AGENDA AND PRESENTERS

**Session 1: Sustainable Finance / ESG in Asia**  
**Thu 27 Oct 2022, 12nn-1pm HKT**

**12:00nn-12:05pm Welcome, Poll Question**  
Mike Chadwick, Executive Director – Head of Education & Training, ASIFMA

**12:05pm-12:20pm 101 – Definition and Origins of ESG and Sustainability**  
Saskia Kort-Chick, Senior Vice President, Director of ESG Research and Engagement, Responsible Investing, AllianceBernstein
  - The origins of responsible investing
  - Screening vs ESG vs Sustainability: what are the differences and benefits of various approaches?
  - Size and drivers of the market

**12:20pm-12:35pm The Changing Regulatory Framework**  
Gabriel Wilson-Otto, Head of Sustainable Investing Strategy, Fidelity International
  - How the approach and focus of ESG regulation has changed over the last 20 years.
  - Key regulatory frameworks shaping the asset management industry
  - Outlook for disclosure regulation and the potential for harmonization

**12:35pm-12:50pm ESG Investment Strategies and Challenges**  
Thijs Aaten, CEO, APG Asset Management Asia
  - How do asset managers invest sustainability?
  - What are the challenges that investors face?
  - Different investment strategies

**12:50pm-1:00pm Word Cloud, Q&A**  
Mike and all presenters
Session 2: Inflation, Interest Rates and Market Volatility
Thu 17 Nov 2022, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question
Mike Chadwick, Executive Director – Head of Education & Training, ASIFMA

12:05pm-12:45pm Inflation, Interest Rates and Market Volatility
Adarsh Sinha, Managing Director, Co-Head of Asia FX & Rates Strategy, BofA Securities
  • What got us here? Post-2008 policy, low inflation, multiple supply shocks since 2020
  • Key drivers of market volatility:
    • Europe – geopolitical turmoil, oil prices and risks from policy tightening
    • China – two years of weak growth and collapsing import impulse for rest of the world. Where to from here?
  • Implications for the rest of Asia

12:45pm-1:00pm Word Cloud, Q&A
Mike and Adarsh
Session 3: China Financial Markets Explained – Accessing and Operating in China
Tue 6 Dec 2022, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question
Eugenie Shen, Managing Director – Head of Asset Management Group, ASIFMA

12:05pm-12:20pm China Market Access – Inbound
Charles Chang, Greater China Country Lead, Corporates, S&P Global Ratings
- Intro to China’s domestic bond market: characteristics, correlation, reforms, opening, regulatory landscape
- Market access: foreign participation, trading venues, access schemes (QFII, CIBM Direct)
- Market risks: issuer makeup, credit rating, default rates, post-default recovery prospect and timeframe

12:20pm -12:35pm China Market Access – Outbound
Kristy Tang, Counsel, Fangda Partners
- Intro to offshore investment by Chinese institutions – development
- Different outbound schemes (southbound bond connect, southbound stock connect, QDII/RQDII, QDLP, QDIE, Wealth Mgt Connect, etc.)
- Features and Participants

12:35pm -12:50pm Operating in China – Opportunities and Considerations for Foreign Firms
Senan Yuen, Head of Investment, China, Fidelity International
- Wholly owned onshore asset manager businesses in China (Private Fund Management business vs. Fund Management Company)
- Market opportunities and observations (PFM vs. FMC)
- Considerations (cross-border data sharing, competition for talents, regulations)

12:50pm-1:00pm Word Cloud, Q&A
Eugenie and All Presenters
Session 4: The Trend Towards Deglobalisation
Tue 17 Jan 2023, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question
John Ball, Managing Director – Global FX Division – Asia Pacific, GFMA

12:05pm-12:25pm The Current Push for Deglobalization and Its Implications
Louis Kuijs, APAC Chief Economist, S&P Global Ratings
- Rapid globalization in the 1990s and 2000s – drivers, manifestations and implications
- Recent and current headwinds to globalization and their impact
- Empirical evidence so far and outlook

12:25pm-12:45pm The Impact of Trade Sanctions, Export Controls and Other National Security-based Regulation on Deglobalization
David Wolber, Of Counsel, Gibson, Dunn & Crutcher
- Overview of significant regulatory developments in recent years
- Risks posed to global business
- De-risking and ‘over-compliance’

12:45pm-1:00pm Word Cloud, Q&A
John and All Presenters
Session 5: Data, Privacy and Artificial Intelligence
Thu 16 Feb 2023, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question
Diana Parusheva-Lowery, Executive Director – Public Policy and Sustainable Finance, ASIFMA

