

**ASIFMA Compliance Week - 7 - 10 September, 2021**

**Final Attendee List**

Organization	First Name	Last Name	Job Title	Type
Reuters Breakingviews	Jennifer	Hughes	Asia Columist	Speaker
ASIFMA	Mark	Austen	CEO	Speaker
ASIFMA	Patrick	Pang	Executive Director Hong Kong	Speaker
Australian Securities and Investments Commission	Cathie	Armour	Commissioner	Speaker
Australian Securities and Investments Commission	Sharon	Concisom	Executive Director, Markets Enforcement	Speaker
Australian Securities and Investments Commission	Calissa	Aldridge	Senior Executive Leader - Market Supervision	Speaker
Bank of America	Dinesh	Sadarangani	Managing Director, Head of Asia Pacific Compliance & Operational Risk	Speaker
Bank of America	Vimal	Motwani	Director and Assistant General Counsel	Speaker
Bank of Japan	Makoto	Minegishi	Deputy Director-General, Financial System and Bank Examination Department	Speaker
Barclays	Jessica	Bartlett	Global Head of Financial Crime Legal	Speaker
BC Technology Group (Parent Company of OSL)	Gary	Tiu	Group Executive Director and Head of Regulatory Affairs	Speaker
BlackRock	Malisa	Ng	Vice President, Global Public Policy Group	Speaker
BNP Paribas	Tim	Woodward	Head of Global Markets and ALMT Compliance APAC	Speaker
Board Member of Bank Quilvest Switzerland and Regulatory and Crypto Lawyer; Former Head of International Cooperation, FINMA; Former Chief Compliance Officer, Credit Suisse Europe	Biba	Homsy		Speaker
BofA Securities	Jairam	Parameswaran	APAC Compliance & Operational Risk	Speaker
CEO and Founder	Philippa	Allen	ComplianceAsia	Speaker
Chairman, IOSCO Board; CEO, Securities and Futures Commission Hong Kong	Ashley	Alder		Speaker
Citadel Securities Asia	John	Buckley	Chief Operating Officer	Speaker
Citi	Marilouise	Hughes	APAC Head of Conduct Risk Management ICRM	Speaker
Citi	Aveline	San	Managing Director and Chief Compliance Officer, APAC	Speaker
Credit Suisse Hong Kong Limited	James	Walker	Managing Director, Head of Compliance, Asia Pacific Markets, Research and Investment Banking	Speaker
Deloitte	Puneet	Kukreja	Partner, Financial Services, Cyber Cloud	Speaker
Egon Zehnder	Benno	Jaeggi	Consultant	Speaker
EY	David	Scott	Partner	Speaker
EY	Eugene	Goybe	Asia-Pacific Financial Services Regulatory Lead	Speaker
EY	Jennifer	Sunderlin	Senior Manager, Financial Services Consulting	Speaker
FinanceAsia	Ella	Arwyn Jones	Editor	Speaker
Financial Services Agency, The Government of Japan	Shigeru	Ariizumi	Vice Commissioner	Speaker
Gibson Dunn	William	Hallatt	Co-Chair of Global Financial Regulatory Practice,	Speaker
Gibson Dunn	Connell	O'Neill	Head of APAC Technology Practice	Speaker
Herbert Smith Freehills Hong Kong	Hannah	Cassidy	Partner, Head of Financial Services Regulatory, Asia	Speaker
Herbert Smith Freehills Singapore LLP	Natalie	Curtis	Partner, Financial Services Regulatory	Speaker
Hong Kong Exchanges and Clearing Limited	Jon	Witts	Head of Enforcement, Listing Division	Speaker
Hong Kong Monetary Authority	Arthur	Yuen	Deputy Chief Executive	Speaker
International Monetary Fund	Phakawa	Jeasakul	Resident Representative for Peoples Republic of China Hong Kong Special Administrative Region	Speaker
KPMG	Gemini	Yang	Partner	Speaker
KPMG China	Jianing	Song	Partner, Head of Advisory	Speaker
Latham & Watkins	Esther	Franks	Senior Associate, Data & Technology Transactions	Speaker
Linklaters	Sumit	Indwar	Financial Regulation Group Partner, Hong Kong SAR	Speaker
Monetary Authority Singapore	Tuang Lee	Lim	Assistant Managing Director (Capital Markets)	Speaker
Monetary Authority Singapore	Beng Hwa	Tan	Director, Head of Enforcement Department's Market Conduct Investigations Division I	Speaker
Monetary Authority Singapore	Vincent	Loy	Assistant Managing Director (Technology)	Speaker
MyComplianceOffice	Kelly-Ann	McHugh	Director Asia-Pacific	Speaker
Protiviti	Adam	Johnston	Managing Director, Hong Kong	Speaker
Protiviti	Josh	Heiliczzer	Managing Director, Hong Kong	Speaker
Regulation Asia	Manesh	Samtani	General Manager and Editor	Speaker
Reserve Bank of Australia	Guy	Debelle	Deputy Governor	Speaker
Securities and Exchange Board of India	Ananta	Barua	Whole Time Member	Speaker
Securities and Exchange Commission Philippines	Atty. Oliver O.	Leonardo	Officer-In-Charge of the Enforcement and Investor Protection Department	Speaker
Securities and Exchange Commission Thailand	Ruenvadee	Suwanmongkol	Secretary-General	Speaker
Securities and Futures Commission Hong Kong	Julia	Leung	Deputy Chief Executive Officer	Speaker
Securities and Futures Commission Hong Kong	Thomas	Atkinson	Executive Director, Enforcement	Speaker
Securities and Futures Commission Hong Kong	Michael	Duignan	Head of Market Misconduct Team	Speaker
Securities and Futures Commission Hong Kong	Linda	Yiu	Director, Intermediaries Supervision	Speaker
Smarsh (Digital Reasoning)	Todd	Heythaler	Vice President, Strategic Accounts	Speaker
Smarsh (Digital Reasoning)	Selina	Tindall	Senior Solutions Consultant	Speaker
Smarsh (Digital Reasoning)	Brandon	Carl	Executive Vice President, Product Strategy	Speaker
State Street	June	Lau	Senior Vice President, Head of APAC Compliance	Speaker
Thomson Reuters	Nathan	Lynch	Asia-Pacific Manager, Regulatory Intelligence	Speaker
Thomson Reuters	Nathan	Lynch	Asia-Pacific Manager, Regulatory Intelligence	Speaker
Thomson Reuters	B.C.	Tan	Head of Global Trade Finance Proposition and APAC Head of Risk Proposition	Speaker
Thomson Reuters	Helen	Chan	Regulatory Intelligence Expert	Speaker
U.S. Securities and Exchange Commission	Hester M.	Peirce	Commissioner	Speaker
AB			Senior Investment Strategist	Delegate
ABN ARMO			Head of compliance	Delegate
abrdn			Compliance	Delegate
AIA			Senior Manager, Financial Crime Compliance	Delegate
AIA			Investment Compliance	Delegate
AIA			Head of Culture and Conduct	Delegate
Airstar Bank Limited			Chief Compliance Officer	Delegate
Allen & Overy			Counsel	Delegate
Allen & Overy			Partner	Delegate
AllianceBernstein			Compliance Analyst	Delegate
AllianceBernstein			Senior Economist	Delegate
AllianceBernstein (Singapore) Ltd			CEO, Asia Pacific	Delegate

