | | | Head of Legal and Compliance | Delegate |
| Optiver | | | COO | Delegate |
| PASLA | | | Chairman | Delegate |
| PDS GROUP | | | Executive Director | Delegate |
| Pensions & Investments | | | reporter | Delegate |
| Principal Global Investors | | | Chief Rep | Delegate |
| Principal Global Investors (Singapore) Ltd | | | Regional Director - Investment Operations | Delegate |
| Protiviti | | | Director | Delegate |
| Rabobank | | | Director | Delegate |
| REDD Intelligence, Emerging Market Event-Driven Intelligence | | | Reporter, Asia | Delegate |
| Regulation Asia | | | Senior Reporter | Delegate |
| Regulation Asia | | | Journalist | Delegate |
| Reserve Bank of India | | | Manager | Delegate |
| Reuters News | | | Asia Regulation Correspondent | Delegate |
| Schroders | | | Trainee Solicitor | Delegate |
| Schroders Investment Management | | | Senior Marketing Manager, Hong Kong and China | Delegate |
| Schroders Investment Management | | | Client Service Manager | Delegate |
| Schroders Investment Management | | | China Business Manager | Delegate |
| Schroders Investment Management | | | China Business Director | Delegate |
| Securities and Futures Commission | | | Senior Manager | Delegate |
| Securities and Futures Commission | | | director | Delegate |
| Securities and Futures Commission | | | Associate | Delegate |
| SFC | | | Manager | Delegate |
| SFC | | | Senior Director of Licensing, Intermediaries | Delegate |
| Shanghai Clearing House (上海清算所) | | | Manager | Delegate |
| Shanghai Clearing House (上海清算所) | | | Manager | Delegate |
| Shanghai Clearing House (上海清算所) | | | Manager | Delegate |
| Shanghai Clearing House (上海清算所) | | | Manager | Delegate |
| Shanghai Clearing House (上海清算所) | | | Product Development Dept | Delegate |
| Shanghai Financial Futures Information Technology Co., Ltd., China Fiancial Futures Exchanges | | | Engineer | Delegate |
| shanghai municipal financial services bureau | | | staff | Delegate |
| Shanghai Pudong Development Bank | | | Legal counsel | Delegate |
| Shanghai Stock Exchange | | | Director, Global Business Development Department | Delegate |
| Shanghai Stock Exchange | | | Associate, Global Business Department | Delegate |
| Shenzhen Municipal Financial and Regulatory Bureau | | | | Delegate |
| Shenzhen Stock Exchange | | | Manager | Delegate |
| Shenzhen Stock Exchange | | | Manager | Delegate |
| Shenzhen Stock Exchange | | | Deputy Director, International Department | Delegate |
| Sia Partners | | | Senior Manager - Corporate and Investment Banking | Delegate |
| Sia Partners | | | Associate Partner | Delegate |
| Simmons & Simmons | | | Partner | Delegate |
| SixThirty Ventures | | | Asia-Pacific Lead | Delegate |
| Societe Generale | | | compliance manager | Delegate |
| Societe Generale | | | Analyst | Delegate |
| Société Générale | | | Director | Delegate |
| SSE HK Office | | | Representative | Delegate |
| Standard Chartered Bank (Hong Kong) Limited | | | Compliance | Delegate |
| Standard Chartered Bank (Hong Kong) Limited | | | Director | Delegate |
| State Street | | | Head of Agency Lending Trading | Delegate |
| State Street Bank and Trust | | | Head of Compliance APAC | Delegate |
| SWIFT | | | Head of North Asia | Delegate |
| SWIFT | | | Strategy | Delegate |
| SWIFT | | | Account Director, APAC | Delegate |
| SWIFT | | | Account Director, APAC | Delegate |
| SWIFT | | | Director, Business development buy-side, APAC | Delegate |
| SWIFT | | | Events Director, APAC | Delegate |
| Swiss Re | | | Head of Compliance APAC | Delegate |
| T. Rowe Price | | | Head of Asia ex Japan Distribution | Delegate |
| T. Rowe Price | | | Senior Legal Counsel | Delegate |
| The Bank of East Asia Limited | | | Head of Financial Institutions | Delegate |
| The Carlyle Group | | | Compliance | Delegate |
| The Glorious Sun Holdings Limited | | | Senior Financial Controller | Delegate |
| The Government of HKSAR | | | | Delegate |
| The Government of HKSAR | | | | Delegate |
| The Great Eastern Life Assurance Company Limited | | | Vice President, Investments | Delegate |
| The Hong Kong Association of Banks | | | Secretary | Delegate |
| The Northern Trust Company Beijing Branch | | | Deputy Branch Manager | Delegate |
| The People's Bank of China | | | Associate Researcher | Delegate |
| The Wall Street Journal | | | Asia Finance Reporter | Delegate |
| The Wall Street Journal | | | reporter | Delegate |
| Thomson Reuters | | | Southeast Asia Correspondent | Delegate |
| Top Capital Development Ltd | | | Director | Delegate |
| Torstone Technology | | | Senior Business Analyst | Delegate |
| TP ICAP | | | Sales | Delegate |
| Tradition (Asia) Limited | | | Managing Director | Delegate |
| Treasury | | | | Delegate |
| U.S. Consulate Hong Kong and Macau | | | Economic Officer | Delegate |
| UBS | | | Managing Director APAC Head of Trading and Portfolio implementation | Delegate |
| UBS | | | COO Global Markets - Greater China | Delegate |
| UBS | | | Business Manager | Delegate |
| UBS | | | Executive Director | Delegate |
| UBS AG | | | Governmental Affairs APAC Representative | Delegate |
| UBS Asset Management | | | Senior Regulatory Specialist | Delegate |
| UBS Asset Management | | | Director, Legal Counsel | Delegate |
| UBS Asset Management | | | Head of APAC | Delegate |
| US Consulate General HK & Macau | | | Econ Officer | Delegate |
| Vontobel | | | Compliance Officer | Delegate |
| WatersTechnology | | | Asia editor | Delegate |
| Wellington Management | | | Head of Legal APAC | Delegate |
| Wellington Management Company LLP | | | Senior Managing Director | Delegate |
| Wellington Management Hong Kong Ltd | | | Legal Counsel | Delegate |
| Zhongrong International Securities Co., Limited | | | managing director | Delegate |
| Zhongrong International Securities Co., Limited | | | Vice President | Delegate |
| Zhongrong International Securities Co., Limited | | | Compliance Manager | Delegate |
| Zhongrong International Securities Co., Limited | | | Dealer | Delegate |
| 民生銀行香港分行 | | | Fintech | Delegate |