12:05pm-12:20pm 101 – Why Data Matters?
Ewan McAllan, Head of Information Management & Privacy, Morgan Stanley
  • Why data matters to you
  • Why it matters to Financial services – some use cases
  • How these use cases drive data management

12:20pm -12:35pm Data Privacy and Cross-border Issues – The Current Landscape
Kieran Donovan, Partner, Data & Technology Transactions Practice, Latham & Watkins
  • Update on key developments in cross-border data flows across key APAC markets
  • Market intelligence on cybersecurity review under the PRC’s new data laws
  • Case study: managing cross border transfers in 2023 for regulated entities

12:35pm -12:50pm Artificial Intelligence in Financial Markets – Uses and Challenges
Chris Barford, Partner, Financial Services Consulting, Ernst & Young
  • Examples of AI use cases in financial services
  • The ethical and data privacy challenges on the use of AI
  • Regulatory expectations around data and AI

12:50pm-1:00pm Word Cloud, Q&A
Diana and All Presenters
Session 6: Cryptocurrencies and Stablecoins
Mon 6 Mar 2023, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question
John Ball, Managing Director – Global FX Division – Asia Pacific, GFMA

12:05pm-12:20pm What is blockchain? What are cryptocurrencies?
Julien Riposo, Manager, Index Research, FTSE Russell
- The concept of blockchain
- Illustration through a Proof-of-Work protocol
- Notion of stability and robustness of Bitcoin
- System for cryptocurrencies

12:20pm -12:40pm What do stablecoins offer?
- Purpose, role and features of stablecoins
- Types and classifications of stablecoins - area of risks and how it is mitigated
- How stablecoins work in practice
- Evolution from cryptocurrency marketplace to traditional finance
- Current state of views from various regulators

12:40pm -12:50pm Is there a future for stablecoins?
Julien Riposo, Manager, Index Research, FTSE Russell
- Potential applications of stablecoins in the future
- Possibility for any institution to prove its solvency

12:50pm-1:00pm Word Cloud, Q&A
John and All Presenters
Session 7: India Financial Markets Explained  
Thu 20 Apr 2023, 12nn-1pm HKT

12:00nn-12:05pm Poll Question, Macro Economics of India Financial Markets  
Philippe Dirckx, Managing Director – Head of Fixed Income, ASIFMA

12:05pm-12:20pm Accessing India Markets  
Basava Rao, Leader, Nishith Desai Associates  
• Various entry routes into India for foreign investors

12:20pm -12:25pm Fixed Income Markets  
Philippe Dirckx, Managing Director – Head of Fixed Income, ASIFMA

12:25pm -12:35pm FX Markets  
Hiren Sanghvi, Managing Director & Head – Trading, Global Markets, HSBC India  
• Regulatory landscape for FX – INR as managed float currency  
• FX interbank market – Size, Product, Players  
• FX hedging market & key guidelines  
• Recent developments in Indian FX markets

12:35pm - 12:50pm Equities Markets  
Mihir Shah, Director, Equity Sales & Trading, BofA Securities India  
• Numbers and stats at a glance  
• Trading Participants Dynamics  
• Index Composition and India in the EM context  
• What’s transpired in 2023 and what are things to look out for

12:50pm-1:00pm Word Cloud, Q&A  
Philippe and All Presenters
Session 8: Carbon Markets  
Mon 22 May 2023, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question  
Diana Parusheva-Lowery, Executive Director – Public Policy and Sustainable Finance, ASIFMA

12:05pm-12:30pm How Carbon Markets have developed  
Dafei Huang, Executive Director, FICC, Green Finance Specialist, China International Capital Corporation (CICC)

- Why carbon trading is chosen as an instrument by the governments to deliver their net zero targets?
- What are the different types of carbon markets?
- How do they work and interact?
- Who are leading the latest standardized products?

12:30pm -12:55pm Voluntary Carbon Markets in Asia  
Miles Binney, Counsel, Clifford Chance

- How are voluntary carbon credits traded?
- What are some of the key challenges to further developing voluntary carbon markets?
- What are some of the notable initiatives and market developments for voluntary carbon markets?