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Organization	First Name	Last Name	Job Title	Type
AllianceBernstein (Singapore) Ltd			Associate Officer	Delegate
AllianceBernstein (Singapore) Ltd			Head of Compliance - Singapore	Delegate
AllianceBernstein HK Limited			MD Compliance APAC ex Japan	Delegate
AllianceBernstein HK Limited			Compliance Manager	Delegate
AllianceBernstein HK Limited			Compliance	Delegate
AllianceBernstein HK Limited			Compliance Analyst	Delegate
AllianceBernstein Singapore Ltd			Compliance Analyst	Delegate
Allianz Global Investors GmbH			General Counsel, Asia Pacific	Delegate
Amazon Web Services			Head of Public Policy, Hong Kong and Taiwan	Delegate
Amundi HK			Risk Manager	Delegate
Amundi Hong Kong Limited			Head of Compliance and Legal	Delegate
Anchor Equity Partners (Asia) Limited			Controller	Delegate
Ant Group			Senior Director	Delegate
ANZ			Compliance Manager	Delegate
ANZ			Manager	Delegate
ANZ Banking Group Ltd.			Compliance Manager	Delegate
APG Asset Management Asia			Associate General Counsel	Delegate
Apiax			APAC Business Lead	Delegate
Asian Legal Business			Marketing	Delegate
ASIC			Reporting Officer	Delegate
ASIC			Senior Manager, Markets Supervision Derivatives Market Surveillance	Delegate
ASIC			Lawyer	Delegate
ASIC			Speialist	Delegate
ASIC			Senior Manager	Delegate
ASIC			Senior Manager Market Surveillance	Delegate
ASIC			Lawyer	Delegate
ASIC			Paralegal	Delegate
ASIC			senior analyst	Delegate
ASIC			Analyst	Delegate
ASIC			Investigator	Delegate
ASIC			Analyst	Delegate
ASIC			Senior Manager, Enforcement	Delegate
ASIC			Analyst	Delegate
ASIFMA			Senior Manager - Head of Events and Conferences	Delegate
ASIFMA			Consultant	Delegate
ASIFMA			Manager – Marketing and Business Development	Delegate
ASIFMA			Intern	Delegate
ASIFMA			Managing Director, Head of Asset Management	Delegate
ASIFMA			Senior Executive, Event Marketing	Delegate
ASIFMA			Managing Director, Head of Fixed Income	Delegate
ASIFMA			Executive Director, Head of Policy & Regulatory Affairs	Delegate
ASIFMA			Associate, Fixed Income	Delegate
ASIFMA			Associate – Technology & Operations	Delegate
ASIFMA			Executive Director, Technology and Operations	Delegate
ASIFMA			Junior Analyst, Asset Management Group	Delegate
ASIFMA			Consultant	Delegate
ASIFMA			Consultant	Delegate
ASIFMA			Executive Assistant- Equities and Post Trade, Policy & Regulatory Affairs	Delegate
ASIFMA			Associate – Asset Management Group	Delegate
ASIFMA			Executive Assistant	Delegate
ASIFMA			Manager, Equities and Post Trade	Delegate
Asset Manager			Head of Compliance	Delegate
Australia and New Zealand Banking Group Limited			Senior Compliance Manager	Delegate
Australian Securities and Investments Commission			Lawyer	Delegate
Australian Securities and Investments Commission			Group Senior Manager	Delegate
Australian Securities and Investments Commission			Analyst	Delegate
Australian Securities and Investments Commission			Analyst	Delegate
Australian Securities and Investments Commission			Analyst	Delegate
Australian Securities and Investments Commission			Lawyer	Delegate
Australian Securities and Investments Commission			Analyst	Delegate
AWS			Senior Manager, Public Policy	Delegate
AXA Investment Managers			Senior Legal Counsel	Delegate
AXA Investment Managers			Legal Counsel	Delegate
AXA Investment Managers Asia Limited			Head of Legal	Delegate
AxiomSL			Product Manager	Delegate
AxiomSL			PM	Delegate
BAC			VP	Delegate
Baker & McKenzie			Knowledge Lawyer	Delegate
Baker & McKenzie			Associate	Delegate
Baker & McKenzie			Paralegal	Delegate
Baker & McKenzie			Special Counsel	Delegate
Baker & McKenzie			Trainee Solicitor	Delegate
Baker & McKenzie			Head of Business Development, Hong Kong	Delegate
Baker & McKenzie			Senior Associate	Delegate
Baker & McKenzie			Special Counsel	Delegate
Baker & McKenzie			Associate	Delegate
Banco Santander, S.A.			Head of Compliance, Hong Kong Branch	Delegate
Bank			Traded Risk	Delegate
Bank of Amercia			Compliance specialist	Delegate
Bank of America			Compliance & Operational Risk Manager	Delegate
Bank of America			COO	Delegate



