



Post Event Review:

COMPLIANCE WEEK

7-10 SEPTEMBER 2021, VIRTUAL EVENT
#ASIFMACompliance2021



After a successful first edition of our 2019 Compliance & Legal Conference on 29-30 May 2019, our second edition was presented through a virtual event format. This conference brought together over 1000 attendees where the industry (sell-side, buy-side, regulators, law firms, consultants, and media) came together to discuss key compliance issues impacting this area.

HIGHLIGHTED TOPICS

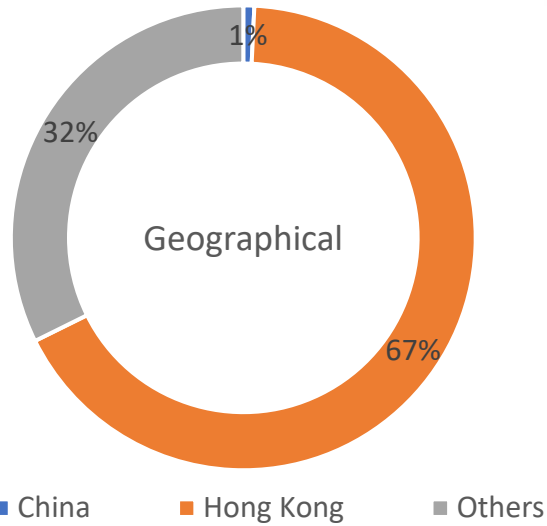
- Enforcement Trends
- Financial Regulatory Trends Globally and Asia
- Regulator's Perspective: Looking back and Looking ahead
- Covid-19: The Evolving Impact on Markets and Business Operation
- Conduct, Culture and Accountability Regime: Coordination across Different Countries



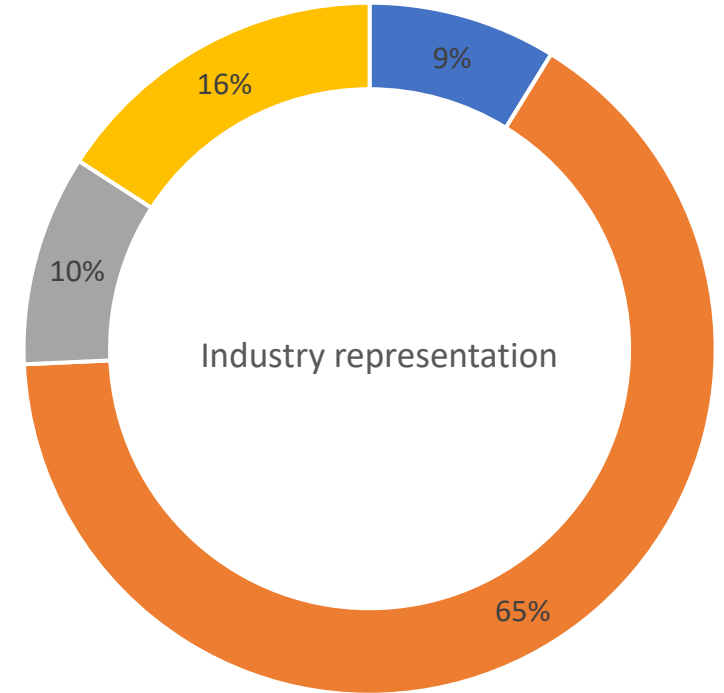
This 4-half-day virtual event, which featured keynote speeches, presentations and panel discussions, was conducted primarily in English to a global audience of over 1000 participants



2021 AT A GLANCE



- Regulators
- Investors
- International Journalists
- Industry Representatives



- Buy side
- Sell side
- Regulators
- Others

CONFERENCE HIGHLIGHTS



This panel is closed to media and is conducted under Chatham House rules.



DISTINGUISHED SPEAKERS

Click [here](#) for more confirmed conference speakers.

Ashley Alder

Chairman IOSCO Board
Securities and Futures
Commission Hong Kong

Hester M. Peirce

Commissioner
US Securities and
Exchange Commission

Cathie Armour

Commissioner
Australian Securities
and Investments
Commission

Lim Tuang Lee

Assistant Managing
Director (Capital
Markets)
Monetary Authority
Singapore

Ruenvadee

Suwanmongkol
Secretary-General
Securities and Exchange
Commission Thailand

Julia Leung

Executive Director, Banking
Conduct
Securities and Futures
Commission Hong Kong

Phakawa Jeasakul

Resident Representative for
Peoples Republic of China
Hong Kong Special
Administrative Region
International Monetary
Authority

Arthur Yuen

Deputy Chief Executive
Hong Kong Monetary
Authority

Sharon Concisom

Executive Director Markets
Enforcement
Australian Securities and
Investments Commission

Thomas Atkinson

Executive Director,
Enforcement
Securities and Futures
Commission Hong Kong

Beng Hwa Tan

Director Head of
Enforcement Department's
Market Conduct
Investigations Division I
Monetary Authority
Singapore

John Witts

GBM, GBS, MH, JP, Financial
Secretary
Hong Kong Exchanges and
Clearing Limited

Carissa Aldridge

Senior Executive Leader
– Market Supervision
Australian Securities
and Investments
Commission

Ananta Barua

Whole Time Member
Securities and Exchange
Board of India

Michael Duignan

Head of Market
Misconduct Team
Securities and Futures
Commission Hong Kong

Shigeru Ariizumi

Vice Commissioner for
International Affairs
Financial Services
Agency, The
Government of Japan

Linda Yiu

Director, Intermediaries
Supervision
Securities and Futures
Commission Hong Kong

Guy DeBelle

Deputy Governor
Reserve Bank of Australia

Vincent Loy

Assistant Managing
Director (Technology)
Monetary Authority
Singapore

Atty. Oliver O. Leonardo

Officer-in-Charge of the
Enforcement and Investor
Protection Department
Securities and Exchange
Commission Philippines

Makoto Minegishi

Deputy Director-General,
Financial System and
Bank Examination
Department
Bank of Japan

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Organizer:

asifma
Growing Asia's Markets

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