12:55pm-1:00pm Word Cloud, Q&A  
Diana and All Presenters
Session 9: China Financial Markets Explained – The Markets
Tue 13 Jun 2023, 12nn-1pm HKT

12:00nn-12:10pm Poll Question, Equities Markets in China
Lyndon Chao, Managing Director – Head of Equities and Post Trade, ASIFMA
- Primary Market
  - STAR Board / Chinext
  - Registration based IPO Reform
  - Overseas Listing Trial Framework
- Secondary Market
  - Overview: Exchanges, ID Market vs Omnibus, DVP
  - Different Channels for Investing in A Shares - QFI vs. Connect
  - MSCI A Share inclusion

12:10pm-12:30pm: Bonds Markets in China
Kimi Liu, International Partner, He Ping Law Firm
- China Inter-bank Bond Market and exchange markets – difference and inter-connection
- Convenient access by international participants to China’s bond market, such as QFI, Direct Access and Bond Connect
- Latest developments - Swap Connect

12:30pm-12:50pm: FX Markets in China
Eden Piao, Global Emerging Markets – China Local Markets Trading, Deutsche Bank (China) Limited
- Evolution of RMB FX regime & key events for RMB
- The impact of “8·11 Reform” in 2015
- Onshore and offshore market correlations
- Outlook on RMB FX market

12:50pm-1:00pm: Word Cloud, Q&A
Lyndon and All Presenters
Session 10: Operational Resilience and Cybersecurity
Thu 6 Jul 2023, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question
Laurence Van der Loo, Executive Director – Tech & Ops, ASIFMA

12:05pm-12:20pm: 101 – What is Operational Resilience and Regulators’ Expectations
Hannah Cassidy, Partner, Head of Financial Services Regulatory, Asia, Herbert Smith Freehills
• What is operational resilience?
• Factors which contribute to operational disruption
• The elements of a holistic operational resilience framework – financial, people, structural, regulatory, and systems resilience
• Examples of regulations which set minimum standards of resilience

12:20pm-12:35pm: Operational Resilience Industry Implementation and Challenges
Vignesh Karthic, Regional Head of Business Resiliency, APAC, Northern Trust
• Where to begin? - Need for an organisational framework for Operational Resilience
• Leveraging the Regulatory Change Framework
• Implementation challenges and how can we mitigate them?
• Collaboration & learning from good practices

12:35pm-12:50pm: Zoom In on Cybersecurity as One of the Key Pillars of Operational Resilience
Richard Wong, Head of Cyber Governance, Macquarie Group
• Why cyber resilience is growing in importance
• Who might instigate a cyber resilience incident and their motivation
• Protect yourself by understanding how attacks occur
• How you might prepare for a cyber resilience incident

12:50pm-1:00pm: Word Cloud, Q&A
Laurence and All Presenters
Session 11: Regulatory Developments – What You Should Know
Tue 8 Aug 2023, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question
Patrick Pang, Managing Director – Head of Compliance and Tax, ASIFMA

12:05pm-12:20pm: Virtual Asset Trading Platform Regimes
Simon Hawkins, Partner, Latham & Watkins
• Hong Kong’s new virtual assets regulatory regime and the implications for financial institutions

12:20pm-12:35pm: Cryptocurrency Regulation in Singapore
Lena Ng, Partner, Clifford Chance
• MAS Responses to the Consultation Paper on Proposed Regulatory Measures for DPT Services (Part 1)
• Consultation Paper on Proposed Amendments to the Payment Services Regulations 2019
• Consultation Paper on Proposed Measures on Market Integrity in Digital Payment Token Services

12:35pm-12:50pm: Post-Covid Developments – Digitalisation of Customer Experience
Helen Fok, Partner, Simmons & Simmons
• Digitalisation of customer trading and advisory experience
• Management of data risk
• Implementation of over-the-counter securities transaction reporting requirements

12:50pm-1:00pm: Word Cloud, Q&A
Patrick and All Presenters
Session 12: Tokenization and Other DLT Uses
Tue 12 Sep 2023, 12nn-1pm HKT

12:00nn-12:05pm Welcome, Poll Question
Laurence Van der Loo, Executive Director – Tech & Ops, ASIFMA

12:05pm-12:20pm: Introduction to DLT
Teddy Hung, Project Leader, Boston Consulting Group (BCG)
- What is DLT (Distributed Ledger Technology)
- How do we manage access and privilege in DLT for different purposes?
- What are the network archetypes?
- How should we use them?

12:20pm-12:35pm: Capital Markets Use Cases of DLT
Rajeev Tummala, Head of Digital & Data, Asia & MENA, HSBC Securities Services
- Changing nature of asset representation
- HSBC’s Journey in tokenisation
  - Project Hash: a collaboration with market infrastructure in Singapore
  - HSBC Orion and experience across the world
- Tokenisation of wealth products and custody of tokenised assets

12:35pm-12:50pm: Benefits and Challenges
John Ho, Global Head of Legal, Financial Markets, Standard Chartered Bank
- Applications of blockchain / DLT
- Potential benefits of tokenization
- How are Central Banks exploring blockchain / DLT?
- Risks and challenges

12:50pm-1:00pm: Word Cloud, Q&A
Laurence and All Presenters