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Organization	First Name	Last Name	Job Title	Type
Citi			APAC Head of Equities Compliance	Delegate
Citi			VP	Delegate
Citi			Senior Compliance Officer	Delegate
Citi			Senior Vice President	Delegate
Citi			Senior Audit Manager	Delegate
Citi			Director, Global Government Affairs, Asia Pacific	Delegate
Citi			Vice President	Delegate
Citi			VP	Delegate
Citi			SVP	Delegate
Citi			Compliance Director	Delegate
Citi Bank			APAC Head of Electronic Trading Compliance	Delegate
Citi NA Bank			Managing Director	Delegate
Citi Private Bank			Compliance	Delegate
Citibank			Compliance Officer	Delegate
Citibank			Compliance officier	Delegate
Citibank			Head of Corporate Treasury	Delegate
Citibank			Director, Compliance, Private Bank	Delegate
Citibank			Compliance	Delegate
Citibank			Hong Kong Chief Compliance Officer	Delegate
Citibank			Head of Consumer Banking Compliance	Delegate
Citibank			VP	Delegate
Citibank			Network Manager	Delegate
Citibank			Internal Auditor	Delegate
Citibank			SVP	Delegate
Citibank			Regional Network Mgmt	Delegate
Citibank			APAC AML Markets & Securities Services	Delegate
CitiBank			Sanctions Manager	Delegate
Citibank			Vice President	Delegate
Citibank			Manager - ICRM India Sanctions	Delegate
Citibank			Vice President - Regional AML Compliance Risk Management	Delegate
Citibank			Assistant Vice President	Delegate
Citibank			Compliance officer	Delegate
Citibank			AVP, Internal Audit	Delegate
Citibank			Vice President	Delegate
Citibank			AML Compliance Officer	Delegate
Citibank			Compliance Business Control Senior Analyst	Delegate
Citibank			Chief Country Compliance Officer	Delegate
Citibank			APAC Chief Compliance Officer	Delegate
Citibank NA Singapore			Director - ICRM	Delegate
Citibank Philippines Branch			Chief Country Compliance Officer	Delegate
Citigroup			Director ESG	Delegate
Citigroup			MD - CCO ICG APAC	Delegate
Citigroup Global Markets Asia Limited			Managing Director, APAC CCO - BCMA, Research & Control Group	Delegate
Citigroup Transaction Services Malaysia			VICE PRESIDENT	Delegate
Citigroup Transaction Services Malaysia			Compliance AML Risk Management Officer	Delegate
CLSA Ltd			Head of Compliance	Delegate
CME Group			Associate General Counsel	Delegate
CNCBI			Chief Compliance Officer	Delegate
Commerzbank			Head Non-Financial Risk Management	Delegate
Commerzbank			Compliance Officer	Delegate
Commerzbank			Compliance Officer	Delegate
Commerzbank			Compliance Officer	Delegate
Commerzbank			Compliance Officer	Delegate
Commerzbank			Compliance Officer	Delegate
Commerzbank			Compliance	Delegate
Commerzbank			Head Treasury Asia	Delegate
Commerzbank			ASSOCIATE	Delegate
Commerzbank			Business Analyst	Delegate
Commerzbank			Head of Compliance	Delegate
Commerzbank			Vice President Regional Compliance Officer	Delegate
Commerzbank			FINANCIAL CRIME - COMPLIANCE OFFICER	Delegate
Commerzbank AG Singapore			Compliance Intern	Delegate
Commerzbank AG Singapore			Compliance Officer	Delegate
Commerzbank AG Singapore			Markets Compliance Officer	Delegate
Compliance			Head of AM APAC Compliance	Delegate
ComplianceAsia			Digital Brand Design Manager	Delegate
ComplianceAsia			Senior Marketing Associate	Delegate
ComplianceAsia Consulting Ltd			Compliance Manager	Delegate
Credit Suisse			ENO	Delegate
Credit Suisse			Director	Delegate
Credit Suisse			Director, APAC Regulatory Impacts	Delegate
Credit Suisse			Head of Australia Surveillance	Delegate
Credit Suisse			Compliance Officer	Delegate
Credit Suisse			Compliance Manager	Delegate
Credit Suisse			Compliance	Delegate
Credit Suisse			Surveillance Officer	Delegate
Credit Suisse			Legal Counsel	Delegate
Credit Suisse			Compliance Officer	Delegate
Credit Suisse			Head of APAC Employee Compliance	Delegate
CRHK			Financial Reporter	Delegate
CRHK			Financial Reporter	Delegate

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Organization	First Name	Last Name	Job Title	Type
Daiwa Capital Markets Hong Kong Limited			Executive Director	Delegate
Daiwa Capital markets Hong Kong Limited			Director	Delegate
Davis Polk			Associate	Delegate
Davis Polk			Counsel	Delegate
DBS			Senior Manager, Compliance	Delegate
DBS			Compliance	Delegate
DBS			ORM	Delegate
DBS			VP	Delegate
DBS			RM	Delegate
DBS			VP	Delegate
DBS			Risk Controller	Delegate
DBS			Senior Associate	Delegate
DBS			Managing Director, Head of Audit, Greater China	Delegate
DBS			Compliance - AVP	Delegate
DBS			Manager, Business Analysis and Planning	Delegate
DBS			SVP	Delegate
DBS Bank			Head of Compliance	Delegate
DBS Bank			VP	Delegate
DBS Bank			Legal and Compliance COO	Delegate
DBS Bank			Vice President, Treasury and Markets Compliance	Delegate
DBS Bank			VP, Operational Risk Management, CBG&WM	Delegate
DBS Bank			Compliance	Delegate
DBS Bank			Compliance	Delegate
DBS Bank			Generalist, Regulatory Risk Management	Delegate
DBS Bank			Relationship Manager	Delegate
DBS Bank			Senior Vice President	Delegate
DBS Bank			Compliance	Delegate
DBS Bank			Assistant Vice President	Delegate
DBS Bank			Managing Director	Delegate
DBS Bank			Compliance Officer	Delegate
DBS Bank			Relationship Manager	Delegate
DBS Bank			VP	Delegate
DBS Bank			Head of FIG	Delegate
DBS Bank			Head of Governance & Control, Consumer Banking	Delegate
Deacons			Partner	Delegate
Deloitte			Director	Delegate
Deloitte			Associate Director	Delegate
DEUTSCHE			REGULATORY MANAGEMENT	Delegate
Deutsche Bank			AFC	Delegate
Deutsche Bank			VP	Delegate
Deutsche Bank			VP	Delegate
Deutsche Bank			Assistant Vice President	Delegate
Deutsche Bank			Regulatory Compliance Manager	Delegate
Deutsche Bank			Director - Compliance	Delegate
Deutsche Bank			Compliance officer	Delegate
Deutsche Bank AG			Compliance	Delegate
DTCC			Client Services Team Lead	Delegate
DTCC			APAC Compliance Director	Delegate
DTCC			RM	Delegate
DTCC			Director	Delegate
DTCC			Head of DTCC Data Repository, Singapore Pte. Ltd. (DDRS)	Delegate
DTCC			Relationship Manager	Delegate
DTCC			RM	Delegate
DTCC			RM	Delegate
DTCC			Compliance	Delegate
DTCC			APAC Events	Delegate
E. Sun Commercial Bank Ltd, Hong Kong Branch			Compliance Specialist	Delegate
Eastspring Investments			Head of Compliance	Delegate
Eastspring Investments (Singapore) Limited			Assistant Director, Compliance	Delegate
EDELWEISS ALTERNATIVE ASSET ADVISORS PTE. LIMITED			Compliance Manager	Delegate
EDELWEISS ALTERNATIVE ASSET ADVISORS PTE. LIMITED			Associate	Delegate
Enterprise Ireland			Head of FinTech, APAC	Delegate
Eqd Solutions			Managing Director	Delegate
Ernst & Young			Senior Associate	Delegate
ESG			COO	Delegate
ExodusPoint Capital Management Hong Kong			Chief Compliance Officer	Delegate
ExodusPoint Capital Management Hong Kong			General Counsel	Delegate
EY				Delegate
EY			Manager, FSRM EY	Delegate
EY			Audit Partner	Delegate
EY			Director	Delegate
EY			Associate Partner	Delegate
EY			Manager	Delegate
EY Parthenon			Executive Advisor	Delegate
Fangda Partners			Partner	Delegate
Fidelity			Programme Manager	Delegate
FIL Investment Management			Manager	Delegate
FIL Investment Management			Senior Compliance Manager	Delegate
Fitch Ratings			Head of Compliance APAC	Delegate
Fitch Ratings			Compliance Officer	Delegate
Fitch Ratings			Regulatory Compliance	Delegate

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Organization	First Name	Last Name	Job Title	Type
Fitch Ratings			Director	Delegate
Fitch Ratings			Senior Compliance Associate	Delegate
Flow Traders			Team Lead Compliance	Delegate
Flow Traders			Compliance Officer	Delegate
Flow Traders			Compliance Officer	Delegate
Flow Traders			Compliance	Delegate
Freshfields Bruckhaus Deringer			Counsel	Delegate
Freshfields Bruckhaus Deringer			Associate	Delegate
Freshfields Bruckhaus Deringer			Associate	Delegate
Freshfields Bruckhaus Deringer			Partner	Delegate
FTSE Russell			Compliance Officer	Delegate
Gibson Dunn			Associate	Delegate
Gibson Dunn & Crutcher			Associate Attorney	Delegate
Gibson Dunn & Crutcher			Associate	Delegate
Gibson Dunn & Crutcher			Staff Attorney	Delegate
GIC Private Limited			Associate	Delegate
GIC Pte Ltd			Associate	Delegate
Global Trading			Senior Writer	Delegate
GMO-Z.com Forex HK Limited			Client Service Officer	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Compliance	Delegate
Goldman Sachs			Analyst	Delegate
Goldman Sachs			Compliance Officer	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Vice President - Financial Crime Compliance	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Associate	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Compliance	Delegate
Goldman Sachs			Associate	Delegate
Goldman Sachs			MD, Head of Compliance	Delegate
Goldman Sachs			Vice President	Delegate
Goldman Sachs			Executive Director - Compliance	Delegate
Goldman Sachs			Compliance	Delegate
Goldman Sachs			Executive Director	Delegate
Goldman Sachs			Compliance Officer	Delegate
Goldman Sachs			Analyst	Delegate
Goldman Sachs Asia LLC			Associate	Delegate
Goldman Sachs Asset Management			Vice President	Delegate
Goldman Sachs Asset Management			Analyst	Delegate
Goldman Sachs Asset Management			Vice President	Delegate
Google Cloud			Head of Government Affairs & Public Policy	Delegate
Goldman Sachs			VP	Delegate
Haitong International			Compliance manager	Delegate
Haitong International			Compliance	Delegate
Haitong International			Compliance	Delegate
Haitong International			Compliance	Delegate
Haitong International			Compliance	Delegate
Haitong International Securities Group Limited			Assistant Compliance Manager	Delegate
HashKey Digital Asset Group Limited			Head of Strategic Initiatives	Delegate
Hashkey Group			Senior Compliance Director	Delegate
HBC			Equity Finance Sales	Delegate
Herbert Smith Freehills			Associate	Delegate
Herbert Smith Freehills			Business Development Manager, Disputes	Delegate
Herbert Smith Freehills			Associate	Delegate
Herbert Smith Freehills			Associate	Delegate
HKAB			Regional Head of Compliance Advisory, Wealth and Personal Banking (WPB), Asia Pacific Compliance, HSBC	Delegate
HKICPA			Director of Compliance	Delegate
HKMA			MT	Delegate
HKMA			Manager	Delegate
HKSI			Chief Executive	Delegate
HKSI			Director - Curriculum and Examination	Delegate
Hong Kong Arts Investment Company Limited			CEO	Delegate
Hong Kong Monetary Authority			Senior Manager	Delegate
Hong Kong Monetary Authority			Manager	Delegate
Hong Kong Monetary Authority			Senior Manager	Delegate
HSBC			Director, Business Manager, Fixed Income APAC	Delegate
HSBC			Head of Global Markets Compliance Asia Pac	Delegate
HSBC			Compliance	Delegate
HSBC			Senior Manager, Compliance Advisory	Delegate
HSBC			Global Markets Compliance	Delegate
HSBC			Senior Markets Manager Compliance Advisory	Delegate
HSBC			Compliance	Delegate
HSBC			Compliance	Delegate
HSBC			Compliance Associate Director	Delegate

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Organization	First Name	Last Name	Job Title	Type
HSBC			Senior Manager	Delegate
HSBC			Equity Derivatives Trader	Delegate
HSBC			Associate Director	Delegate
HSBC			Associate, Equity Derivatives Structuring	Delegate
HSBC			EQD Structuring	Delegate
HSBC			Director	Delegate
HSBC			Corporate Sales	Delegate
HSBC			Trader	Delegate
HSBC			Director	Delegate
HSBC			structuring	Delegate
HSBC			Analyst	Delegate
HSBC			Greater China Business Development	Delegate
HSBC			Director	Delegate
HSBC			Institutional & Wealth Sales	Delegate
HSBC			Associate	Delegate
HSBC			Associate Director, Business Management	Delegate
HSBC			Associate	Delegate
HSBC			Director	Delegate
HSBC			Associate Director	Delegate
HSBC			Global Markets Associate	Delegate
HSBC			Associate Director	Delegate
HSBC			Head of Index Derivatives Trading	Delegate
HSBC			Director	Delegate
HSBC			Director	Delegate
HSBC			ASSOCIATE	Delegate
HSBC			Primeals	Delegate
HSBC			Director	Delegate
HSBC			Director, Institutional and Wealth Sales	Delegate
HSBC			Head of Greater China FX Trading	Delegate
HSBC			Associate Director	Delegate
HSBC			Head of Program Trading	Delegate
HSBC			FX Structuring ASP	Delegate
HSBC			MD, Institutional Sales	Delegate
HSBC			Head of Credit Financing & Solutions, Greater China	Delegate
HSBC			Vice President XVA Trading	Delegate
HSBC			Director, FX Structurer	Delegate
HSBC			Director	Delegate
HSBC			Director	Delegate
HSBC			Director Derivatives Trading	Delegate
HSBC			Associate Direction	Delegate
HSBC			Co-Head of Equity Sales, Asia-Pacific	Delegate
HSBC			Head of fx structuring-Asia	Delegate
HSBC			Associate	Delegate
HSBC			Macro Sals	Delegate
HSBC			Director	Delegate
HSBC			Senior Traded Risk Control Manager	Delegate
HSBC			Institutional Sales	Delegate
HSBC			ANALYST	Delegate
HSBC			AD-DCS	Delegate
HSBC			Associate Director	Delegate
HSBC			Head of Traded Risk	Delegate
HSBC			Equity Derivatives Structuring	Delegate
HSBC			Business Manager	Delegate
HSBC			Analyst	Delegate
HSBC			Director, local ccy credit trading	Delegate
HSBC			Associate Director, GreaterChina FX Trading	Delegate
HSBC			Fixed Income Trading	Delegate
HSBC			Analyst	Delegate
HSBC			Analyst	Delegate
HSBC			Associate Director	Delegate
HSBC			Head of Anti-Bribery & Corruption, ASP	Delegate
HSBC			CRO OFFICE	Delegate
HSBC			Director	Delegate
HSBC			Director	Delegate
HSBC			Regional Head of Traded Risk Analytics	Delegate
HSBC			Associate	Delegate
HSBC			Senior manager	Delegate
HSBC			Associate Director	Delegate
HSBC			Associate Director, Institutional & Wealth Sales, Asia	Delegate
HSBC			Head, Derivative Clearing Services APAC	Delegate
HSBC			Sales Trader	Delegate
HSBC			Head of Regulatory Policy, Asia	Delegate
HSBC			Associate Director	Delegate
HSBC			Director, Corporate Sales, Markets & Securities Services	Delegate
HSBC			Associate Director	Delegate
HSBC			Director, Greater China FX trading	Delegate
HSBC			Equity Finance Sales	Delegate
HSBC			Associate Director	Delegate
HSBC			Chief Control Officer	Delegate
HSBC			Compliance officer	Delegate
HSBC			institutional sale	Delegate

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Organization	First Name	Last Name	Job Title	Type
HSBC			Director	Delegate
HSBC			Equity Trader	Delegate
HSBC			Associate Director	Delegate
HSBC			Director	Delegate
HSBC			derivative trader	Delegate
HSBC			Associate Director	Delegate
HSBC			Regulatory Compliance Officer	Delegate
HSBC			Institutional Sales	Delegate
HSBC			Associate	Delegate
HSBC			Head of Listed Derivatives Sales Trading, APAC	Delegate
HSBC			DIRECTOR, TRADING	Delegate
HSBC			MD	Delegate
HSBC			Associate Director	Delegate
HSBC			Trader	Delegate
HSBC			Corp Sales	Delegate
HSBC			Associate Director	Delegate
HSBC			Associate Director	Delegate
HSBC			Associate Director	Delegate
HSBC			Trader	Delegate
HSBC			Listed Derivatives Electronic Sales Trading	Delegate
HSBC			Trader	Delegate
HSBC			Associate Director	Delegate
HSBC			Senior ALCM Manager	Delegate
HSBC			Trader	Delegate
HSBC			Director	Delegate
HSBC			Credit Sales	Delegate
HSBC			Sales	Delegate
HSBC			Director	Delegate
HSBC			Head of Securites Financing, Asia	Delegate
HSBC			traded risk manager	Delegate
HSBC			Director	Delegate
HSBC			Regional Head of Product Risk, Markets ASP	Delegate
HSBC			Director	Delegate
HSBC			Head of High Touch Execution, Hong Kong	Delegate
HSBC			wealth sales	Delegate
HSBC			Dealer	Delegate
HSBC			Insitutional and Wealth Sales	Delegate
HSBC			ASSOCIATE DIRECTOR	Delegate
HSBC			Head of Liquidity and Capital Risk	Delegate
HSBC			Director Credit Sales	Delegate
HSBC			Traded Credit Risk Manager	Delegate
HSBC			Traded Credit Risk Manager	Delegate
HSBC			senior manager	Delegate
HSBC			Senior Traded Risk Control Manager	Delegate
HSBC			SENIOR MANAGER, TREASURY RISK	Delegate
HSBC			Market Risk Manager	Delegate
HSBC			Associate Director	Delegate
HSBC			Dir, Macro Sales	Delegate
HSBC			Corp Sales	Delegate
HSBC			Analyst	Delegate
HSBC			Director	Delegate
HSBC			AD	Delegate
HSBC			Equity Finance Trader	Delegate
HSBC			Director	Delegate
HSBC			AD	Delegate
HSBC			Trader	Delegate
HSBC			Analyst	Delegate
HSBC			Wealth Sales	Delegate
HSBC			Associate	Delegate
HSBC			Analyst	Delegate
HSBC			Associate	Delegate
HSBC			Credit Sales	Delegate
HSBC			TRADING	Delegate
HSBC			Associate Director	Delegate
HSBC			Director	Delegate
HSBC			Analyst	Delegate
HSBC			Associate Director	Delegate
HSBC			Equity Derivatives Trader	Delegate
HSBC			Trader	Delegate
HSBC			Associate Director	Delegate
HSBC			Analyst	Delegate
HSBC			Head of Listed Products HK	Delegate
HSBC			Director	Delegate
HSBC			Managing Directo	Delegate
HSBC			Equities Salestrading	Delegate
HSBC			Equity Solutions	Delegate
HSBC			Senior Traded Risk Control Manager	Delegate
HSBC			Platform Sales	Delegate
HSBC			Analyst	Delegate
HSBC			Senior Traded Credit Risk Manager	Delegate
HSBC			Associate Director	Delegate



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Organization	First Name	Last Name	Job Title	Type
HSBC			Director, Head of Equity Finance Sales, Asia	Delegate
HSBC			Deputy Global Head of Equities & Head of Equities, Asia Pacific	Delegate
HSBC			Equities	Delegate
HSBC			Corpoate Sales	Delegate
HSBC			Corporate Sales	Delegate
HSBC			Market Risk Manager	Delegate
HSBC			ASP Head of Global Control Room and Conflicts	Delegate
HSBC			Analyst	Delegate
HSBC			Market Risk Manager	Delegate
HSBC			Sales Trader	Delegate
HSBC			Managing Director	Delegate
HSBC			Equity Structuring	Delegate
HSBC Asset Management			Head of Compliance Advisory APAC	Delegate
HSBC Bank Ltd			Director, Macro Investor Sales	Delegate
HSBC Global Markets			Head of Central Compliance Advisory	Delegate
HSBC Global Markets			MD, Head of Greater China Fixed Income	Delegate
HSBC HK			FICC Trader	Delegate
HSBC HK			Associate director	Delegate
HSBC HK			credit trader	Delegate
HSC			Associate Director	Delegate
HSF			Associate	Delegate
HUA XIA BANK HONG KONG BRANCH			Assistant Manager	Delegate
Huatai Financial Holdings			Vice President	Delegate
IBA Japan			Secretary General	Delegate
IBA Japan			Senior Manager	Delegate
IBA Japan			Senior Manager	Delegate
IFLR			Asia editor	Delegate
IMC Asia Pacific Ltd			Responsible Officer	Delegate
Indonesia financial services authority			Junior supervisor	Delegate
ING			Compliance Monitoring Lead, Asia	Delegate
ING			Compliance	Delegate
ING Bank			Regional Compliance	Delegate
ING Bank			FI Sales	Delegate
ING Bank			Compliance	Delegate
ING Bank N.V Singapore			Director, Global Securities Finance	Delegate
ING Bank N.V. Singapore Branch			Managing Director	Delegate
ING Bank NV			Director, Financial Markets Sales	Delegate
ING Bank NV Singapore Branch			Compliance officer	Delegate
ING Bank NV, Singapore			Head of Securities Finance, Asia	Delegate
ING Bank Singapore			Conduct Compliance & Culture Asia	Delegate
International Monetary Fund			Resident Representative in Hong Kong SAR	Delegate
Invesco			Manager, Compliance	Delegate
Invesco			Compliance Manager	Delegate
Invesco			Head of Compliance, Hong Kong	Delegate
Invesco			Head of Legal, Greater China	Delegate
Invesco			MD	Delegate
InvestHK			Head of Financial Services and Global Head of Family Office	Delegate
J.P. Morgan			VP	Delegate
J.P. Morgan				Delegate
Japan Securities Dealers Association			Director, Chief Officer for International Affairs & Research	Delegate
Jefferies			Head of Asia Legal & Compliance	Delegate
Jefferies			Compliance Officer	Delegate
Jefferies			Compliance Manager	Delegate
Jefferies			Head of Hong Kong Compliance	Delegate
Jefferies			Compliance Officer	Delegate
JP Morgan				Delegate
JP Morgan			Compliance Officer, Equities Compliance	Delegate
JP Morgan			Executive Director	Delegate
JP Morgan			Controls	Delegate
JP Morgan Chase			Associate	Delegate
JPMorgan			Executive Director	Delegate
JPMorgan			Controls Manager	Delegate
JPMorgan			Senior Associate	Delegate
JPMorgan			Executive Director	Delegate
JPMorgan Chase Bank N.A.			Executive Director	Delegate
K&L Gates			Partner	Delegate
KPMG			Partner	Delegate
KPMG			Consultant	Delegate
KPMG			Senior Consultant	Delegate
KPMG			Associate director	Delegate
KPMG			Partner	Delegate
KPMG			AM	Delegate
KPMG			Accountant	Delegate
KPMG			Assistant Manager	Delegate
KPMG			Senior Consultant	Delegate
KPMG			Consultant - Risk Consulting	Delegate
KPMG			Manager	Delegate
KPMG			Associate Director	Delegate
KPMG			Associate Director	Delegate
KPMG			Associate Director	Delegate
KPMG			Manager	Delegate

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Organization	First Name	Last Name	Job Title	Type
KPMG			Manager	Delegate
KPMG			Manager	Delegate
KPMG			Manager	Delegate
KPMG			Partner	Delegate
KPMG			Director	Delegate
KPMG			Senior Consultant	Delegate
KPMG			Senior Consultant	Delegate
KPMG			Associate	Delegate
KPMG			Senior Consultant	Delegate
KPMG			Manager	Delegate
KPMG			Director	Delegate
KPMG			Partner	Delegate
KPMG			Manager	Delegate
KPMG			Director	Delegate
KPMG			Manager	Delegate
KPMG			Manager	Delegate
KPMG			Associate Director	Delegate
KPMG			Associate Director	Delegate
KPMG			Senior Consultant	Delegate
KPMG			Partner, Head of Financial Risk Management	Delegate
KPMG			Associate Director	Delegate
KPMG			Associate Director	Delegate
KPMG			Trainee Consultant	Delegate
KPMG			Accountant	Delegate
KPMG			Trainee consultant	Delegate
KPMG			Trainee Consultant	Delegate
KPMG Advisory			Director	Delegate
KPMG Advisory			Senior Consultant	Delegate
KPMG Advisory			Associate Director	Delegate
KPMG China			Manager	Delegate
KPMG HK			Risk Consulting Manager	Delegate
KPMG Hong Kong			Manager	Delegate
Latham & Watkins			Business Development	Delegate
Latham & Watkins			Trainee Solicitor	Delegate
Latham & Watkins			Trainee Solicitor	Delegate
Latham & Watkins			Partner	Delegate
LGT			Head of Internal Audit, Hong Kong	Delegate
Linklaters			Senior PSL	Delegate
Linklaters			Associate	Delegate
Linklaters			Trainee Solicitor	Delegate
Linklaters			Senior PSL	Delegate
Linklaters			Managing Associate	Delegate
Linklaters			Partner	Delegate
Linklaters			Managing Associate	Delegate
Linklaters			Trainee	Delegate
Liquidnet Asia Limited			Compliance	Delegate
London Stock Exchange Group			Head of North Asia	Delegate
LSEG/Refinitiv			Head of Transactions Sales Asia Pacific	Delegate
M&G Investments (Hong Kong) Limite			Compliance	Delegate
Macquarie			Manager	Delegate
Macquarie			Compliance officer	Delegate
Macquarie			Head of Regulatory Risk Asia	Delegate
Macquarie			Associate Director	Delegate
Macquarie			Senior Manager, Compliance	Delegate
Macquarie			Associate Director	Delegate
Macquarie			Senior Manager	Delegate
Macquarie Bank Limited			Compliance	Delegate
Macquarie Group			Operational Risk	Delegate
Macquarie Group			Manager, Compliance	Delegate
Macquarie Group			Head of Listed Product Distribution	Delegate
Macquarie Group Limited			Compliance Officer	Delegate
Macquarie Group Services (Singapore)			Senior Manager	Delegate
Man Group			Compliance Officer	Delegate
Man Investments (Hong Kong) Limited			General Counsel	Delegate
Marshall Wace Asia Limited			Chief Compliance Officer	Delegate
Marshall Wace Asia Limited			Chief Operating Officer	Delegate
MAS			GC	Delegate
MAS			Assistant Director	Delegate
MAS			Associate	Delegate
MAS			Assistant Director	Delegate
Mashreqbank psc			Compliance Manager	Delegate
MERRILL LYNCH (ASIA PACIFIC) LTD			COMPLIANCE DIRECTOR	Delegate
Mizuho Securities			Head of Legal and Compliance	Delegate
Mizuho Securities Asia Limited			Compliance Officer, Director	Delegate
Monetary Authority of Singapore			Executive	Delegate
Monetary Authority of Singapore			Deputy Director	Delegate
Monetary Authority of Singapore			Deputy Director	Delegate
Monetary Authority of Singapore			Assistant Director	Delegate
Monetary of Singapore			Deputy Director, Capital Markets Policy Division, Markets Policy & Infrastructure Department	Delegate
Monetary of Singapore			Capital Markets Policy Division, Markets Policy & Infrastructure Department	Delegate
Moody's Asia Pacific Limited			Managing Director - Designated Compliance Officer APAC	Delegate

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Organization	First Name	Last Name	Job Title	Type
Moody's Investors Service			VP - Senior Research Writer	Delegate
Morgan Stanley			Executive Director	Delegate
Morgan Stanley			Executive Director	Delegate
Morgan Stanley			Vice President, Equities Compliance	Delegate
Morgan Stanley			Compliance	Delegate
Morgan Stanley			Compliance Officer	Delegate
Morgan Stanley			Manager	Delegate
Morgan Stanley			VP	Delegate
Morgan Stanley			Executive Director	Delegate
Morgan Stanley			Vice President	Delegate
Morgan Stanley			Head of PWM Asia Compliance	Delegate
Morgan Stanley			Compliance - Vice President	Delegate
Morgan Stanley			Executive Director	Delegate
Morgan Stanley			Executive Director	Delegate
Morgan Stanley			EXECUTIVE DIRECTOR	Delegate
Morgan Stanley			Manager	Delegate
Morgan Stanley			Executive Director, Internal Audit	Delegate
Morgan Stanley			Executive Director	Delegate
Morgan Stanley			Manager	Delegate
MORGAN STANLEY INVESTMENT MANAGEMENT			COMPLIANCE	Delegate
Morgan, Lewis & Bockius			Managing Associate	Delegate
Morgan, Lewis & Bockius			Partner	Delegate
Mox Bank			Head of Compliance	Delegate
MUFG			Chief Compliance Officer	Delegate
MyComplianceOffice			APAC Director	Delegate
MyComplianceOffice			Implementation Manager	Delegate
MyComplianceOffice			marketing executive	Delegate
NA			Legal Counsel	Delegate
Natixis			Compliance	Delegate
Natixis			Executive Director	Delegate
Natixis			Director	Delegate
Natixis			Auditor	Delegate
Natixis			AVP, APAC Financial Crime Compliance	Delegate
Natixis			Vice President	Delegate
NatWest Markets			Compliance officer	Delegate
NatWest Markets			Head of Singapore Country Compliance	Delegate
Nikko Asset Management Hong Kong Limited			Compliance Manager	Delegate
Nomura			managing Director	Delegate
Nomura			Head of Financial Crime Compliance, Asia	Delegate
Nomura			risk control	Delegate
Nomura			Executive Director	Delegate
Nomura Singapore			VP	Delegate
Nomura Asset Management Singapore Limited			Executive Director	Delegate
Nomura International (Hong Kong) Limited			General Counsel, Head of Legal, Compliance & Controls, Asia	Delegate
Nomura International (Hong Kong) Limited			Litigation counsel	Delegate
Northern Trust			Chief Administrative Officer, Asia Pacific	Delegate
NowTV			NowTV Senior Reporter	Delegate
Nuveen Global			Associate Compliance Officer	Delegate
Ontario Teachers' Pension Plan			Director	Delegate
Ontario Teachers' Pension Plan			Senior Manager, Compliance, Asia	Delegate
Optiver			Chief Compliance Officer	Delegate
Optiver			Compliance	Delegate
Optiver			CEO	Delegate
Optiver			CRO	Delegate
Optiver			Head of Trading	Delegate
Optiver Trading Hong Kong Limited			COO	Delegate
OSL			VP, Marketing	Delegate
OSL			GC	Delegate
OSL			VP, Marketing	Delegate
PaRR, Acuris			reporter	Delegate
PDS Group			Account Manager	Delegate
Philippine Dealing & Exchange Corp			Compliance & Surveillance Officer	Delegate
Philippine Dealing & Exchange Corp			Compliance & Disclosure Associate	Delegate
Philippine Dealing & Exchange Corp			Compliance & Surveillance Officer	Delegate
Philippine Dealing & Exchange Corp			Legal Officer	Delegate
Philippine Dealing & Exchange Corp			Listing Officer	Delegate
Philippine Dealing System Holdings Corp. and Subsidiaries (PDS Group)			Head - Legal and Compliance	Delegate
PIMCO			Senior Vice President	Delegate
PraxisIFM (Hong Kong) Limited			Director / MLRO	Delegate
Protiviti			Director	Delegate
Protiviti			Director	Delegate
Protiviti			Consultant	Delegate
Protiviti			Associate Director	Delegate
Protiviti			Consultant	Delegate
Protiviti			Director	Delegate
Protiviti			Consultant	Delegate
Protiviti			Associate Director	Delegate
Protiviti			Senior Manager	Delegate
Protiviti			Assistant Manager	Delegate
Protiviti			Director	Delegate
Protiviti			Consultant	Delegate

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Final Attendee List

Organization	First Name	Last Name	Job Title	Type
Protiviti			Senior Consultant	Delegate
Protiviti			Senior Marketing Manager	Delegate
Protiviti			Marketing Coordinator	Delegate
Protiviti			Senior Consultant	Delegate
Protiviti			Consultant	Delegate
Protiviti			Managing Director	Delegate
Protiviti			Senior Manager	Delegate
Protiviti			Assistant Manager	Delegate
Protiviti Hong Kong			Manager	Delegate
Protiviti Hong Kong			Consultant	Delegate
Protiviti Hong Kong			Senior Consultant	Delegate
Prudential Corporation Asia			Senior Director, Compliance	Delegate
Prudential Plc			Group Risk Director – ERM and Capital	Delegate
Prudential plc			Group Risk Director, ERM and Capital	Delegate
PwC			Senior Manager	Delegate
PwC			Partner	Delegate
PwC			Senior Associate	Delegate
Radio Television Hong Kong				Delegate
Raffles Group			Chief Compliance Officer	Delegate
Rating Agency			Head of Compliance, Asia-Pacific	Delegate
Rating Agency			Compliance Officer/Director	Delegate
RDG fiduciary services			Founder	Delegate
Refinitiv			Senior Director	Delegate
Refinitiv Japan K.K.			Representative Director	Delegate
Reuters			Asia Regulation Correspondent	Delegate
Sanford C. Bernstein (HK) Ltd.			CCO	Delegate
Sanford C. Bernstein (HK) Ltd.			Compliance Associate	Delegate
Sanford C. Bernstein (HK) Ltd.			Compliance Department	Delegate
Sanford C. Bernstein (India) Private Limited			COO & CRO	Delegate
SCB			Compliance	Delegate
SCB			Senior Audit Manager, WM	Delegate
Schroders			Senior Compliance Manager	Delegate
SEC			Senior Officer	Delegate
SEC			Assistant Director	Delegate
SEC			Officer	Delegate
SEC			ESG	Delegate
SEC			Assistant director	Delegate
SEC Thailand			Officer	Delegate
SEC Thailand			Strategy and International Affairs officer	Delegate
SEC Thailand			Assistant Director	Delegate
SEC Thailand			Officer	Delegate
SEC Thailand			Officer	Delegate
SEC Thailand			Officer	Delegate
SEC Thailand			strategy officer	Delegate
SEC, Thailand			Officer	Delegate
SEC,Thailand			Officer, investment management policy department	Delegate
Securities and Exchange Commission of Thailand			Deputy Secretary-General	Delegate
Securities and Exchange Commission of Thailand			Deputy Secretary-General	Delegate
Securities and Exchange Commission of Thailand			Assistant Director	Delegate
Securities and Futures Commission			director	Delegate
Securities and Futures Commission			Manager	Delegate
Securities and Futures Commission			Manager	Delegate
Securities and Futures Commission			Senior Manager	Delegate
Securities and Futures Commission			Assistant Manager	Delegate
Securities and Futures Commission			Senior Manager, Supervision of Markets Division	Delegate
Securities and Futures Commission			Director	Delegate
Securities and Futures Commission			Manager	Delegate
Securities and Futures Commission			Senior Manager	Delegate
Securities Commission Malaysia			General Counsel	Delegate
Securities Commission Malaysia			Assistant Manager	Delegate
Securities Commission Malaysia			Deputy Director	Delegate
Securities Commission Malaysia			Manager	Delegate
Securities Commission Malaysia			Assistant General Manager	Delegate
Securities Commission Malaysia			Deputy General Manager	Delegate
Securities Commission Malaysia			General Manager, Supervision	Delegate
Securities Exchange Commission Thailand			Corporate Governance Analyst	Delegate
SFC			Manager	Delegate
SFC			Manager	Delegate
SFC				Delegate
SFC			Assistant	Delegate
SFC			Assistant Manager	Delegate
SFC			Senior Legal Counsel	Delegate
SFC			Associate Director	Delegate
SFC			Senior Manager	Delegate
SFC HK			Manager	Delegate
Shanghai Commercial Bank			Senior Vice President	Delegate
Sidley Austin LLP			Partner	Delegate
Singapore Exchange Regulation			Vice President	Delegate
SMBC			Head of Japanese Corporate	Delegate
SMBC			CCO East Asia ex China	Delegate
SMBC Nikko Securities (Hong Kong) Limited			VP - Compliance	Delegate



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Organization	First Name	Last Name	Job Title	Type
UBS AG			Compliance Officer	Delegate
UBS AG			Associate Director	Delegate
UBS AG			Compliance Officer	Delegate
UBS AG			Compliance Officer	Delegate
UBS AG			Compliance Officer	Delegate
UBS AG			APAC Head of Compliance & Operational Risk Audit	Delegate
UBS AG			Compliance Officer	Delegate
UBS AG			Head of Regulatory Relations Hong Kong	Delegate
UBS AG			Director	Delegate
UBS AG			Managing Director	Delegate
UBS AG			Compliance Officer	Delegate
UBS AG			Regulatory Relations Officer	Delegate
UBS AG			Regulatory Affairs	Delegate
UBS AG HK			Executive Director	Delegate
UBS AG, Hong Kong Branch			Executive Director, Business Standards and Conduct Group APAC, Global Banking	Delegate
Vistra			Head of Client Acceptance, North Asia Client Acceptance	Delegate
Vistra			Associate Director - Regulatory Compliance	Delegate
Vistra			Director, Client Acceptance	Delegate
Vontobel			Executive Director	Delegate
Vontobel Asset Management			Compliance Officer	Delegate
WatersTechnology			Asia Editor	Delegate
Wellington Management			Compliance Officer	Delegate
Wellington Management			CCO, Asia Pacific	Delegate
Wellington Management			Compliance Analyst	Delegate
Wellington Management			Compliance Analyst	Delegate
Wellington Management Singapore Pte Ltd			Compliance Officer	Delegate
Wellington Management Singapore Pte. Ltd.			Compliance	Delegate
Wells Fargo			compliance Manager	Delegate
Wells Fargo			Senior Associate	Delegate
Wells Fargo			Compliance Consultant	Delegate
Wells Fargo			Compliance Learning Lead	Delegate
Wells Fargo			Compliance Officer	Delegate
Wells Fargo			Compliance Manager	Delegate
Wells Fargo			Senior Compliance Officer	Delegate
Westpac Banking Corporation			Manager	Delegate
Westpac Banking Corporation			Senior Regulatory Change Manager	Delegate
Westpac Banking Corporation			Information Security (Cyber Strategy)	Delegate
Zhong Ou Asset Management International Limited			Vice President	Delegate
			Head of Compliance, SEA	Delegate
			Managing Director	Delegate